







## Science, ethics and quantification

Andrea Saltelli

## Series: PHILOSOPHY OF MEDICAL CORONA SCIENCE

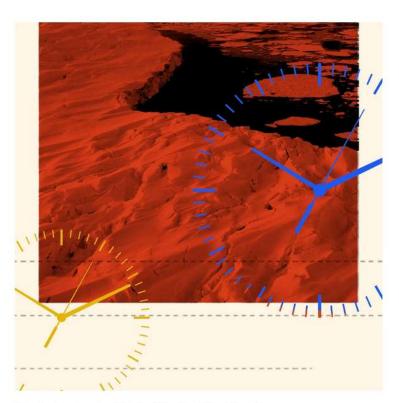
Friday 4<sup>th</sup> November 2022, virtual Bertalanffy Center for the Study of Systems Science

## Do we live immersed in fantastic numbers?

### 'The Most Important Number You've Never Heard Of'

#### "social cost of carbon:

Sept. 17, 2021



=\$56 a ton on average at a 3 percent discount rate

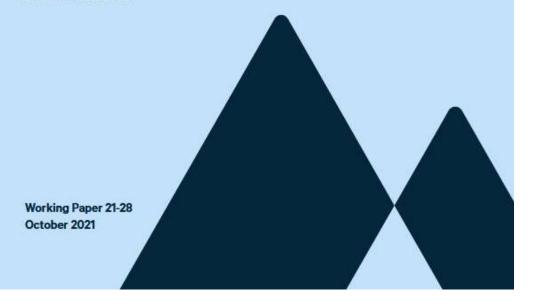
=\$171 a ton on average at a 2 percent discount rate"

The New Hork Times



## The Social Cost of Carbon: Advances in Long-Term Probabilistic Projections of Population, GDP, Emissions, and Discount Rates

Kevin Rennert, Brian C. Prest, William A. Pizer, Richard G. Newell, David Anthoff, Cora Kingdon, Lisa Rennels, Roger Cooke, Adrian E. Raftery, Hana Ševčíková, and Frank Errickson



Averaged till year 2300

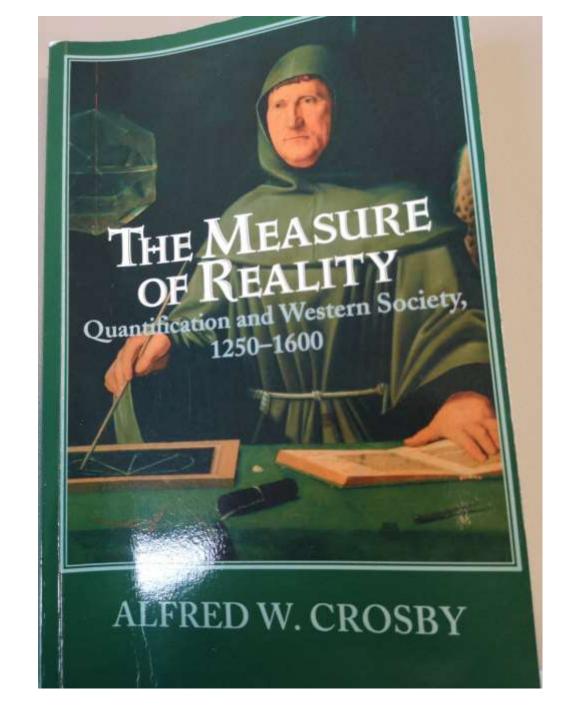
Feeds into policy design

We have perhaps reached a complex epistemic state, where on the one hand 'everybody knows' that some numbers are pseudo-precise and that numbers can be gamed, while the game works only because most people don't know about it

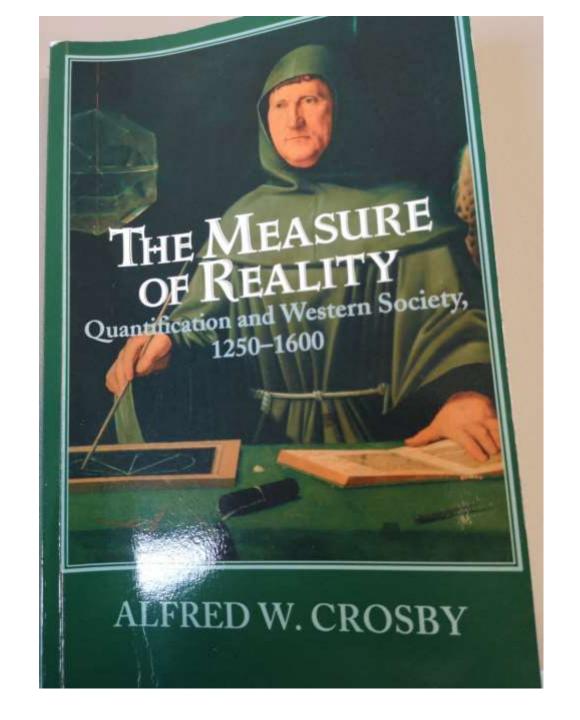


Jerome R. Ravetz

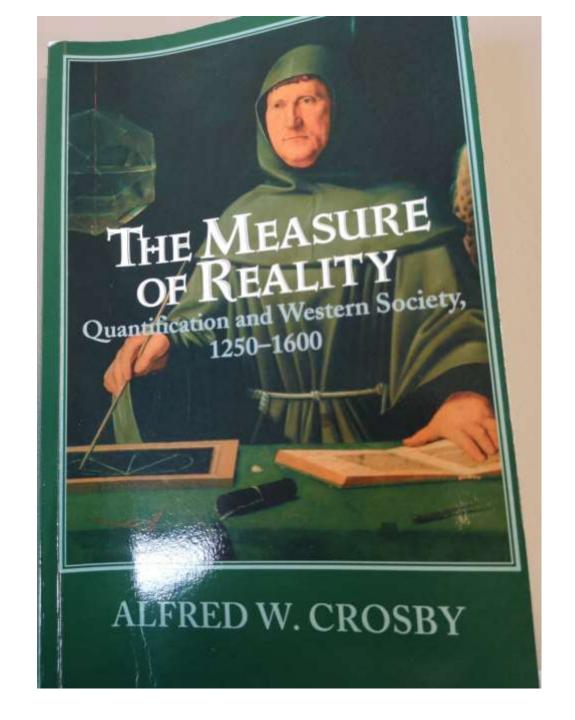
### How did we get there?



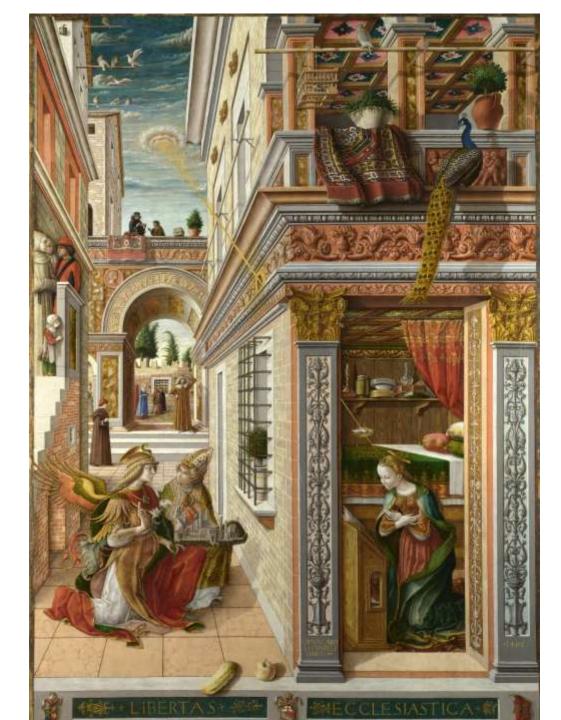
Were quantification and visualization the engine inside the engine of western success and domination?



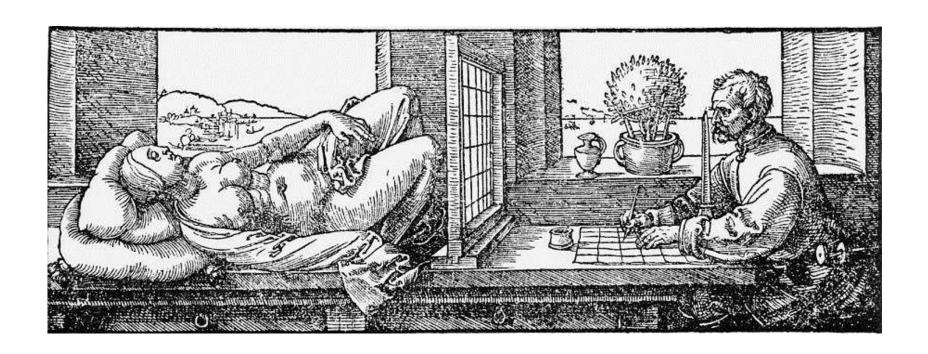
Quantification and visualization of space and time gave rise in the XIV century to a true revolution, in music, painting, accounting, cartography, astronomy ···



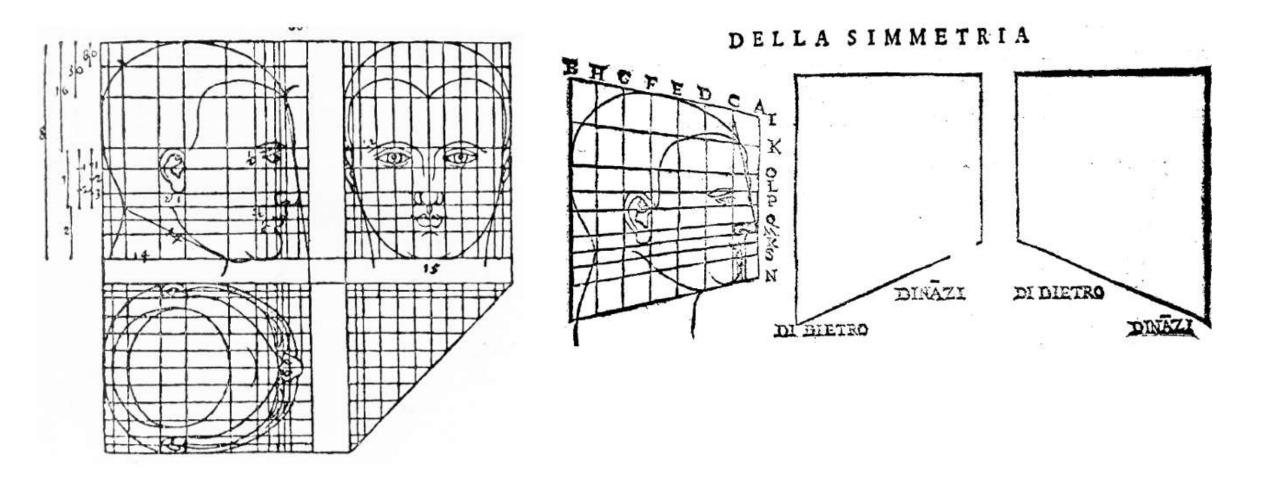
··· a revolution that in the following two centuries XV-XVI ensured the epochal success of the West and its domination over the rest of the world



The Annunciation, Carlo Crivelli (1435, 1495)

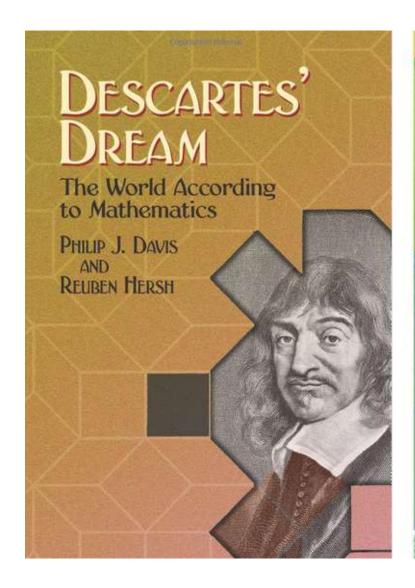


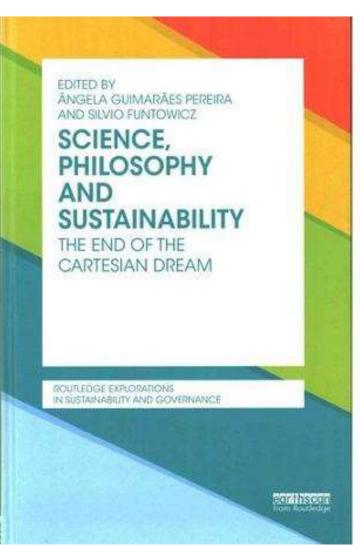
Draftsman Drawing a Reclining Nude Albrecht Dürer (1471–1528)



From "De Varietate figurarum" Albrecht Dürer (1471–1528)

#### Quantification as a key element of the Cartesian Dream





### Separate but related stories

Cartesian dream: possess and domination of nature

The 'procedural utopia': grounding social harmony and progress in calculations









Francis Bacon (1561–1626)

Cartesian dream: the idea of man as master and possessor of nature, of prediction and control, of Bacon's wonders of science and of Condorcet's mathematique sociale...



René Descartes (1596-1650)



Nicolas de Caritat, marquis de Condorcet (1743- 1794)

In the formulation of Condorcet: "All the errors in politics and in morals are founded upon philosophical mistakes, which, themselves, are connected with physical errors" (Ninth Epoch)



Nicolas de Caritat, marquis de Condorcet (1743- 1794)

'Sketch for a Historical Picture of the Progress of the Human Spirit' "Technical progress and ethical progress will go hand in hand"



Marquis de Condorcet

'Mathématique sociale': We still use today terms such as 'Condorcet method', 'Condorcet winner', 'Condorcetranking procedure'

Feldman, J., 2005, Condorcet et la mathematique sociale: enthousiasmes et bemols, Mathematics and Social Sciences, 172(4), 7-41, <a href="http://www.ehess.fr/revue-msh/pdf/N172R955.pdf">http://www.ehess.fr/revue-msh/pdf/N172R955.pdf</a>

Munda G. (2007) - Social multi-criteria evaluation, Springer-Verlag, Heidelberg, New York, Economics Seriesc



Condorcet's algorithms and Descartes' Geometry: the dream always had a quantification agenda



# Science's crisis: the end of the Cartesian dream



#### **Futures**

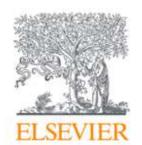
Volume 91, August 2017, Pages 5-11





#### What is science's crisis really about?

Andrea Saltelli a, b ≈ M, Silvio Funtowicz a



#### **Futures**

Volume 104, December 2018, Pages 85-90



## Why science's crisis should not become a political battling ground

### THE RIGHTFUL PLACE OF SCIENCE:

## SCIENCE ON THE VERGE

#### CONTRIBUTORS

Alice Benessia Silvio Funtowicz Mario Giampietro Ângela Guimarães Pereira Jerome R. Ravetz Andrea Saltelli Roger Strand Jeroen P. van der Sluijs



Failed replications, entire subfields going bad, fraudulent peer reviews, predatory publishers, perverse metrics, statistics on trial ...



Brow

ECONOMIC JOURNAL



The Economic Journal, 127 (October), F236–F265. Doi: 10.1111/ecoj.12461 © 2017 Royal Economic Society. Published by John Wiley & Sons, 9600 Garsington Road, Oxford OX4 2DQ, UK and 350 Main Street, Malden, MA 02148, USA.

G OPEN ACCESS

ESSA

June 21, 2017

Why Most Clinical Research Is Not Useful

John P. A. Ioannidis 🖾

Published: June 21, 2016 • https://doi.org/10.1371/journal.pmed.1002049

#### THE POWER OF BIAS IN ECONOMICS RESEARCH\*

John P. A. Ioannidis, T. D. Stanley and Hristos Doucouliagos

October 27, 2017

## The crisis is methodological, epistemological, ethical and metaphysical

Downloaded from http://rsos.royalsocietypublishing.org/ on September 23, 2016

### ROYAL SOCIETY OPEN SCIENCE

rsos.royalsocietypublishing.org

#### Research





Cite this article: Smaldino PE, McElreath R. 2016 The natural selection of bad science. R. Soc. open sci. 3: 160384. http://dx.doi.org/10.1098/rsos.160384

Received: 1 June 2016 Accepted: 17 August 2016

## The natural selection of bad science

#### Paul E. Smaldino<sup>1</sup> and Richard McElreath<sup>2</sup>

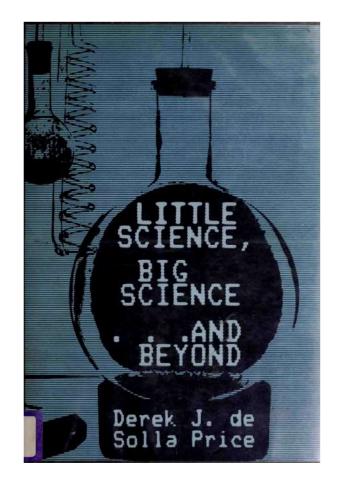
<sup>1</sup>Cognitive and Information Sciences, University of California, Merced, CA 95343, USA
<sup>2</sup>Department of Human Behavior, Ecology, and Culture, Max Planck Institute for Evolutionary Anthropology, Leipziq, Germany

PES, 0000-0002-7133-5620; RME, 0000-0002-0387-5377

Poor research design and data analysis encourage false-positive findings. Such poor methods persist despite perennial calls for improvement, suggesting that they result from something more than just misunderstanding. The persistence of poor methods results partly from incentives that favour them, leading to the natural selection of bad science. This dynamic requires no conscious strategizing—no deliberate cheating nor loafing—by scientists, only that publication is a principal factor for

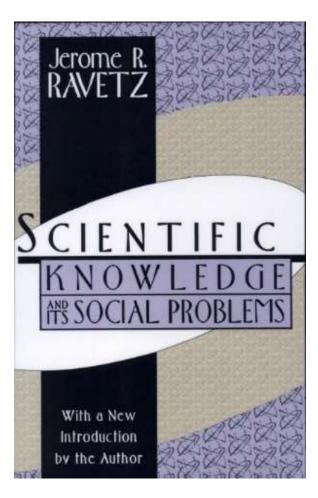
· it will become worse before it can become better

### The crisis was predicted





Derek J. de Solla Price

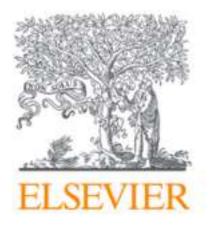




Jerome R. Ravetz

de Solla Price, D.J., 1963, Little science big science, Columbia University Press; Ravetz, J., 1971, Scientific Knowledge and its Social Problems, Oxford University Press.

## Science, the endless frontier of lobbying



#### **Futures**

Available online 26 October 2021

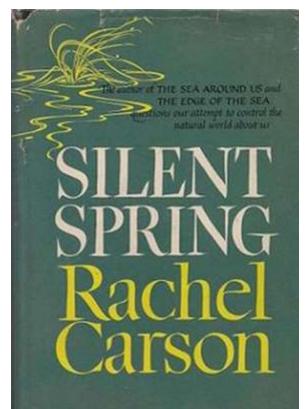
In Press, Journal Pre-proof ?



## Science, the endless frontier of regulatory capture

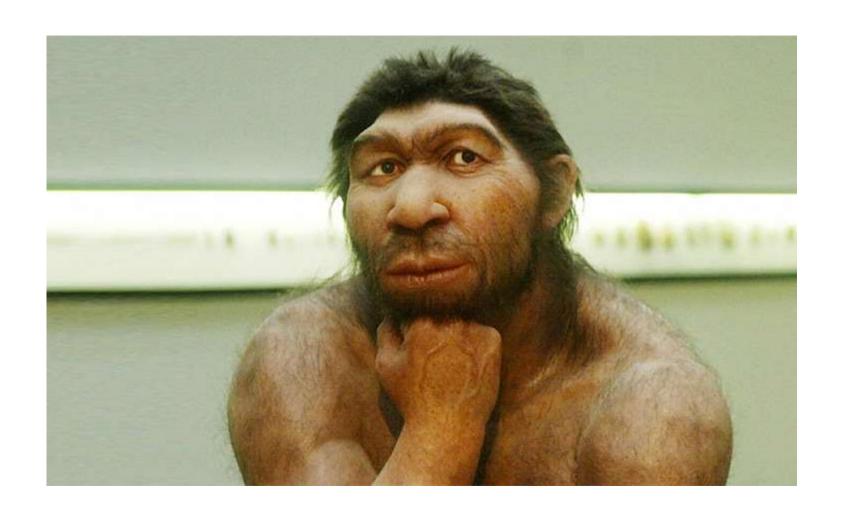
Andrea Saltelli <sup>a</sup> △ , Dorothy J. Dankel <sup>b, c</sup>, Monica Di Fiore <sup>d</sup>, Nina Holland <sup>e</sup>, Martin Pigeon <sup>e</sup>

"··· the Neanderthal age of biology and philosophy, when it was supposed that nature exists for the convenience of man"





### Are we past this age of Neanderthal?



## Are we past this age of Neanderthal? If not, why?



### Futures Available online 26 October 2021 In Press, Journal Pre-proof (?)



Science, the endless frontier of regulatory capture

Andrea Saltelli <sup>a</sup> <sup>△</sup> <sup>⊠</sup>, Dorothy J. Dankel <sup>b, c</sup>, Monica Di Fiore <sup>d</sup>, Nina Holland <sup>e</sup>, Martin Pigeon <sup>e</sup>

... a form of corporate penetration which is based on a strategic use of the image and legitimacy of science

...cases where lobbyists present themselves as upholders of the values of the evidence-based policy, and interested in the methodological and ethical aspect of science for policy

## An epistemic ladder for regulatory capture

- Epistemic. Invalidating the inference or the methods
- Institutional. Delegitimising and/or 'colonising' institutions
- Political. Promoting a worldview where the very idea of regulation is undesirable



#### **Futures**

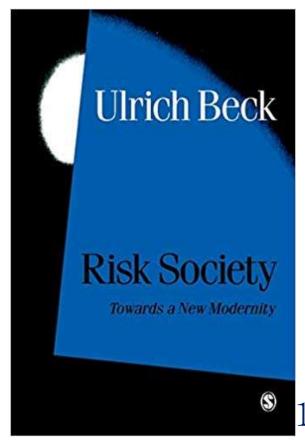
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In Press, Journal Pre-proof ?



Science, the endless frontier of regulatory capture



Science as a self-service shop for financially well-endowed customers in need of arguments (Beck 1992)

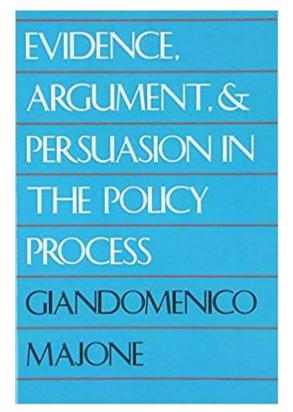


1992 (1986)

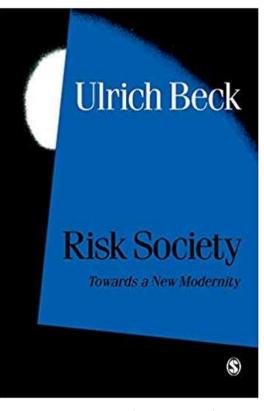


Ulrich Beck (1944 –2015)

### From Ulrich Beck to Giandomenico Majone: the technique is never neutral







1992 (1986)



Ulrich Beck (1944 –2015)



Environmental Science & Policy Volume 106, April 2020, Pages 87-98



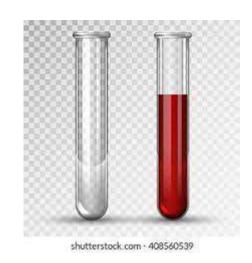
The technique is never neutral. How methodological choices condition the generation of narratives for sustainability

Andrea Saltelli <sup>a, b</sup>  $\stackrel{\bowtie}{\sim}$   $\stackrel{\bowtie}{\bowtie}$ , Lorenzo Benini <sup>c</sup>, Silvio Funtowicz <sup>a</sup>, Mario Giampietro <sup>d, e</sup>, Matthias Kaiser <sup>a</sup>, Erik Reinert <sup>a, f</sup>, Jeroen P. van der Sluijs <sup>a, g, h</sup>

#### Guardians of reason



Guardians of sound science

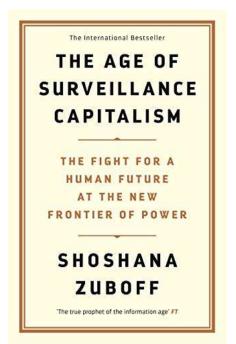


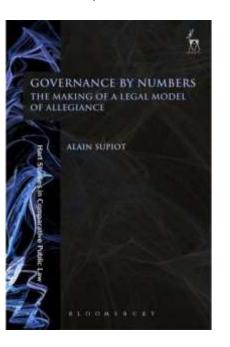
An army of self-proclaimed 'fact checkers' becomes mobilized in the defence of a neoliberal and conservative credo, posing as victims of an assault on science perpetrated by the purported enemies of reason

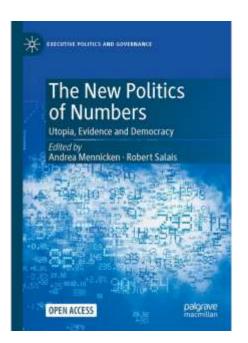
#### Strategies so rapides et inédites



New powerful instruments such as the use of AI and algorithms in combination with cognitive psychology and the new social media to influence the behaviour of consumers / voters







# What integrity for science



- **1→** corrupting political economy of science
- 2→ unsound quality assurance and reward systems
- 3→ disciplines divided by their insularity

#### Definition of *Integrity*

1: firm <u>adherence</u> to a code of especially moral or artistic values: incorruptibility

2: an unimpaired condition: soundness

3: the quality or state of being complete or undivided: completeness

# From: Reformation in the Church of Science. How the truth monopoly was broken up

#### Saving the Real

Andrea Saltelli & Daniel Sarewitz on why the 95 THESES are back



# THE NEW ATLANTIS

A Journal of Technology & Society

#### REALITY: A POST-MORTEM

♦ Whither Consensus? ♦ Life in an Alternate Reality Game ♥
 A new essay series by Jon Askonas

#### Saving the Real

Andrea Saltelli & Daniel Sarewitz on why the 95 THESES are back

Alan Jacobs on DEMONS and why we're all going nuts

David Bentley Hart on minds in SIMULATED WORLDS

James Mumford on THERAPY beyond good and evil

Kit Wilson on READING ourselves to death

Laurence Scott on why hell is OURSELVES

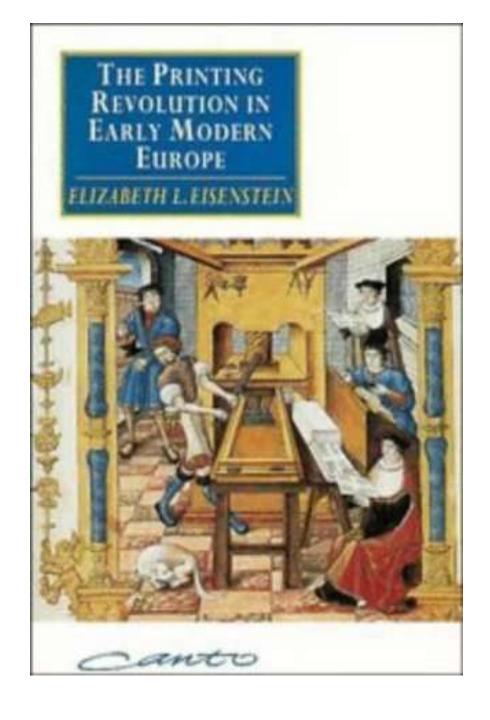
Alan Rome ~ Star Trek into sadness

Gabrielle Bauer ~ Precautionary peril

Paul Dicken ~ AI & HR's unholy union

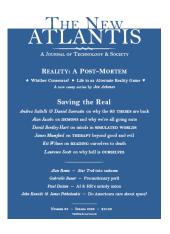
John Konicki & James Pethokoukis ~ Do Americans care about space?

Number 68 - Spring 2022 - \$10.00



# Post-truth as the loss of monopoly of who produces the fake news

Church - science Printing press - new media





#### **Futures**

Volume 115, January 2020, 102491



# Technoscience, policy and the new media. Nexus or vortex?

Andrea Saltelli a, b ≥ , Paul-Marie Boulanger E



A Journal of Technology & Society

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Paul Dicken ~ AI & HR's unholy union

John Konicki & James Pethokoukis ~ Do Americans care about space?

Number 68 - Spring 2022 - \$10.0 Tresperatures

# Statistical and mathematical modelling

#### nature communications

Explore content Y About the journal Y Publish with us >

nature > nature communications > comment > article

Comment | Open Access | Published: 27 August 2019

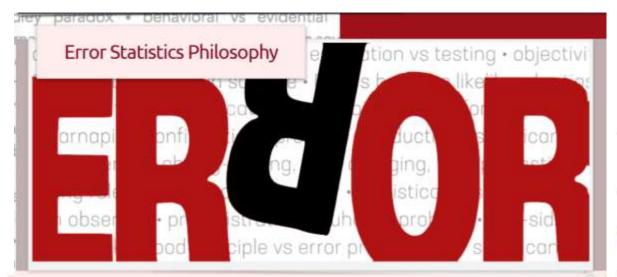
Nature Communications 10, Article number: 3870 (2019)

Andrea Saltelli ☑

39k Accesses 43 Citations 386 Altmetric Metrics

#### A short comment on statistical versus mathematical modelling

While the crisis of statistics has made it to the headlines, that of mathematical modelling hasn't. Something can be learned comparing the two, and looking at other instances of production of numbers. Sociology of quantification and post-normal science can help.





## SIGNIFICANCE

Business

Culture

Politics

Cargo-cult statistics and scientific crisis

Written by Philip B. Stark and Andrea Saltelli on 05 July 2018. Posted in Science



Statistics in the wake of the reproducibility crisis

Statistical wars?

#### Science's ethics — quantification



Example: Choosing the significance level in inference testing e.g. with p-test (1%,5%,10%, ...)?

# Philosophy of Science

VOL. 20

January, 1953

NO. I

THE SCIENTIST QUA SCIENTIST MAKES VALUE JUDGMENTS\* RICHARD RUDNER

"How sure we need to be before we accept a hypothesis will depend on how serious a mistake would be"

THE SCIENTIST QUA SCIENTIST MAKES VALUE JUDGMENTS\*
RICHARD RUDNER



Andrea Saltelli 🗹 , Gabriele Bammer , Isabelle Bruno , Erica Charters , Monica Di Fiore , Emmanuel Didier , Wendy Nelson Espeland , John

Kay, Samuele Lo Piano, Deborah Mayo, Roger Pielke Jr, Tommaso Portaluri, Theodore M. Porter, Arnald Puy, Ismael Rafols, Jerome R.

Ravetz, Erik Reinert, Daniel Sarewitz, Philip B. Stark, Andrew Stirling, Jeroen van der Sluijs & Paolo Vineis

3 modellers Lo Piano, Puy, Saltelli

2 experts models and society Pielke, van der Sluijs

3 statisticians Mayo, Stark, Portaluri

2 statactivistes Bruno, Didier

2 economists Kay, Raynert

1 epidemiologist vineis

2 sociologists of quantification

Espeland, Porter

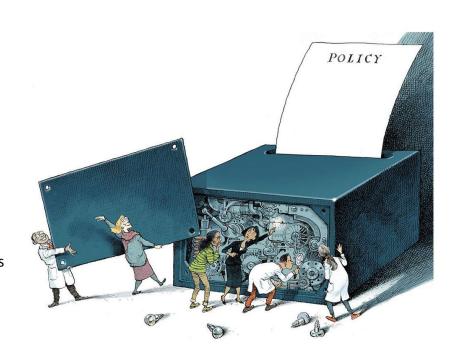
3 STS scholars Bammer, Sarewitz, Stirling

1 philosopher Ravetz

1 historian Charters

1 political scientists Di Fiore

1 expert RRI - Open Science Rafols



Assess uncertainty and sensitivity

#### Mind the hubris

Complexity can be the enemy of relevance

#### Mind the framing

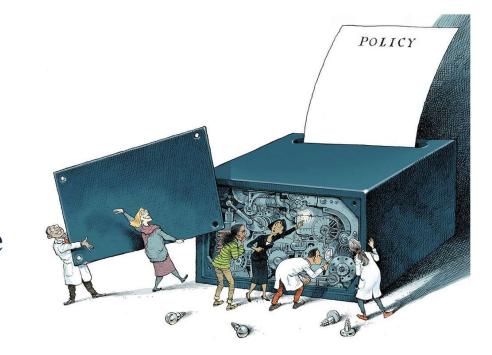
Match purpose and context



Quantification can backfire.

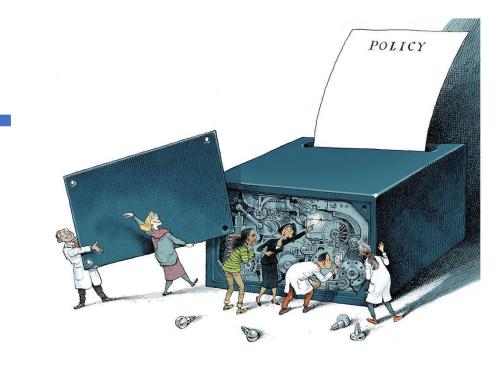
#### Mind the unknowns

Acknowledge ignorance

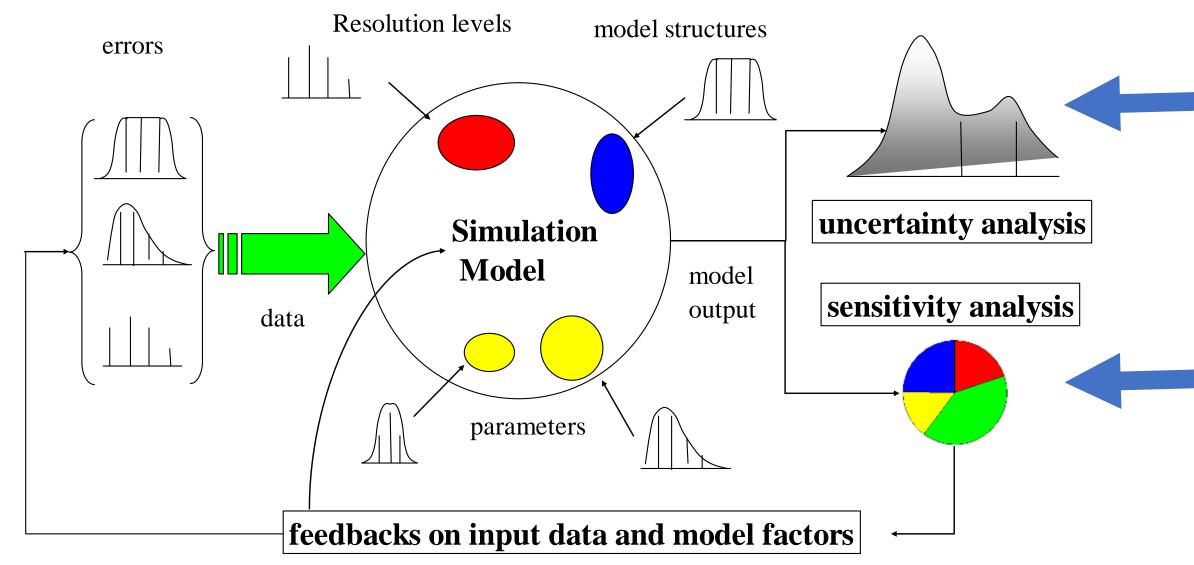


Assess uncertainty and sensitivity

··· models require input values for which there is no reliable information...



...global uncertainty and sensitivity analyses are often not done. Anyone turning to a model for insight should demand them

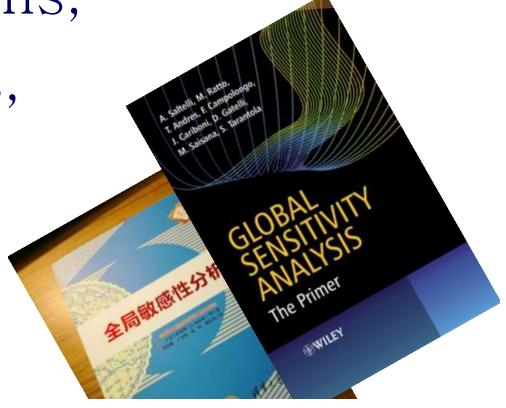


### One can sample more than just factors:

modelling assumptions,

alternative data sets,

- resolution levels,
- scenarios ···



Modelling of the modelling process

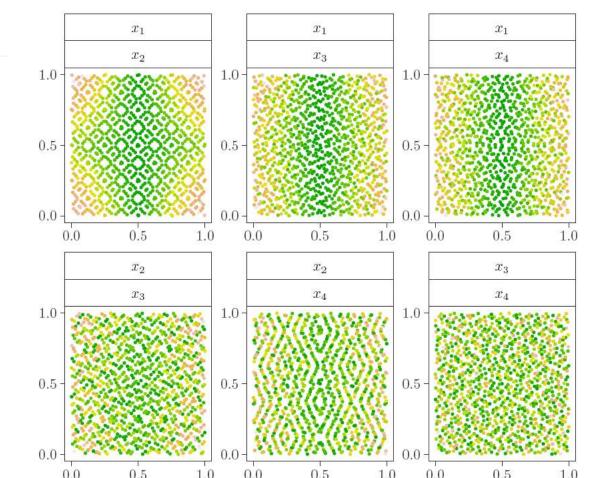
# Journal of Statistical Software

Home / Archives / Vol. 102 (2022) / Issue 5

sensobol: An R Package to Compute Variance-

**Based Sensitivity Indices** 

Arnald Puy 📵, Samuele Lo Piano 📵, Andrea Saltelli 📵, Simon A. Levin 📵



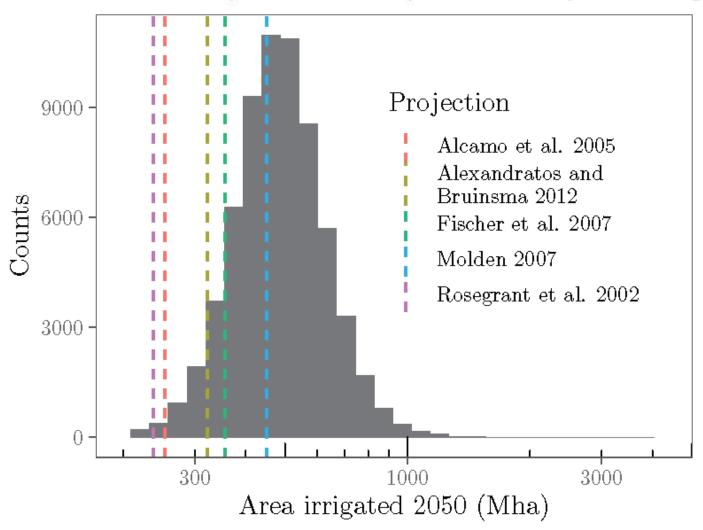
Assess uncertainty and sensitivity

··· this may lead to interesting discoveries ···



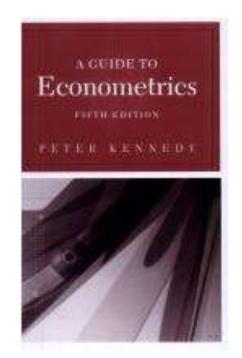
# Geophysical Research Letters Current Models Underestimate Future Irrigated Areas

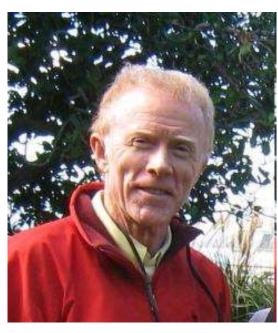
A. Puy X, S. Lo Piano, A. Saltelli First published: 17 April 2020 https://doi.org/10.1029/2020GL087360



Peter Kennedy, A Guide to Econometrics.

One of the ten commandments of applied econometrics according to Peter Kennedy:





Peter Kennedy

"Thou shall confess in the presence of sensitivity. Corollary: Thou shall anticipate criticism "



"One reason these methods [global sensitivity analysis] are rarely used is their honesty seems destructive;"

"or, to put it another way, a fanatical commitment to fanciful formal models is often needed to create the appearance of progress"

Tantalus on the Road to Asymptopia, Edward E. Leamer, 2010 *Journal of Economic Perspectives*, **24**, (2), 31–46.

Assess uncertainty and sensitivity

#### Mind the hubris

Complexity can be the enemy of relevance

#### Mind the framing

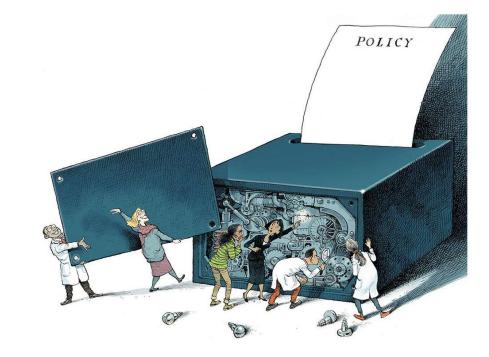
Match purpose and context

#### Mind the consequences

Quantification can backfire.

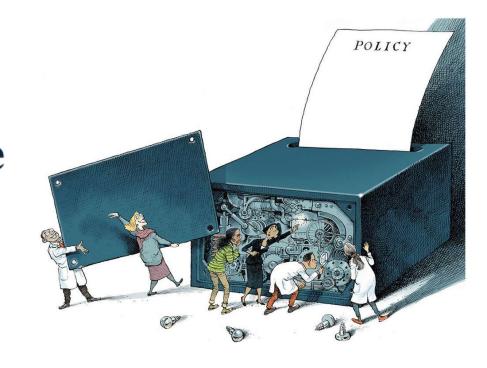
#### Mind the unknowns

Acknowledge ignorance



#### Mind the hubris

Complexity can be the enemy of relevance



... many are seduced by the idea of adding complexity in an attempt to capture reality more accurately, but...

SUPPLEMENTARY INFORMATION

Model complexity



Current Issue

First release papers

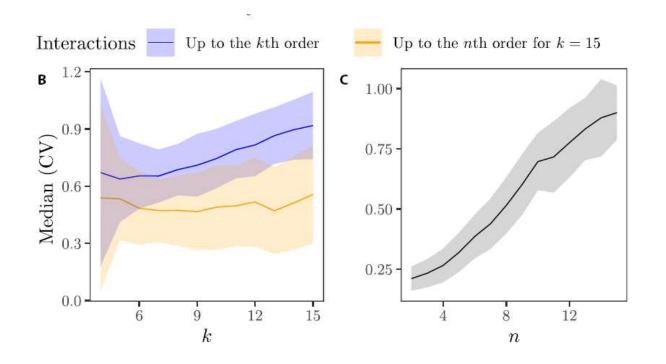
RESEARCH ARTICLE | MATHEMATICS

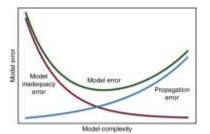


#### Models with higher effective dimensions tend to produce more uncertain estimates



SCIENCE ADVANCES • 19 Oct 2022 • Vol 8, Issue 42 • DOI: 10.1126/sciadv.abn9450







Empirical test using the SAbased concept of effective dimension

Assess uncertainty and sensitivity

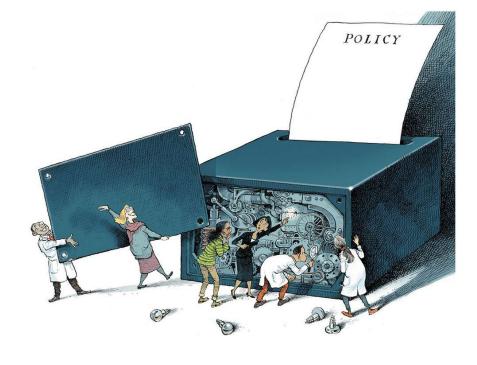
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Match purpose and context



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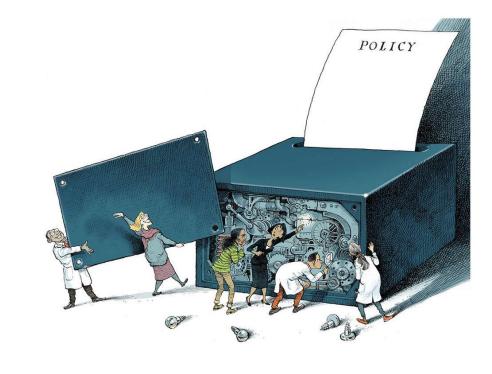
Quantification can backfire.

#### Mind the unknowns

Acknowledge ignorance

# Mind the framing

Match purpose and context



··· models will reflect the interests, disciplinary orientations and biases of the developers···

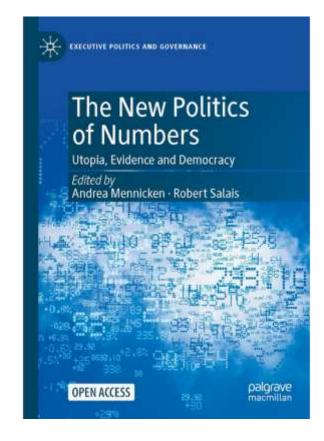
SUPPLEMENTARY INFORMATION

Since the technique is never neutral a technical proof of quality is illusory without a parallel investigation of normative quality

**Technical Quality** 

Normative quality

How the numbers of neoliberalism (New Public Management) constitute a regime of ademocracy; the example of indicators of employment



Salais, R. (2022). "La donnée n'est pas un donné": Statistics, Quantification and Democratic Choice. In *The New Politics of Numbers: Utopia, Evidence and Democracy*, Andrea Mennicken and Robert Salais, Palgrave Macmillan, pp. 379-415.

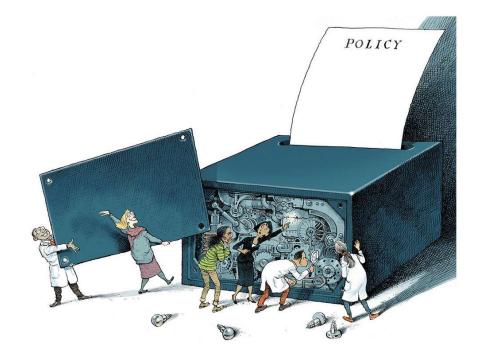
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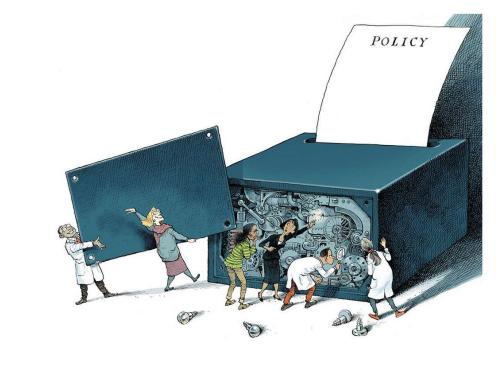
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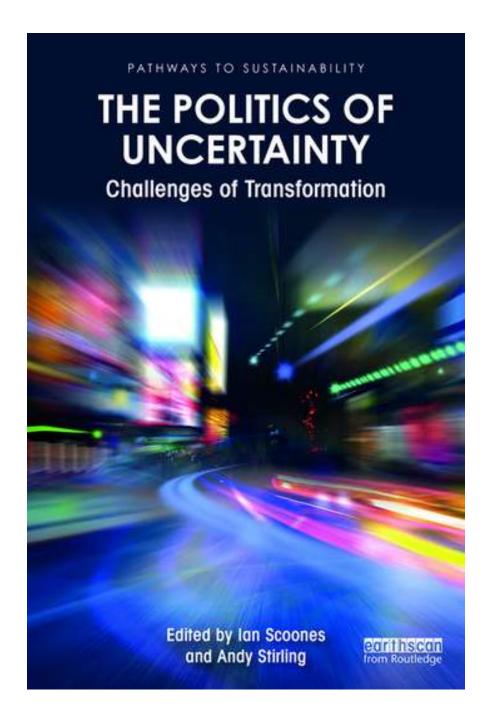
# Mind the consequences

Quantification can backfire.



From the risk of financial products to the management of costal zones to the models for disaster insurance bad modelling may lead to wrong decisions

SUPPLEMENTARY INFORMATION



3

# SHARING RISKS OR PROLIFERATING UNCERTAINTIES?

Insurance, disaster and development

Leigh Johnson

Model-based parametric insurance led to dramatic consequences for developing countries experiencing draughts

**Open access:** https://www.taylorfrancis.com/books/politics-uncertainty-ian-scoones-andy-stirling/e/10.4324/9781003023845

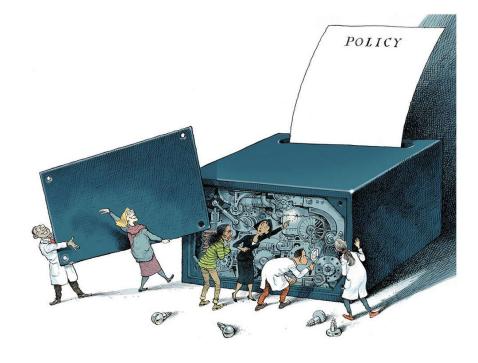
Assess uncertainty and sensitivity

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Complexity can be the enemy of relevance



Match purpose and context



#### Mind the consequences

Quantification can backfire.



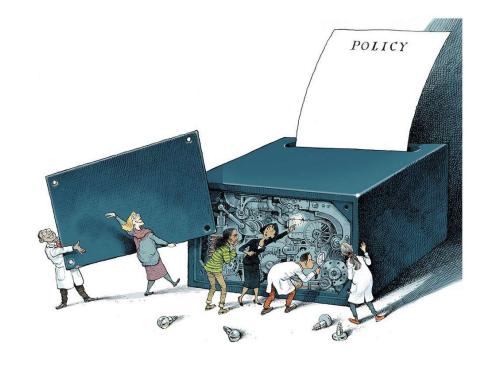
Mind the unknowns

Acknowledge ignorance

## Mind the unknowns

Acknowledge ignorance

"there is no number-answer to your question"





SUPPLEMENTARY INFORMATION

Anthony Fauci



#### **Futures**

Volume 91, August 2017, Pages 62-71



Original research article

# What is wrong with evidence based policy, and how can it be improved?

Andrea Saltelli a, b, c ≥ Mario Giampietro a, c, d

Responsible use of quantitative information; try via negativa (N. Taleb); instead of proving policy options try to falsify them



#### **Futures**

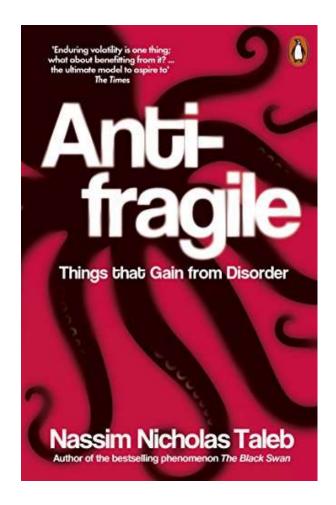
Volume 91, August 2017, Pages 62-71



Original research article

What is wrong with evidence based policy, and how can it be improved?

Andrea Saltelli a, b, c ≥ Mario Giampietro a, c, d



#### Falsification of the available options based on:

- Feasibility (compatibility with external constraints),
- Viability (compatibility with internal constraints), and
- Desirability (compatibility with normative values adopted in the given society)



Futures
Volume 91, August 2017, Pages 62-71



Original research article

What is wrong with evidence based policy, and how can it be improved?

Andrea Saltelli a, b, c ≥ M, Mario Giampietro a, c, d

# Numbers, visible and invisible…

### Blurring lines:

"what qualities are specific to rankings, or indicators, or models, or algorithms?"

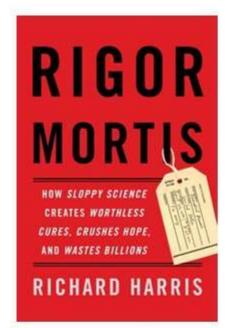


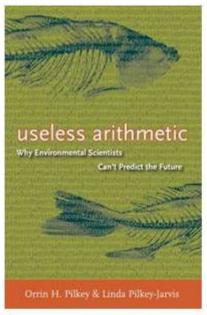
Elizabeth Popp Berman

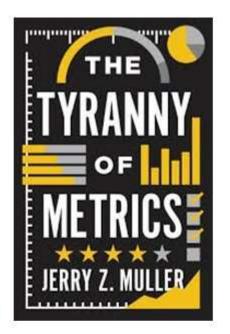
E. Popp Berman and D. Hirschman, The Sociology of

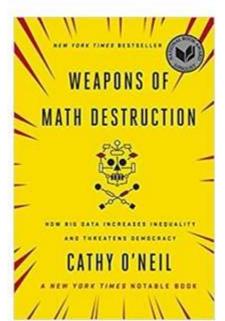
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#### Algorithms, models, metrics, statistics...

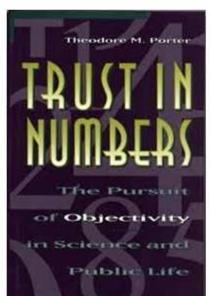






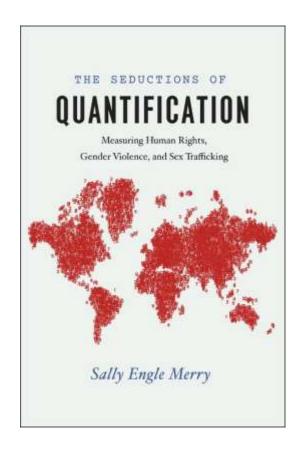


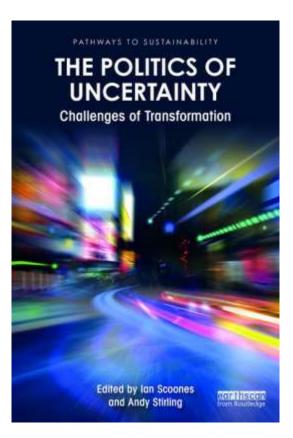


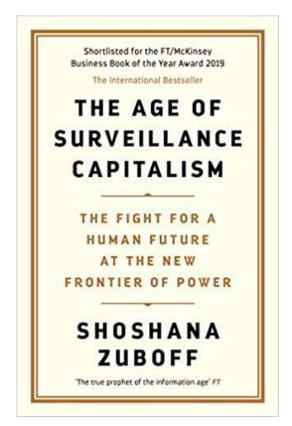


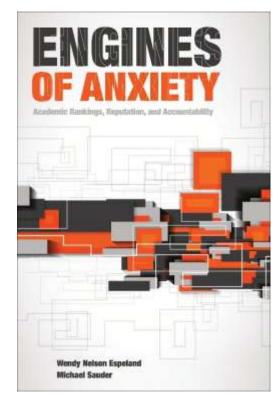


#### Algorithms, models, metrics, statistics...

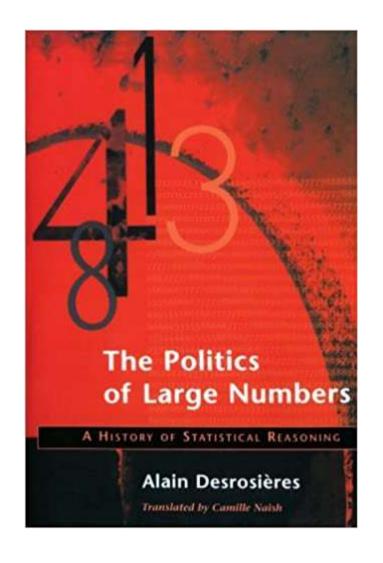


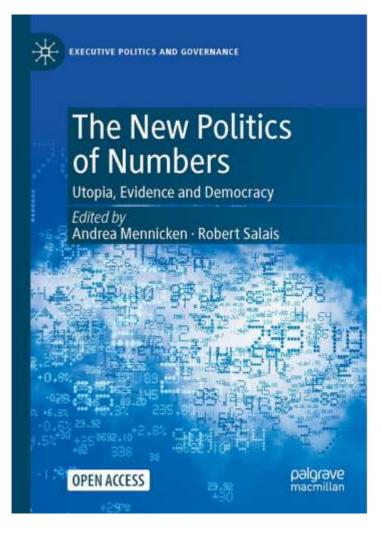


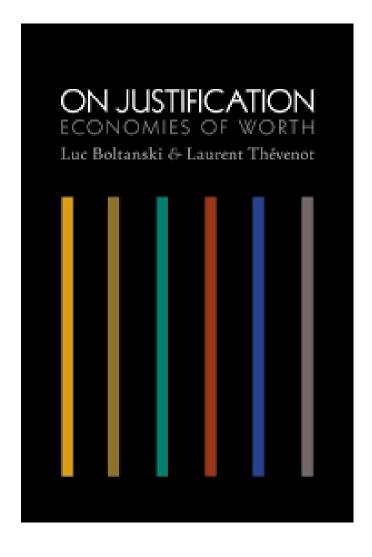




#### Algorithms, models, metrics, statistics...







#### Dangers of mathematization of economics









Wolfgang Drechsler

Erik S. Reinert

Paul Romer

Philip Mirowski

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WORKING PAPER WP 2021/07

### Altered States: Cartesian and Ricardian dreams

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# Ethics of quantification?



#### **Futures**

Volume 116, February 2020, 102509



Essays

# Ethics of quantification or quantification of ethics?

Andrea Saltelli

Article Open Access Published: 19 August 2020

Humanities & Social Sciences Communications

# From sociology of quantification to ethics of quantification

Humanities and Social Sciences Communications 7, Article number: 69 (2020) Cite this article

4091 Accesses 8 Citations 30 Altmetric Metrics

# Why ethics of duantification or or is needed now is needed now

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WORKING PAPER WP 2021/05



··· our world is structured by numbers, visible and invisible, where truth is conveyed and reality constructed

Numbers are seductive, performative, confer to their masters' epistemic power and legitimacy

Governing the modern state, or even contesting it, without numbers is impossible

Numbers are the prevalent means to express value in our societies ... Access & production of numbers reflect and reinforce power imbalances



Numbers capture our attention; they illuminate the part of reality which is being numerified, and hide that which is not

··· numbers are so deeply entrenched in our existence that we barely reflect on them critically them anymore ···

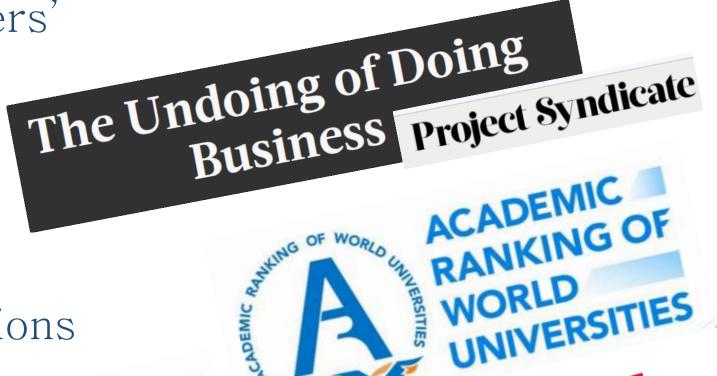
··· too close to us, they have become part of the very lens through which we attend to and comprehend the world



## Harm from 'wrong numbers'

- Medical research
- Higher education
- Governance of science
- Finance
- International organizations
  - FAO
  - World Bank
  - OECD ···







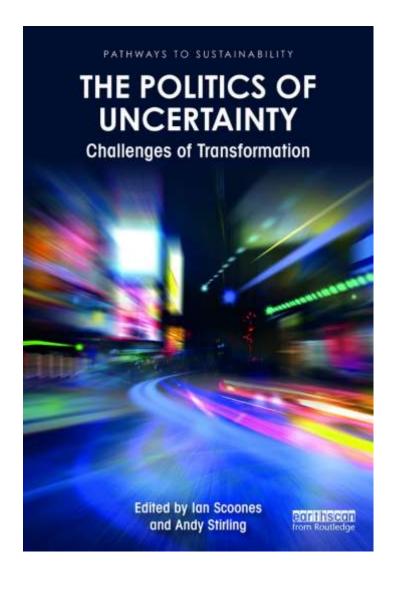


#### 'Trendy' methods may also harm

- Randomized control trials misused to suggest more general conclusions that may not be valid
- Statistical/econometric techniques designed to promote particular policy conclusions reflecting researchers' biases



WORKING PAPER WP 2021/05



# The End



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