Social Science and Social Control

It would require a technical survey, which would be out of place here, to prove that the existing limitations of "social science" are due mainly to unreasoning devotion to physical science as a model, and to a misconception of physical science at that. Without making any such survey, attention may be directly called to one outstanding difference between physical and social facts. The ideal of the knowledge dealing with the former is the elimination of all factors dependent upon distinctively human response. "Fact," physically speaking, is the ultimate residue after human purposes, desires, emotions, ideas and ideals have been systematically excluded. A social "fact," on the other hand, is a concretion in external form of precisely these human factors.

An occurrence is a physical fact only when its constituents and their relations remain the same, irrespective of the human attitude toward them. A species of mosquitoes is the carrier of the germs of malaria, whether we like or dislike malaria. Drainage and oil-spraying to destroy mosquitoes are a social fact because their use depends upon human purpose and desire. A steam locomotive or a dynamo is a physical fact in its structure; it is a social fact when its existence depends upon the desire for rapid and cheap transportation and communication. The machine itself may be understood physically without reference to human aim and motive. But the railway or public-utility system cannot be understood without reference to human purposes and human consequences.

I may illustrate the present practice of slavishly following the technique of physical science and the uselessness of its results by the present zeal for "fact finding." Of course, one cannot think, understand and plan without a basis of fact, and since facts do not lie around in plain view, they have to be discovered. But for the most part, the data which now are so carefully sought and so elaborately scheduled are not social facts at all. For their connection with any system of human purposes and consequences, their bearing as means and as results upon human action, are left out of the picture. At best they are mere physical and external facts. They are unlike the facts of physical science, because the latter are found by methods which make their interrelations and their laws apparent, while the facts of social "fact finding" remain a miscellaneous pile of meaningless items. Since their connections with human wants and their effect on human values are neglected, there is nothing which binds them together into an intelligible whole.

It may be retorted that to connect facts with human desires and their effect upon human values is subjective and moral, and to an extent that makes it impossible to establish any conclusions upon an objective basis: that to attempt inference on this point would land us in a morass of speculative opinion. Suppose, for example, all the facts about the working of the prohibition law and its enforcement were much more completely known than they are; even so, to establish a connection between these facts and the human attitudes lying back of them would be a matter of guess work. As things stand, there is much force in the objection. But if made universal, it would overlook the possibility of another kind of situation.

Wherever purposes are employed deliberately and systematically for the sake of certain desired social results, there it is possible, within limits, to determine the connection between the human factor and the actual occurrence, and thus to get a complete social fact, namely, the actual external occurrence in its human relationships. Prohibition, whether noble or not, is not an experiment in any intelligent scientific sense of the term. For it was undertaken without the effort to obtain the conditions of control which are essential to any experimental determination of fact. The Five Year Plan of Russia, on the other hand, whether noble or the reverse, has many of the traits of a social experiment, for it is an attempt to obtain certain specified social results by the use of specified definite measures, exercised under conditions of considerable, if not complete, control.

The point I am making may be summed up by saying that it is

ATTEMENT

a complete error to suppose that efforts at social control depend upon the prior existence of a social science. The reverse is the case. The building up of social science, that is, of a body of knowledge in which facts are ascertained in their significant relations, is dependent upon putting social planning into effect. It is at this point that the misconception about physical science, when it is taken as a model for social knowledge, is important. Physical science did not develop because inquirers piled up a mass of facts about observed phenomena. It came into being when men intentionally experimented, on the basis of ideas and hypotheses, with observed phenomena to modify them and disclose new observations. This process is self-corrective and selfdeveloping. Imperfect and even wrong hypotheses, when acted upon, brought to light significant phenomena which made improved ideas and improved experimentations possible. The change from a passive and accumulative attitude into an active and productive one is the secret revealed by the progress of physical inquiry. Men obtained knowledge of natural energies by trying deliberately to control the conditions of their operation. The result was knowledge, and then control on a larger scale by the application of what was learned.

It is a commonplace of logical theory that laws are of the "ifthen" type. If something occurs, then something else happens; if certain conditions exist, they are accompanied by certain other conditions. Such knowledge alone is knowledge of a fact in any intelligible sense of the word. Although we have to act in order to discover the conditions underlying the "if" in physical matters, yet the material constituting the "if" is there apart from our action; like the movements of sun and earth in an eclipse. But in social phenomena the relation is: "If we do something, something else will happen." The objective material constituting the "if" belongs to us, not to something wholly independent of us. We are concerned, not with a bare relation of cause and effect, but with one of means and consequences, that is, of causes deliberately used for the sake of producing certain effects. As far as we intentionally do and make, we shall know; as far as we "know" without making, our so-called knowledge is a miscellany, or at most antiquarian, and hence without relevance to future planning. Only the knowledge which is itself the fruit of a technology can breed further technology.

I want to make the same point with reference to social prediction. Here, too, the assumption is generally made that we must be able to predict before we can plan and control. Here again the reverse is the case. We can predict the occurrence of an eclipse precisely because we cannot control it. If we could control it, we could not predict, except contingently; just as we can predict a collision when we see two trains approaching on the same track—provided that a human being does not foresee the possibility and take measures to avert its happening. The other day I ran across a remark of Alexander Hamilton's to the effect that instead of awaiting an event to know what measures to take, we should take measures to bring the event to pass. And I would add that only then can we genuinely forecast the future in the world of social matters.

Empirical rule-of-thumb practices were the mothers of the arts. But the practices of the arts were in turn the source of science, when once the empirical methods were freed in imagination and used with some degree of freedom of experimentation. There cannot be a science of an art until the art has itself made some advance, and the significant development occurs when men intentionally try to use such art as they have already achieved in order to obtain results which they conceive to be desirable. If we have no social technique at all, it is impossible to bring planning and control into being. If we do have at hand a reasonable amount of technique, then it is by deliberately using what we have that we shall in the end develop a dependable body of social knowledge. If we want foresight, we shall not obtain it by any amount of fact finding so long as we disregard the human aims and desires producing the facts which we find. But if we decide upon what we want socially, what sort of social consequences we wish to occur, and then use whatever means we possess to effect these intended consequences, we shall find the road that leads to foresight. Forethought and planning must come before foresight.

I am not arguing here for the desirability of social planning and control. That is another question. Those who are satisfied with present conditions and who are hopeful of turning them to account for personal profit and power will answer it in the negative. What I am saying is that if we want something to which the name "social science" may be given, there is only one way to go about it, namely, by entering upon the path of social planning

and that the

and control. Observing, collecting, recording and filing tomes of social phenomena without deliberately trying to do something to bring a desired state of society into existence only encourages a conflict of opinion and dogma in their interpretation. If the social situation out of which these facts emerge is itself confused and chaotic because it expresses socially unregulated purpose and haphazard private intent, the facts themselves will be confused, and we shall add only intellectual confusion to practical disorder. When we deliberately employ whatever skill we possess in order to serve the ends which we desire, we shall begin to attain a measure of at least intellectual order and understanding. And if past history teaches anything, it is that with intellectual order we have the surest possible promise of advancement to practical order.

The Collapse of a Romance

Carlyle, who was a romantic, called political economy the dismal science. And it is true that the roseate hopes of the earlier economists had well nigh disappeared by his day. Ricardo had indicated that there was not enough land to go around and Malthus that there were altogether too many people. Natural laws seemed to doom many to live on the edge of the subsistence line. In the United States, however, for fairly obvious reasons the earlier glow revived and business was ordained as the great romantic adventure.

Although the rebirth of glamor was dependent upon local American conditions, there was a genuinely romantic factor in economic theory; we did not create the romanticism, we only gave it the chance to flourish. Strange as it sounds, the economic man was himself a hero of romance. Of course another branch of the romantic tradition did not consider him as such; he figures there as withdrawing from the realm of romance into the counting room, there to engage in a prosaic grubbing into musty ledgers. But different romanticists rarely understand one another, and while the earlier tradition tended to prevail in the books, the new romantic spirit took possession of the scene of action.

The new hero of romance did not seek justification for himself in theory; the adventure was its own justification. But if he had turned to economic theory he would have found written warrant. For, in that theory, wants and desires were glorified power; at their magic touch the world was to be transformed; they were, when unshackled from legal artifice and political despotism, the sure source of prosperity and continual progress; the earthly savior of mankind. Wants stirred man to energy, rendered him

[First published in New Republic 70 (27 April 1932): 292-94.]