

#### Ethics of quantification

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Sciences and the Humanities
(SVT), University of Bergen
(UIB)

Course:

Theory of Science and Ethics, for the PhD students from the Faculty of Science and Mathematics of Bergen University

September 13, 2017

#### Andrea **Saltelli**

#### Where to find this talk: www.andreasaltelli.eu

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sensitivity analysis, sensitivity auditing, science for policy, impact assessment



= more material on my web site



= discussion time

# The spirit of p

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### ROYAL SOCIETY OPEN SCIENCE

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Review





**Cite this article:** Colquhoun D. 2014 An investigation of the false discovery rate and the misinterpretation of *p*-values. *R. Soc. open sci.* **1**: 140216.

http://dx.doi.org/10.1098/rsos.140216

# An investigation of the false discovery rate and the misinterpretation of *p*-values

#### David Colquhoun

Department of Neuroscience, Physiology and Pharmacology, University College London, Gower Street, London WC1 6BT, UK

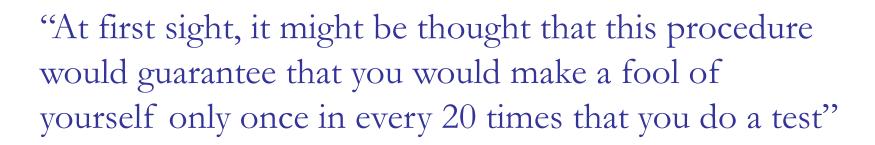
"If you are foolish enough to define 'statistically significant' as anything less than p=0.05 then... you have a 29% chance (at least) of making a fool of yourself.

Who would take a risk like that? Judging by the medical literature, most people would. No wonder there is a problem"

Colquhoun D. 2014 An investigation of the false discovery rate and the misinterpretation of p-values. R. Soc. Open sci. 1: 140216. http://dx.doi.org/10.1098/rsos.140216

#### P values by way of an example

- Two groups, one with a placebo, one with the treatment
- Random allocation to groups (+more!)
- The difference *d* between the means of the two groups is tested (is it different from zero?)
- p=0.05 implies that if there were no effect the probability of observing a value equal to d or higher would be 5%



Colquhoun D. 2014 An investigation of the false discovery rate and the misinterpretation of p-values. R. Soc. Open sci. 1: 140216. http://dx.doi.org/10.1098/rsos.140216

"The classical p-value does exactly what it says. But it is a statement about what would happen if there were no true effect. That cannot tell you about your long-term probability of making a fool of yourself, simply because sometimes there really is an effect. In order to do the calculation, we need to know a few more things"

Colquhoun D. 2014 An investigation of the false discovery rate and the misinterpretation of p-values. R. Soc. Open sci. 1: 140216. http://dx.doi.org/10.1098/rsos.140216

#### A classic exercise in screening

You test positive for AIDS (one test only). Time for despair?

Only one 1 in 100,000 has AIDS in your population

The test has a 5% false positive rate

Already one can say: in a population of say 100,000 one will have AIDS and 5,000 (5% of 100,000) will test positive

→ Don't despair (yet)

#### Another exercise in screening (Colquhoun 2014)

You test positive for mild cognitive impairment (MCI) (one test only). Time to retire?

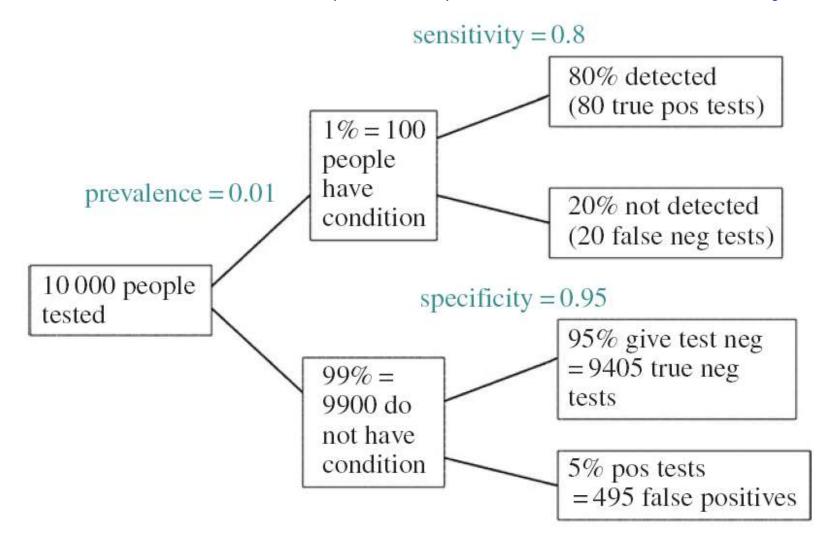
MCI prevalence in the population 1%, i.e. in a sample of 10,000 then 100 have MCI and 9,900 don't

The test has a 5% **false positive** rate; of the 9,900 who don't have MCI 495 test (false) positive and the remaining 9,405 (true) negative

The test does not pick all the 100 MCI but only 80; there will be 20 **false negative**. So we see 80+495=575 positive of which only 80 (a 14%) are true and the remaining 86% false

→ It does not make sense to screen the population for MCI!

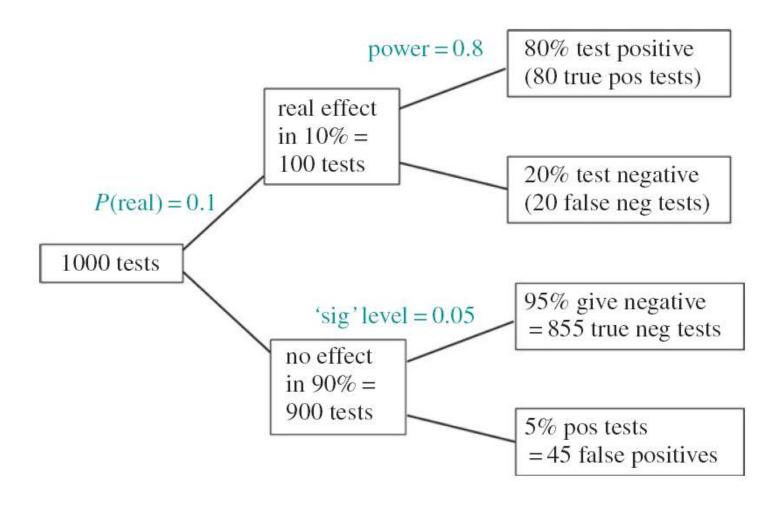
#### The number 86% = 495/(495+80) is our **false discovery rate**



Colquhoun D. 2014 An investigation of the false discovery rate and the misinterpretation of p-values. R. Soc. Open sci. 1: 140216. http://dx.doi.org/10.1098/rsos.140216

The same concept of false discovery rate applies to the problem of significance test

#### We now consider tests instead of individuals

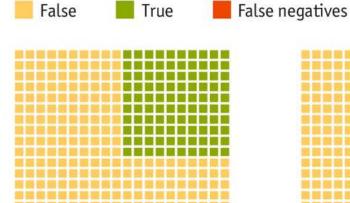


Colquhoun D. 2014 An investigation of the false discovery rate and the misinterpretation of p-values. R. Soc. Open sci. 1: 140216. http://dx.doi.org/10.1098/rsos.140216

#### **Unlikely results**

How a small proportion of false positives can prove very misleading

The false discovery rate is ~the dark divided by the dark plus light green



1. Of hypotheses interesting enough to test, perhaps one in ten will be true. So imagine tests on 1,000 hypotheses, 100 of which are true.



False positives

2. The tests have a false positive rate of 5%. That means they produce 45 false positives (5% of 900). They have a power of 0.8, so they confirm only 80 of the true hypotheses, producing 20 false negatives.



Source: The Economist

We see 125 hypotheses as true 45 of which are not; the false discovery rate is 45/125 = 36%

Significance  $p=0.05 \rightarrow$  false discovery rate of 36%

We now know that p=0.05 did not correspond to a chance in twenty of being wrong but to one in three

How many numbers did we need to know to reach this conclusion?



## The curse of p

#### Replicability-Index

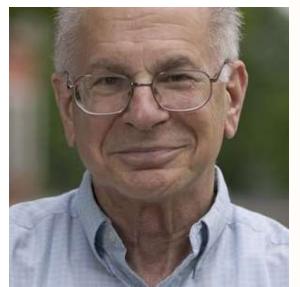
Improving the replicability of empirical research

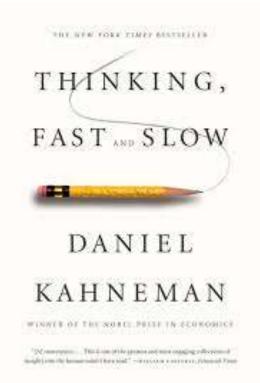


Reconstruction of a Train Wreck: How Priming Research Went off the Rails



Reconstruction of a Train Wreck: How Priming Research Went off the Rails



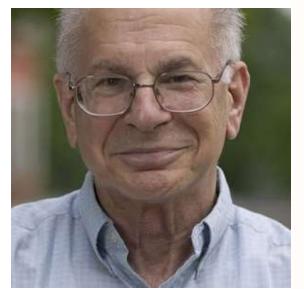


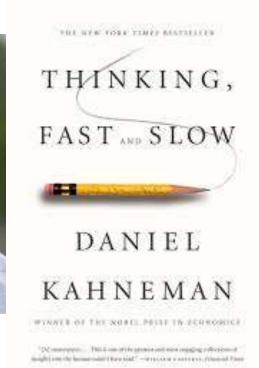
"[...]questions have been raised about the robustness of priming results ... your field is now the poster child for doubts about the integrity of psychological research..."

https://replicationindex.wordpress.com/2017/02/02/reconstruction-of-a-train-wreck-how-priming-research-went-of-the-rails/



Reconstruction of a Train Wreck: How Priming Research Went off the Rails





"... people have now attached a question mark to the field, and it is your responsibility to remove it... I recently wrote a book that emphasizes priming research ... My reason for writing this letter is that I see a train wreck looming" (Kahneman, 2012)

https://replicationindex.wordpress.com/2017/02/02/reconstruction-of-a-train-wreck-how-priming-research-went-of-the-rails/



REPRODUCIBILITY

### Statisticians issue warning on *P* values

Statement aims to halt missteps in the quest for certainty.

"Misuse of the p value — a common test for judging the strength of scientific evidence — is contributing to the number of research findings that cannot be reproduced"

732 North Washington Street, Alexandria, VA 22314 • (703) 684-1221 • Toll Free: (888) 231-3473 • www.omstat.org • www.twitter.com/AmstatNews

#### AMERICAN STATISTICAL ASSOCIATION RELEASES STATEMENT ON STATISTICAL SIGNIFICANCE AND P-VALUES

Provides Principles to Improve the Conduct and Interpretation of Quantitative

Science

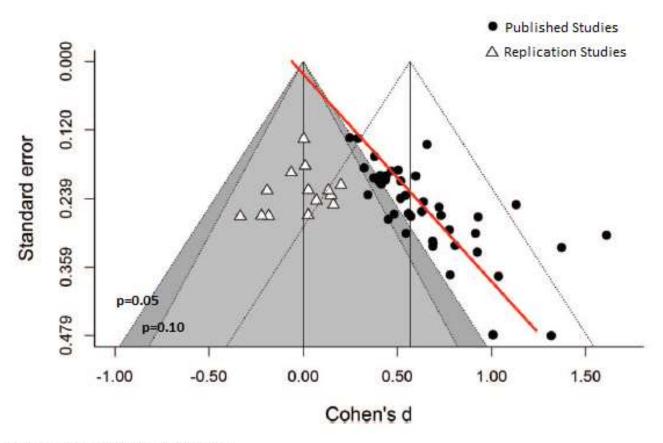
March 7, 2016

... and twenty 'dissenting' commentaries

Wasserstein, R.L. and Lazar, N.A., 2016. 'The ASA's statement on p-values: context, process, and purpose', The American Statistician, DOI:10.1080/00031305.2016.1154108.

See also Christie Aschwanden at http://fivethirtyeight.com/features/not-even-scientists-can-easily-explain-p-values/

#### P-hacking; a smoking gun?



Shanks et al. (2015) JEP: General

J Exp Psychol Gen. 2015 Oct 26. "Romance, Risk, and Replication: Can Consumer Choices and Risk-Taking Be Primed by Mating Motives?", Shanks DR, Vadillo MA, Riedel B, Clymo A, Govind S, Hickin N, Tamman AJ, Puhlmann LM.: http://www.ncbi.nlm.nih.gov/pubmed/26501730

# An important statistical tool misused for several decades with grave consequences for science; how did this come to be?

#### Special Issue:

Bayesian Probability and Statistics in Management Research

Journal of Management
Vol. 41 No. 2, February 2015 421–440
DOI: 10.1177/0149206314547522
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#### **Editorial Commentary**

# Surrogate Science: The Idol of a Universal Method for Scientific Inference

Gerd Gigerenzer

Max Planck Institute for Human Development

Julian N. Marewski

University of Lausanne

### Surrogate Science: The Idol of a Universal Method for Scientific Inference

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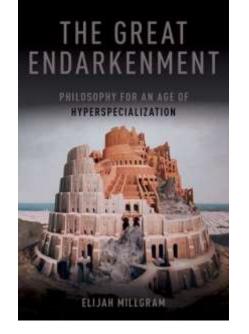
There is no universal method of scientific inference ...

...it is better to have no beliefs than to embrace falsehoods... ( > F. Bacon's idols)

Statistical methods are not simply applied to a discipline; they change the discipline itself, ...

The Great Endarkenment. Philosophy for an Age of Hyperspecialization, by Elijah Millgram





Describes a world in which all knowledge and products are the result of specialized expertise, where experts depend in turn on other experts whose knowledge claims and styles of argumentation cannot be exported across disciplines

Scientist as "serial hyperspecializers" (p. 26) Experts thus become "logical aliens" (p. 32)

- p-hacking (fishing for favourable p-values) and harking (formulating the research Hypothesis After the Results are Known);
- desire to achieve a sought for or simply publishable result leads to fiddling with the data points, the modelling assumptions, the statistical analysis, or the research hypotheses themselves.

Leamer, E. E. Tantalus on the Road to Asymptopia. J. Econ. Perspect. 24, 31–46 (2010).

Kerr, N. L. HARKing: Hypothesizing After the Results are Known. Personal. Soc. Psychol. Rev. 2, 196–217 (1998).

#### Good intentions going bad

TABLE 1. GROWING PERVERSE INCENTIVES IN ACADEMIA

Incentive	Intended effect	Actual effect
"Researchers rewarded for increased number of publications."	"Improve research productivity," provide a means of evaluating performance.	"Avalanche of" substandard, "incremental papers"; poor methods and increase in false discovery rates leading to a "natural selection of bad science" (Smaldino and Mcelreath, 2016); reduced quality of peer review
"Researchers rewarded for increased number of citations."	Reward quality work that influences others.	Extended reference lists to inflate citations; reviewers request citation of their work through peer review
"Researchers rewarded for increased grant funding."	"Ensure that research programs are funded, promote growth, generate overhead."	Increased time writing proposals and less time gathering and thinking about data. Overselling positive results and downplay of negative results.
Increase PhD student productivity	Higher school ranking and more prestige of program.	Lower standards and create oversupply of PhDs. Postdocs often required for entry-level academic positions, and PhDs hired for work MS students used to do.
Reduced teaching load for research- active faculty	Necessary to pursue additional competitive grants.	Increased demand for untenured, adjunct faculty to teach classes.
"Teachers rewarded for increased student evaluation scores."	"Improved accountability; ensure customer satisfaction."	Reduced course work, grade inflation,
"Teachers rewarded for increased student test scores."	"Improve teacher effectiveness."	"Teaching to the tests; emphasis on short-term learning."
"Departments rewarded for increasing U.S. News ranking."	"Stronger departments."	Extensive efforts to reverse engineer, game, and cheat rankings,
"Departments rewarded for in- creasing numbers of BS, MS, and PhD degrees granted."	"Promote efficiency; stop students from being trapped in degree programs; impress the state legislature."	"Class sizes increase; entrance requirements" decrease; reduce graduation requirements.
"Departments rewarded for increasing student credit/contact hours (SCH)."	"The university's teaching mission is fulfilled."	"SCH-maximization games are played": duplication of classes, competition for service courses.

Modified from Regehr (pers. comm., 2015) with permission.

Academic Research in the 21st Century: Maintaining Scientific Integrity in a Climate of Perverse Incentives and Hyper-competition, Marc A. Edwards and Siddhartha Roy, ENVIRONMENTAL ENGINEERING SCIENCE, 34(1), 2017

#### Incentive

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Academic Research in the 21st Century:
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Edwards and Siddhartha Roy,
ENVIRONMENTAL ENGINEERING
SCIENCE, 34(1), 2017

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#### Research





Cite this article: Smaldino PE, McElreath R. 2016 The natural selection of bad science. R. Soc. open sci. 3: 160384. http://dx.doi.org/10.1098/rsos.160384

Received: 1 June 2016

Accepted: 17 August 2016

# The natural selection of bad science

#### Paul E. Smaldino<sup>1</sup> and Richard McElreath<sup>2</sup>

<sup>1</sup>Cognitive and Information Sciences, University of California, Merced, CA 95343, USA

PES, 0000-0002-7133-5620; RME, 0000-0002-0387-5377

Poor research design and data analysis encourage false-positive findings. Such poor methods persist despite perennial calls for improvement, suggesting that they result from something more than just misunderstanding. The persistence of poor methods results partly from incentives that favour them, leading to the natural selection of bad science. This dynamic requires no conscious strategizing—no deliberate cheating nor loafing—by scientists, only that publication is a principal factor for

<sup>&</sup>lt;sup>2</sup>Department of Human Behavior, Ecology, and Culture, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany

The persistence of poor methods results partly from incentives that favour them, leading to the natural selection of bad science. This dynamic requires no conscious strategizing—no deliberate cheating nor loafing—by scientists, only that publication is a principal factor for career advancement.

Smaldino PE, McElreath R., 2016 The natural selection of bad science. R. Soc. open sci. 3: 160384. http://dx.doi.org/10.1098/rsos.160384

As in the real world, successful		
labs produce more 'progeny,' such that their methods are more		
often copied and their students are more likely to start labs of		
their own. Selection for high output leads to poorer methods		
and increasingly high false discovery rates.		
Improving the quality of		
research requires change at the institutional level.		

Smaldino PE, McElreath R., 2016 The natural selection of bad science. R. Soc. open sci. 3: 160384. http://dx.doi.org/10.1098/rsos.160384



#### nature human behaviour



Altmetric: 1,978

Views: 40,227

More detail >>

Perspective | OPEN

#### A manifesto for reproducible science

Marcus R. Munafò M, Brian A. Nosek, Dorothy V. M. Bishop, Katherine S. Button, Christopher D. Chambers, Nathalie Percie du Sert, Uri Simonsohn, Eric-Jan Wagenmakers, Jennifer J. Ware & John P. A. Ioannidis

Nature Human Behaviour 1,

Article number: 0021 (2017)

doi:10.1038/s41562-016-0021

Published online: 10 January 2017

"[...] measures [to] improving the transparency, reproducibility and efficiency of scientific research"

# Algorithms

Algorithms decide upon an ever-increasing list of cases, such as recruiting, carriers - including of researchers, prison sentencing, paroling, custody of minors...

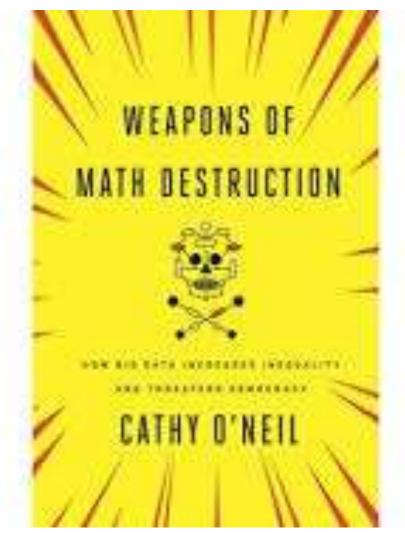


Alexander, L. Is an algorithm any less racist than a human? | Technology | The Guardian. Available at https://www.theguardian.com/technology/2016/aug/03/algorithm-racist-human-employers-work (2016) (Accessed: 30th August 2017).

Abraham C. Turmoil rocks Canadian biomedical research community. Statnews, Available at https://www.statnews.com/2016/08/01/cihr-canada-research/ (2016) (Accessed: 30th August 2017).

Brauneis, R. & Goodman, E. P. Algorithmic Transparency for the Smart City, Yale Journal of Law & Technology (2017), Available at: https://papers.ssrn.com/sol3/papers.cfm?abstract\_id=3012499 (Accessed: 30th August 2017).

A book on algorithms titles "Weapons of Math Destruction".



O'Neil, C. Weapons of math destruction: how big data increases inequality and threatens democracy. (Crown/Archetype, 2016).

In New York, where algorithms are used by the administration for a large array of decisions, the mayor has decided to pursue legislation for "algorithmic audits".

### The New York Times

### Showing the Algorithms Behind New York City Services

About New York
By JIM DWYER AUG. 24, 2017

Let us say that James Vacca is not necessarily the first
person you'd think would begin a deeply necessary
revolution to peel away some of the secrecy around
technology that shapes government decisions. In the
1980s, Mr. Vacca admitted, he told an aide that it would
be a waste of money to replace office typewriters with

About New York
Twice a week, a chronicle of New York and New Yorkers.

Online Chats Tie Politicians to the Troll of Staten
Island

Dwyer J. Showing the Algorithms Behind New York City Services - The New York Times. New York Times Aug. 24, (2014).

Governments may welcome the non-transparency provided by algorithms

Governments may recur to algorithms to implements rules which - if applied by human subject - would be either legislated or made through administrative rulemaking =

= Big data prediction models used without political or at least traceable administrative procedure.

Brauneis, R. & Goodman, E. P. Algorithmic Transparency for the Smart City, Yale Journal of Law & Technology (2017), Available at:

https://papers.ssrn.com/sol3/papers.cfm?abstract\_id=3012499 (Accessed: 30th August 2017).

Normative issues in statistical models, ethical problems raised about the use of algorithms;

Part of a broader picture? How about modelling in general?

# The **Economist**

DCT00ER 19TH-25TH 2013

Economist.com

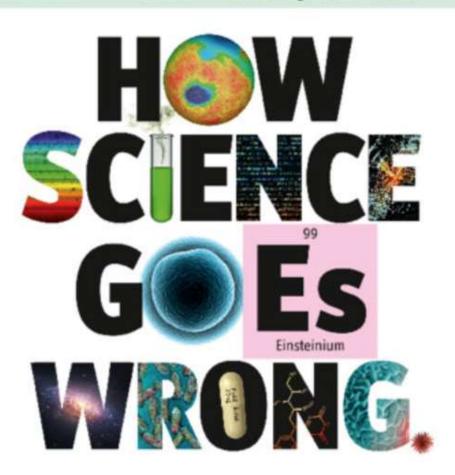
Washington's lawyer surplus

How to do a nuclear deal with Iran

Investment tips from Nobel economists

Junk bonds are back

The meaning of Sachin Tendulkar











#### Essay

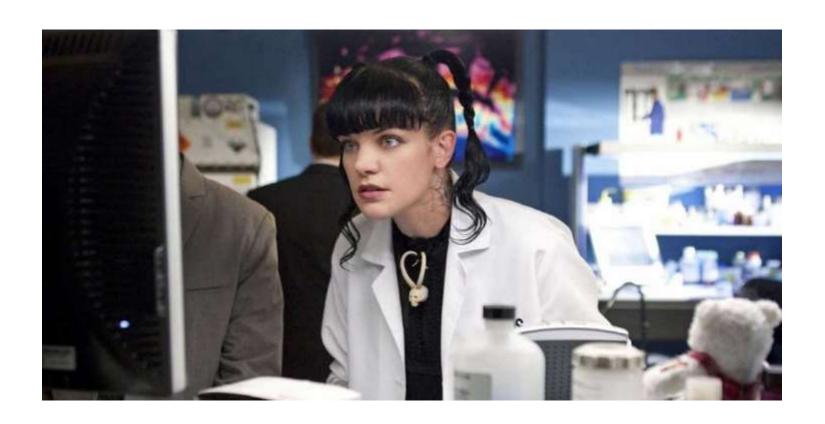
# Why Most Published Research Findings Are False

John P. A. Ioannidis

J. P. A. Ioannidis, Why Most Published Research Findings Are False, PLoS Medicine, August 2005, 2(8), 696-701. field. In this framework, a research finding is less likely to be true when the studies conducted in a field are smaller; when effect sizes are smaller; when there is a greater number and lesser preselection of tested relationships; where there is greater flexibility in designs, definitions, outcomes, and analytical modes; when there is greater financial and other interest and prejudice; and when more teams are involved in a scientific field in chase of statistical significance.



### What if even she is wrong?



On TV series over series where lab-based forensics (science) adjudicates cases

Forensics [as well as medicine, biology, economics, health, nutrition ...] has produced serious misdiagnoses



National Academy of Sciences (NAS) report "Strengthening Forensic Science in the United States: A Path Forward", https://www.ncjrs.gov/pdffiles1/nij/grants/228091.pdf







## Science in crisis: from the sugar scam to Brexit, our faith in experts is fading

September 27, 2016 4 43pm AEST



### Waste of resources?

### **Summary Points**

 Currently, many published research findings are false or exaggerated, and an estimated 85% of research resources are wasted.



John P. A. Ioannides

Ioannidis, J. P. (2014). How to Make More Published Research True. PLoS medicine, 11(10), e1001747

Ioannidis JPA, 2016, Why Most Clinical Research Is Not Useful, PLoS Med 13(6): e1002049. doi:10.1371/journal.pmed.1002049

### Waste of resources?

For Lancet (2015) an estimated US\$200 billion were wasted in the US in 2010



Lancet, Editorial, 2015, Rewarding true inquiry and diligence in research, 385, p. 2121.



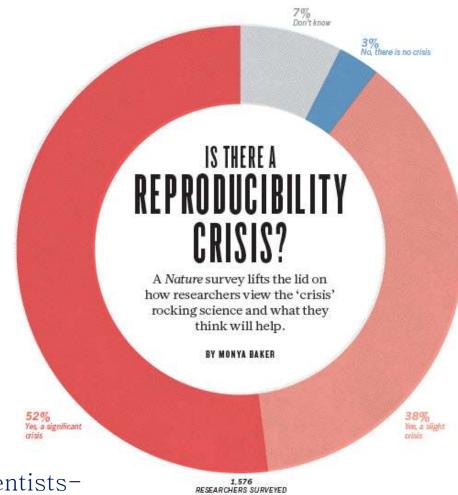
NATURE | NEWS FEATURE

#### 1,500 scientists lift the lid on reproducibi

Survey sheds light on the 'crisis' rocking research.

Monya Baker

25 May 2016 | Corrected: 28 July 2016



http://www.nature.com/news/1-500-scientists-lift-the-lid-on-reproducibility-1.19970



### 1,500 scientists lift the lid on reproducibility

Survey sheds light on the 'crisis' rocking research.

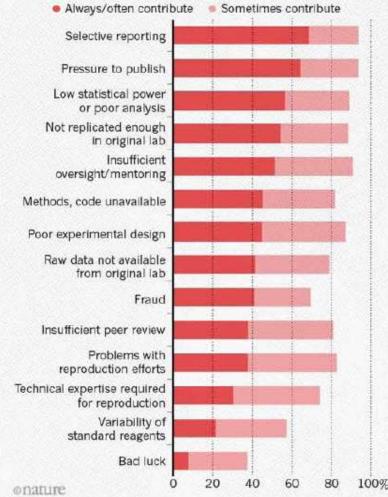
#### Monya Baker

25 May 2016 | Corrected: 28 July 2016

http://www.nature.com/news/1-500-scientists-lift-the-lid-on-reproducibility-1.19970

### WHAT FACTORS CONTRIBUTE TO IRREPRODUCIBLE RESEARCH?

Many top-rated factors relate to intense competition and time pressure.



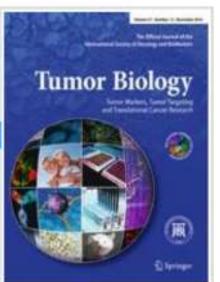
### Retraction Watch April 20, 2017

# A new record: Major publisher retracting more than 100 studies from cancer journal over fake peer reviews

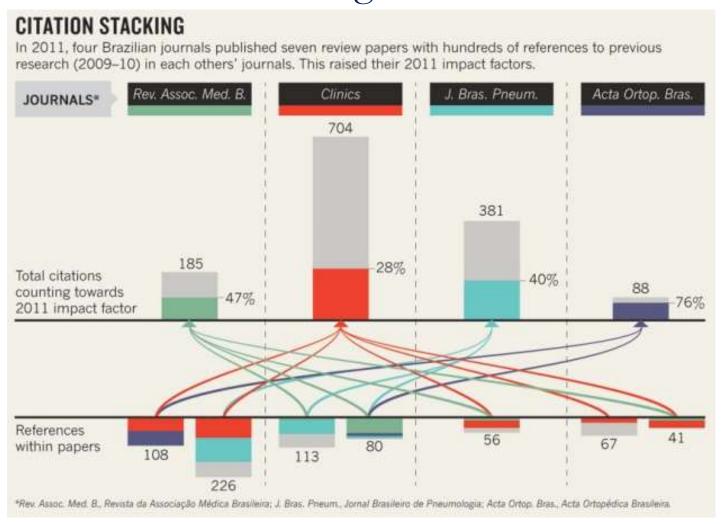
with 11 comments

Springer is <u>retracting 107 papers</u> from one journal after discovering they had been accepted with fake peer reviews. Yes, 107.

To submit a fake review, someone (often the author of a paper) either makes up an outside expert to review the paper, or suggests a real researcher — and in both cases, provides a fake email address that comes back to someone who will invariably give the paper a glowing review. In this case, Springer, the publisher of *Tumor Biology* through 2016, told us that an investigation produced "clear evidence" the reviews were submitted under the names of real researchers with faked emails. Some of the authors may have used a third-party editing service, which may have supplied the reviews. The journal is now published by SAGE.



# Use and abuse of metrics: from self-citation to citation cartels to citation stacking ...



Richard Van Noorden, 2017, Brazilian citation scheme outed. Thomson Reuters suspends journals from its rankings for 'citation stacking'. Nature, 27 August 2013



# THE RIGHTFUL PLACE OF SCIENCE:

# SCIENCE ON THE VERGE

#### CONTRIBUTORS

Alice Benessia Silvio Funtowicz Mario Giampietro Ângela Guimarães Pereira Jerome R. Ravetz Andrea Saltelli Roger Strand Jeroen P. van der Sluiis A crisis looms over the scientific enterprise. Not a day passes without news of retractions, failed replications, fraudulent peer reviews, or misinformed science-based policies



#### ARTICLE IN PRESS

Futures xxx (xxxx) xxx-xxx



Contents lists available at ScienceDirect

#### **Futures**

journal homepage: www.elsevier.com/locate/futures



#### What is science's crisis really about?

Andrea Saltellia,b,\*, Silvio Funtowicza

More reading, available (open) on FUTURES

<sup>&</sup>quot; Centre for the Study of the Sciences and the Humanities (SVI), University of Bergen, Norway

Institute of Environmental Science and Technology (ICTA), Universitat Autônoma de Barcelona, Spain

# How did we get here?

Why quantification becomes an instrument of hypocognition?

What is a socially constructed ignorance?

All starts with a dream:



Francis Bacon (1561–1626)

Magnalia Naturae, in the New Atlantis (1627), 'Wonders of nature, in particular with respect to human use' We call the Cartesian dream the idea of man as master and possessor of nature, of prediction and control, of Bacon's wonders of science and of Condorcet's mathematique sociale…



Nicolas de Caritat, marquis de Condorcet (1743- 1794)

'Sketch for a Historical Picture of the Progress of the Human Spirit'



René Descartes (1596-1650)

Discourse on Method (1637)

# Magnalia Naturae, in the New Atlantis (1627), 'Wonders of nature, in particular with respect to human use'



Francis Bacon (1561-1626)

The prolongation of life; The restitution of youth in some degree; The retardation of age; The curing of diseases counted incurable; The mitigation of pain; More easy and less loathsome purgings; The increasing of strength and activity; The increasing of ability to suffer torture or pain; The altering of complexions, and fatness and leanness; The altering of statures; The altering of features; The increasing and exalting of the intellectual parts; Versions of bodies into other bodies; Making of new species; Transplanting of one species into another; Instruments of destruction, as of war and poison; Exhilaration of the spirits, and putting them in good disposition; Force of the imagination, either upon another body, or upon the body itself; Acceleration of time in maturations; Acceleration of time in clarifications; Acceleration of putrefaction; Acceleration of decoction; Acceleration of germination; Making rich composts for the earth; Impressions of the air, and raising of tempests; Great alteration; as in induration, emollition, &c; Turning crude and watery substances into oily and unctuous substances; Drawing of new foods out of substances not now in use; Making new threads for apparel; and new stuffs, such as paper, glass, &c; Natural divinations; Deceptions of the senses; Greater pleasures of the senses; Artificial minerals and cements.



Francis Bacon (1561–1626)

Magnalia Naturae, in the New Atlantis (1627), 'Wonders of nature, in particular with respect to human use'

The prolongation of life; The restitution of youth in some degree; The retardation of age; The curing of diseases counted incurable; The mitigation of pain; [...]

Drawing of new foods out of substances not now in use; Making new threads for apparel; and new stuffs, such as paper, glass, &c; Natural divinations; Deceptions of the senses; Greater pleasures of the senses; Artificial minerals and cements. The study of letters leading to "doubts and errors";

Comparing "disquisitions of the ancient moralists to very towering and magnificent palaces with no better foundation than sand and mud";

Condemnation of humanities and exaltation of mathematics.



René Descartes (1596-1650)

Discourse on Method (1637)

"I perceived it to be possible to arrive at knowledge highly useful in life; and in room of the Speculative Philosophy [...], to discover a Practical, by means of which, knowing the force and action of fire, water, air, the stars, the heavens, and all the other bodies that surround us, [...] we might also apply them [...], and thus render ourselves the lords and possessors of nature."



René Descartes (1596-1650)

Discourse on Method (1637)

### In the formulation of Condorcet:

"All the errors in politics and in morals are founded upon philosophical mistakes, which, themselves, are connected with physical errors" (Ninth Epoch)



Nicolas de Caritat, marquis de Condorcet (1743- 1794)

'Sketch for a Historical Picture of the Progress of the Human Spirit' Overpopulation? War due to scarcity of resources? Will not happen because technical progress and ethical progress will go hand in hand. Man will understand that his duty "will consist not in the question of giving existence to a greater number of beings, but happiness." (Tenth Epoch)



Nicolas de Caritat, marquis de Condorcet (1743- 1794)

'Sketch for a Historical Picture of the Progress of the Human Spirit'

### 'Mathématique sociale':

We still use today terms such as 'Condorcet method', 'Condorcet winner', 'Condorcet-ranking procedure'



Nicolas de Caritat, marquis de Condorcet (1743- 1794)

'Sketch for a Historical Picture of the Progress of the Human Spirit'

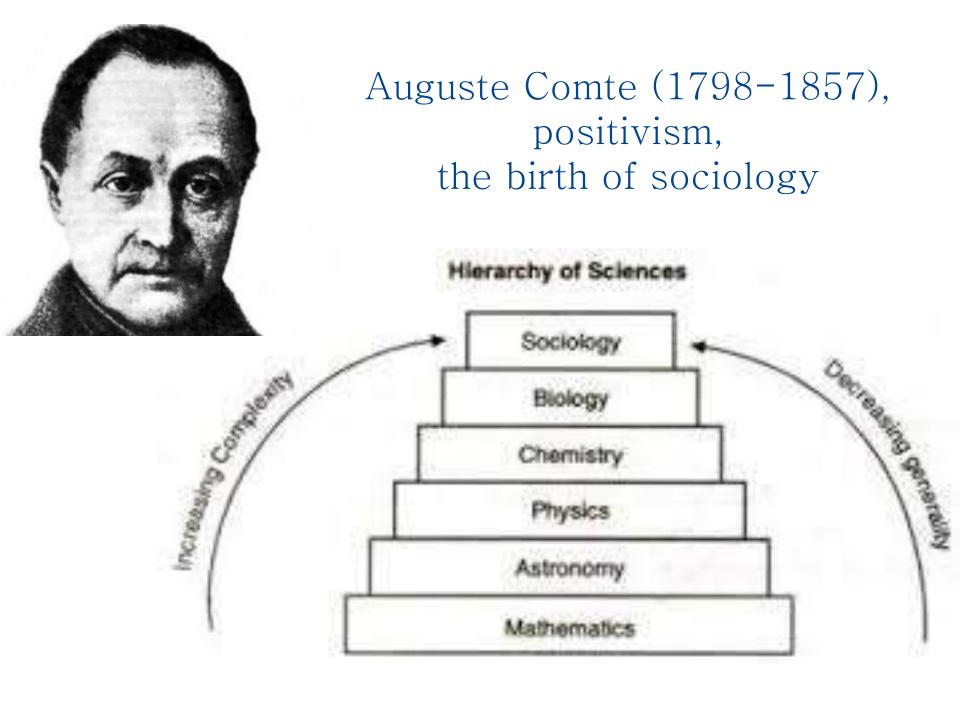
Feldman, J., 2005, Condorcet et la mathematique sociale: enthousiasmes et bemols, Mathematics and Social Sciences, 172(4), 7-41, http://www.ehess.fr/revue-msh/pdf/N172R955.pdf

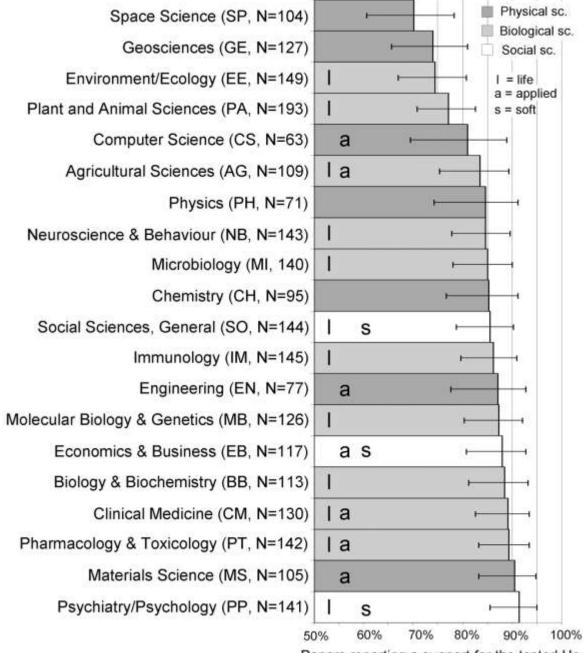
Munda G. (2007) - Social multi-criteria evaluation. Springer-Verlag, Heidelberg, New York, Economics Series



Condorcet's algorithms and Descartes' Geometry, the dream always had a quantification agenda







Daniele Fanelli: Positive" Results Increase Down the Hierarchy of the Sciences" PLoS ONE, 2010, 5,(4) e10068

Papers reporting a support for the tested Hp

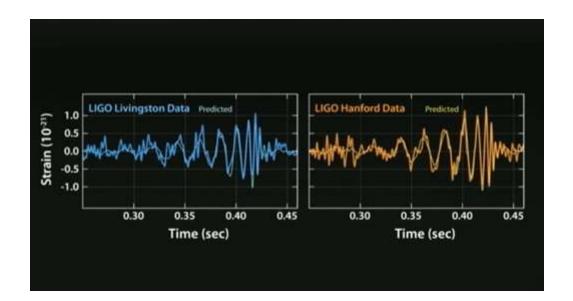
Closer to our times the dream was couched in the 'Endless Frontier' metaphor by Vannevar Bush, 1945:

"One of our hopes is that after the war there will be full employment. [...] To create more jobs we must make new and better and cheaper products [...] founded on [...] basic scientific research. [...the] Government [...] opened the seas to clipper ships and furnished land for pioneers. Although these frontiers have more or less disappeared, the frontier of science remains."



Vannevar Bush (1890-1974)

Bush, V. (1945) Science: the endless frontier, United States Office of Scientific Research and Development, U.S. Govt. print office.





### Making the dream true: Gravitational waves; from J. Weber's cylinder to LIGO

A Madman Dreams of Tuning Machines: The Story of Joseph Weber, the Tragic Hero of Science Who Followed Einstein's Vision and Pioneered the Sound of Space-Time, By Maria Popova,

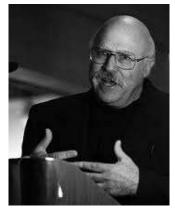
https://www.brainpickings.org/2016/04/25/black-hole-blues-janna-levin-joseph-weber/



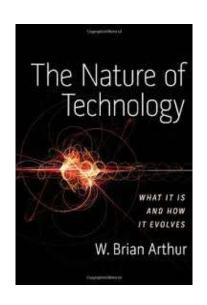
If you are a natural scientists you were nourished and trained in the Cartesian dream, what S. Toulmin called 'The hidden agenda of modernity'

The dream was spectacularly successful, in all fields of endeavor, leading to what Steven Shapin calls 'invisible science'

It also lead to techno-science, to technology 'creating itself from itself',…



Steven Shapin



Steven Shapin, 2016, Invisible Science, The Hedgehog Review: Vol. 18 No. 3 (Fall 2016)

### ... to a host of other issues and fears



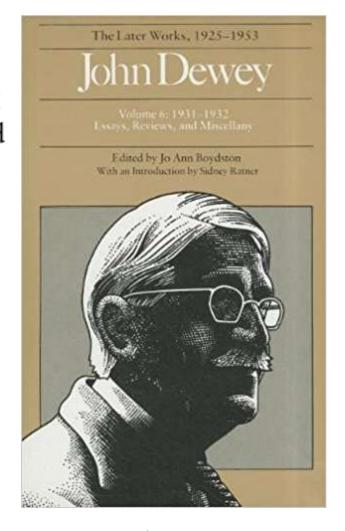
Irreversible loss of jobs?

Weaponization of big data?

Technology disempowering the individual?

Here lies the heart of our present social problem. Science has hardly been used to modify men's fundamental acts and attitudes in social matters. It has been used to extend enormously the scope and power of interests and values which anteceded its rise. Here is the contradiction in our civilization. The potentiality of science as the most powerful instrument of control which has ever existed puts to mankind its one outstanding present challenge.

From J. Dewey 'Science and Society' in John Dewey: The Later Works, 1925-1953: 1931-1932, Vol. 6-ExLibrary



John Dewey 1859-1952

## The critique of the Cartesian dream

"The question of the legitimacy of science has been indissociably linked to that of the legitimation of the legislator since the time of Plato. From this point of view, the right to decide what is true is not independent of the right to decide what is just,[...]

there is a strict interlinkage between the kind of language called science and the kind called ethics and politics …"

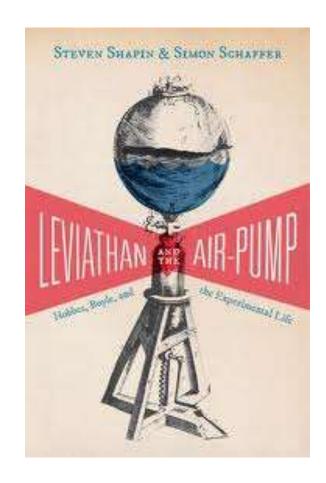




Jean-François Lyotard

"Solutions to the problem of knowledge are solutions to the problem of social order.

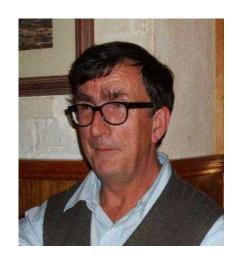
[...] Trust in Science and trust in the prevailing social order are linked."



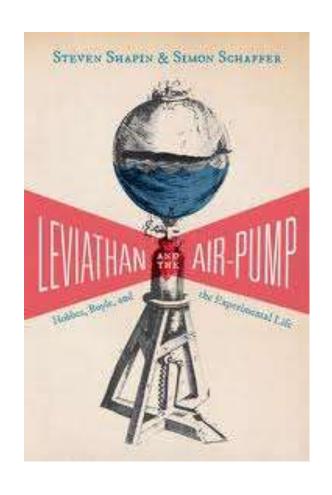
Establishing 'matter of facts' under controlled 'laboratory' experiments before witnesses as a way to subtract the discourse about knowledge from religious squabbles ...



Shapin and Schaffer's book inspired Bruno Latour's 'Nous n'avons jamais été modernes', 1991, and was 'hot' during the 'science wars'.



Bruno Latour

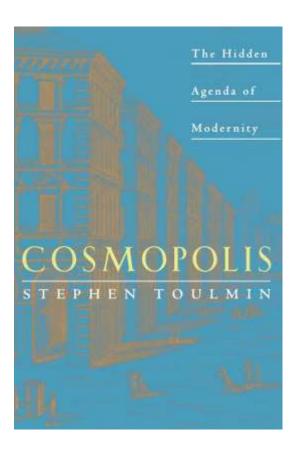


Latour, B., 1991, Nous n'avons jamais été modernes, Editions La découverte, 1993; We Have Never Been Modern. Cambridge, Harvard UP.

Stephen Toulmin: Modernity as a counter-Renaissance; Descartes versus Montaigne; the delusion of a Newtonian view of society



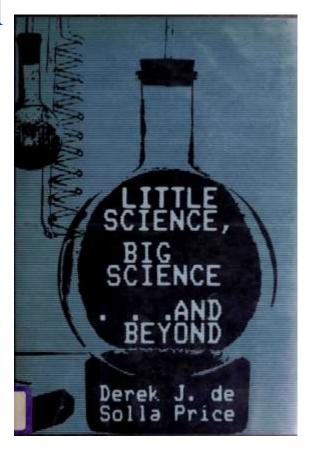
Stephen Toulmin



Stephen Toulmin, 1990, Cosmopolis: The Hidden Agenda of Modernity, The University of Chicago Press Rationality versus reasonableness;
 is there a tension – in my personal experience



In 1963 Derek J. de Solla Price prophesized that Science would reach saturation (and in the worst case senility) under its own weight, victim of its own success and exponential growth (pp 1-32).





Derek J. de Solla Price

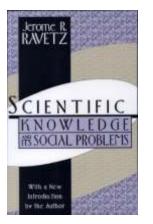


Science/knowledge degenerates when it becomes a commodity for Ravetz (1971), Lyotard (1979) and Mirowski (2011).

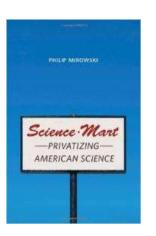
Ravetz, J., 1971, Scientific Knowledge and its Social Problems, Oxford University Press, p. 22.

Lyotard, J.-F. 1979. La Condition postmoderne. Rapport sur le savoir, Paris : Minuit, Chapter 10.

Mirowski, P. 2011. Science-Mart: Privatizing American Science, Harvard University Press.









Jerome R. Ravetz

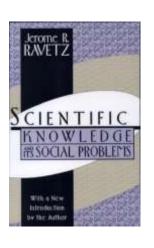


Jean-François Lyotard



Philip Mirow

p.22: "with the industrialization of science, certain changes have occurred which weaken the operation of the traditional mechanism of quality control and direction at the highest level.

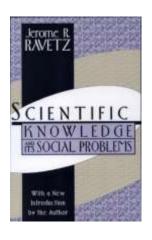




Jerome R. Ravetz



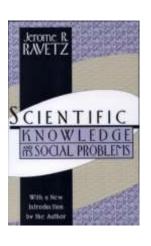
p.22: [...] The problem of quality control in science is thus at the centre of the social problems of the industrialized science of the present period."





Jerome R. Ravetz

p.22: "If [science] fails to resolve this problem [...] then the immediate consequences for morale and recruitment will be serious; and those for the survival of science itself, grave"





Jerome R. Ravetz

After the eighties neoliberal ideologies succeeded in decreasing state intervention in the funding of science, which became increasingly privatized ... Knowledge as a monetized commodity replaces knowledge as public good...

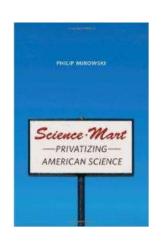
Mirowski, P. 2011. Science-Mart: Privatizing American Science, Harvard University Press.





Philip Mirowski

In house science labs of major corporation were closed and research outsourced to universities which ··· became more and more looking as profit seeking organization (technology transfer offices in every campus) ··· then research ended up outsourced again to contract-based research organizations (CRO's)···





Philip Mirowski

Mirowski, P. 2011. Science-Mart: Privatizing American Science, Harvard University Press.

# Evidence based policy or its opposite?

PETRUCHIO: I say it is the moon.

KATHERINE: I know it is the moon.

PETRUCHIO: Nay, then you lie. It is

the blessèd sun.

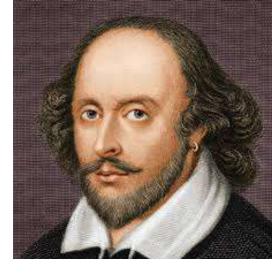
KATHERINE: Then God be blessed,

it is the blessèd sun.

But sun it is not, when you say it is not,

And the moon changes even as your mind.

• • •



Shakespeare, the Taming of the Shrew, Act IV.

The expression 'Policy based evidence' has entered the public discourse.

Warring parties accuse one another of the sin.

"Greenpeace [...] wants is policy based evidence making not evidence based policy making" (Sanderson, 2015) ...

Wilkes, G., 2015, Free Lunch: Policy-based evidence-making, Financial Times, July 3. Sanderson, A.B., 3 Feb 2015, Breitbart, see <a href="http://www.breitbart.com/london/2015/02/03/academic-attacks-greenpeace-for-ignoring-the-evidence-on-gm-crops/">http://www.breitbart.com/london/2015/02/03/academic-attacks-greenpeace-for-ignoring-the-evidence-on-gm-crops/</a>; the politician is UKIP Energy Spokesman Roger Helmer MEP.

"This need [for evidence] has been reified in the UK and elsewhere, as routines of 'evidence-based policy'-making have been hardwired into the business of Government.

[...] such approaches are fundamentally flawed [because] Government [...] seeks to capture and control the knowledge producing processes to the point where this type of 'research' might best be described as 'policy-based evidence'."

Boden, R. and Epstein D., 2006, Managing the Research Imagination? Globalisation and Research in Higher Education. *Globalisation, Societies and Education*, 223-236.



#### Futures

Available online 7 February 2017
In Press, Corrected Proof



Original research article

### What is wrong with evidence based policy, and how can it be improved?

Andrea Saltelli a, b, c ≥ ⊠, Mario Giampietro a, c, d

- There is a crisis of science's governance forcing to reconsider evidence based policy as it is being practiced at present.
- The closure of any issue in a pre-established frame used for quantification may correspond to normative and political stances.



#### **Futures**

Available online 7 February 2017 In Press, Corrected Proof



Original research article

What is wrong with evidence based policy, and how can it be improved?

Andrea Saltelli a, b, c & Mario Giampietro a, c, d

- The use of mathematical modelling and indicators conveys a spurious impression of precision, prediction and control.
- Better styles of evidence based policy should flag the existence of 'uncomfortable knowledge' usually avoided in policy discussions.
- We suggest a strategy Quantitative storytelling to opening the space of possible narratives and control their quality.



Contents lists available at ScienceDirect

#### **Futures**

journal homepage: www.elsevier.com/locate/futures



Original research article

What is wrong with evidence based policy, and how can it be improved?

Andrea Saltellia,b,c,\*, Mario Giampietroa,c,d





Journal of Clinical Epidemiology

Journal of Clinical Epidemiology 73 (2016) 82-86

#### Evidence-based medicine has been hijacked: a report to David Sackett

John P.A. Ioannidis a,b,c,d,\*

"Department of Medicine, Stanford Prevention Research Center, Stanford, CA 94305, USA
bDepartment of Health Research and Policy, Stanford University School of Medicine, Stanford, CA 94305, USA
\*Department of Statistics, Stanford University School of Humanities and Sciences, Stanford, CA 94305, USA
dMeta-Research Innovation Center at Stanford (METRICS), Stanford University, Stanford, CA 94305, USA
Accepted 18 February 2016; Published online 2 March 2016

Power asymmetries in the framing of issues: those who have the deepest pockets marshal the best evidence; Instrumental use of quantification to obfuscate; (Saltelli and Giampietro, 2017)

Evidence based medicine hijacked to serve corporate agendas. Meta-analyses and guidelines serving vested interests. "Under market pressure, clinical medicine has been transformed to finance-based medicine" (Ioannidis, 2016)

#### Discussion points



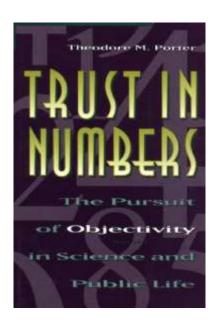
• Evidence based policy or policy based evidence? What do I see around me (university, institutions, governments…)

## Trust in science, trust in quantification

#### Trust and quantification.

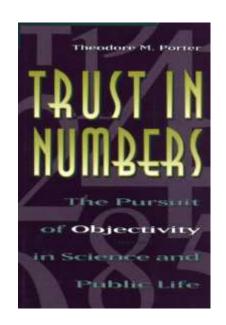


Theodor M. Porter



Theodore M. Porter, Trust in Numbers, The Pursuit of Objectivity in Science and Public Life, Princeton 1995

'The appeal of numbers is especially compelling to bureaucratic officials who lack the mandate of a popular election, or divine right […] Quantification is a way of making decisions without seeming to decide. Objectivity lends authority to officials who have very little of their own' (1995, p. 8).



### Trust, authority and styles of quantification: two different stories









Porter's story: Quantification needs judgment which in turn needs trust …without trust quantification becomes mechanical, a system, and systems can be played





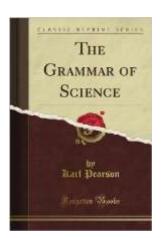


Is science always right? Karl Pearson (a social Darwinist) suggests not wasting resources on social programs as:

"No degenerate and feeble stock will ever be converted into healthy and sound stock by the accumulated effects of education, good laws, and sanitary surroundings"







Pearson, K., 1892, The Grammar of Science, Walter Scott Publisher, London, p.32.

# Think Tank Scholar or Corporate Consultant? It Depends on the Day

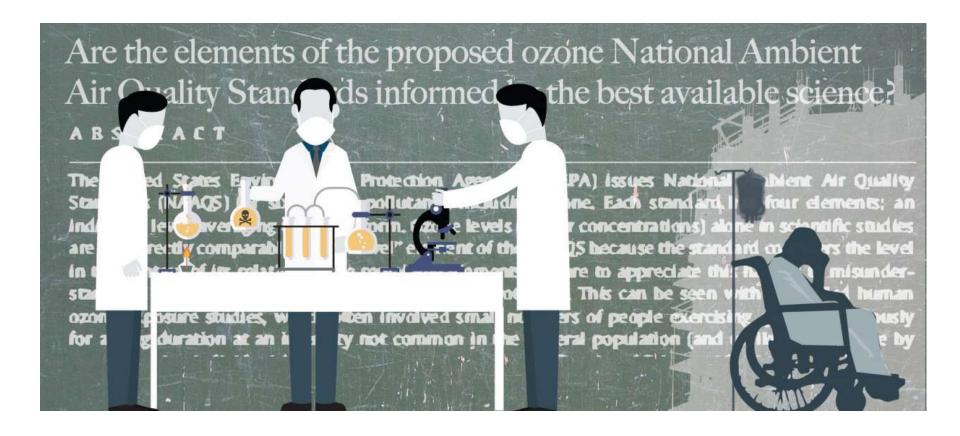
Acting as independent arbiters to shape government policy, many researchers also have corporate roles that are sometimes undisclosed.

By ERIC LIPTON, NICHOLAS CONFESSORE and BROOKE WILLIAMS AUG. 8, 2016

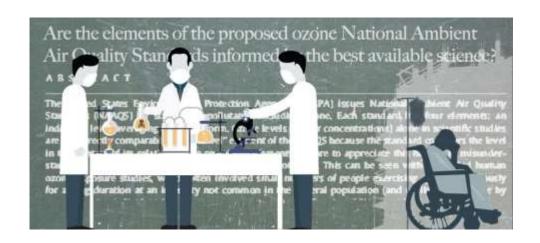
The New York Times

### Lobbyists recruit laws firms which in turn recruit scientific services for their customer;

http://www.publicintegrity.org/2016/02/08/19223/meet-rented-white-coats-who-defend-toxic-chemicals



"Nearly half of Gradient's articles that are peer-reviewed are published in two journals with strong ties to industry, *Critical Reviews in Toxicology* and *Regulatory Toxicology and Pharmacology*" [Gradient is the research services company enrolled by law firms]



#### **JAMA Internal Medicine**

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Special Communication | September 12, 2016



#### Sugar Industry and Coronary Heart Disease Research



A Historical Analysis of Internal Industry Documents





ONLINE FIRST

Cristin E. Kearns, DDS, MBA1,2; Laura A. Schmidt, PhD, MSW, MPH1,3,4; Stanton A. Glantz, PhD1,5,8,7,8

[+] Author Affiliations

JAMA Intern Med. Published online September 12, 2016. doi:10.1001/jamainternmed.2016.5394

Text Size: A A A

See also https://www.theguardian.com/society/2016/apr/07/the-sugar-conspiracy-robertlustig-john-yudkin, and the story of US President Dwight Eisenhower heart attack,...

"our findings suggest the industry sponsored a research program in the 1960s and 1970s that successfully cast doubt about the hazards of sucrose while promoting fat as the dietary culprit in CHD [coronary hearth disease]"



#### Feature

#### Coca-Cola's secret influence on medical and science journalists

BMJ 2017; 357 doi: https://doi.org/10.1136/bmj.j1638 (Published 05 April 2017)

Cite this as: BMJ 2017;357:j1638

Article

Related content

Metrics

Responses

Paul Thacker, freelance journalist

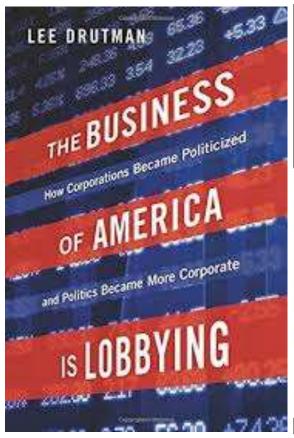
Author affiliations >

thackerpd@gmail.com

"Industry money was used to covertly influence journalists with the message that exercise is a bigger problem than sugar consumption in the obesity epidemic, documents obtained under freedom of information laws show.

The documents detail how Coca-Cola funded journalism conferences at a US university in an attempt to create favourable press coverage of sugar sweetened drinks. When challenged about funding of the series of conferences, the academics involved weren't forthcoming about industry involvement."

# Evidence as the currency of lobbies







Lee Drutman





Sylvain Laurens

http://www.nybooks.com/articles/2016/04/07/how-lobbyists-win-in-washington/

http://www.contretemps.eu/lectures/lire-extrait-courtiers-capitalisme-sylvain-laurens

Both works (resp. US, EU) make the point that evidence is the currency of lobbies.

In the use of evidence actors with deepest pockets may prevail. A worrying asymmetry.

A truly black pearl: a candid admission in a book written for the lobbyists: The regulation game, Owen & Braeutigam, 1978



"Regulatory policy is increasingly made with the participation of experts, especially academics. A regulated firm or industry should be prepared whenever possible to co-opt these experts. This is most effectively done by identifying the leading expert in each relevant field and hiring them as consultants or advisors or giving them research grant or the like"

# Book written for the lobbyists: The regulation game, Owen & Braeutigam, 1978



"This activity requires a modicum of finesse; it must not be too blatant, for the experts themselves must not recognize that they have lost their objectivity and freedom of action"

#### Discussion points



- Do I see a relationship between trust and quantification?
- Are we (my team, my organization) more like the 'corps des ingénieurs des ponts et chaussées' or the US Army corps of Engineers?

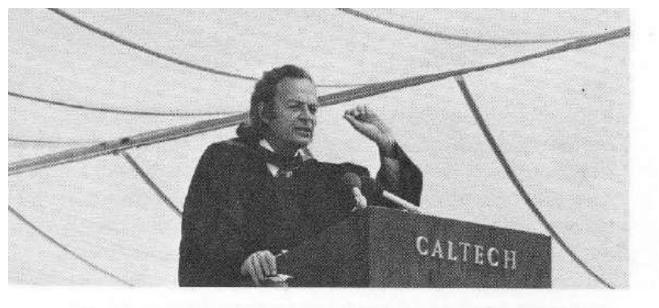
### Economics?

#### The Mathiness discussion in Economics

"The style that I am calling mathiness lets academic politics masquerade as science. Like mathematical theory, mathiness uses a mixture of words and symbols, but instead of making tight links, it leaves ample room for slippage between statements in natural versus formal language and between statements with theoretical as opposed to empirical content."



Paul Romer Since July 18 2016 Chief Economist of the World Bank



### Cargo Cult Science

by RICHARD P. FEYNMAN

Some remarks on science, pseudoscience, and learning how to not fool yourself. Caltech's 1974 commencement address.



Appeals to Richard Feynman's famous speech

https://paulromer.net/feynman-integrity/



#### The Trouble With Macroeconomics

Paul Romer
Stern School of Business
New York University

Wednesday 14th September, 2016

#### 2 Post-Real Models

#### 5.4 Identification by Obfuscation

#### 10 The Trouble Ahead For All of Economics

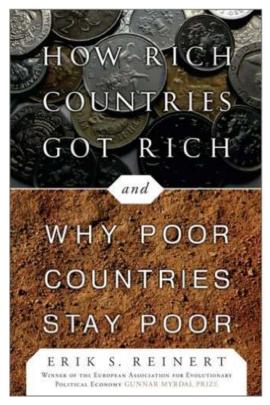
A different diagnosis for a diseased economics: for Erik Reinert's: economics has reverted to scholasticism

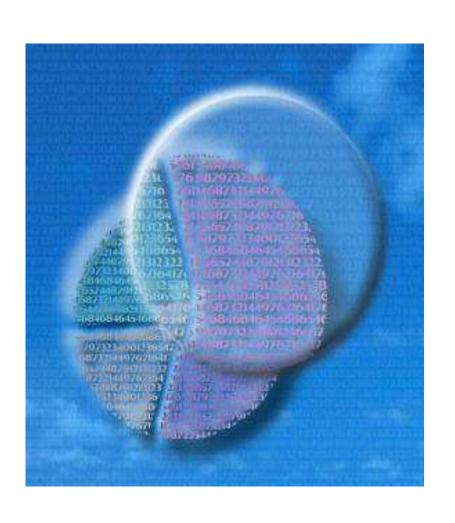
- ··· forgetting an important continental tradition
- ··· implications for developments

http://www.andreasaltelli.eu/file/repository/Full\_Circle\_scholasticism\_2.pdf



Erik Reinert





## END

Twitter:

@andreasaltelli

# Participation

#### Why extended participation is important?







### Doing flood risk science differently: an experiment in radical scientific method

S N Lane\*, N Odoni\*, C Landström\*\*, S J Whatmore\*\*, N Ward† and S Bradley‡

Lane, S. N., Odoni, N., Landström, C., Whatmore, S. J., Ward, N. and Bradley, S., 2011. "Doing flood risk science differently: an experiment in radical scientific method." Transactions of the Institute of British Geographers, 36: 15-36.

[...] knowledge regarding flooding was coproduced. This illustrates a way of working with experts, both certified (academic natural and social scientists) and noncertified (local people affected by flooding), [...] We reveal a deep and distributed understanding of flood hydrology across all experts, certified and uncertified, ...

To confront 21st century challenges, science must rethink its reward system

Frank Miedema

theguardian

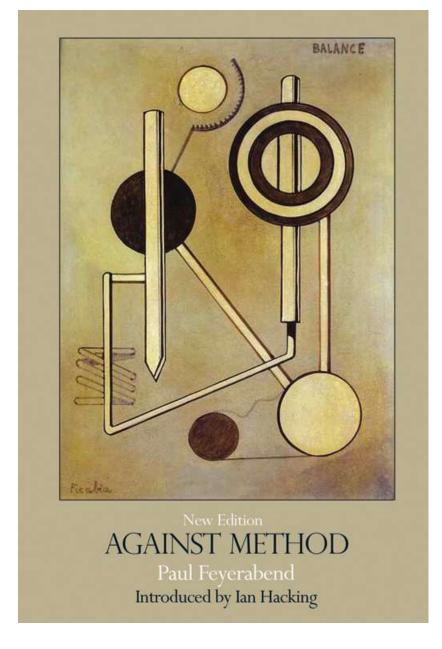
One of Science in Transition's founders describes how his experience as a young HIV/AIDS researcher convinced him that science needs to change

Thursday 12 May 2016 09.00 BST

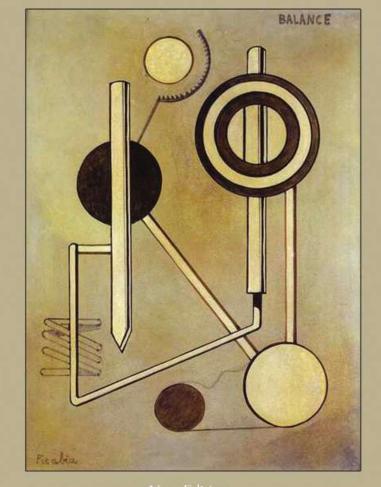
AIDS and medicine: patients have questions which researchers are unable to anticipate



[...] in a democracy local populations not only will, but also should, use the sciences in ways most suitable to them.

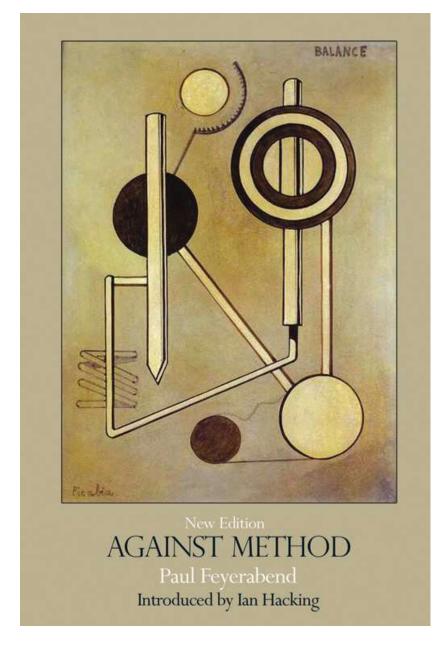


The objections that citizens do not have the expertise to judge scientific matters overlooks that important problems often lie across the boundaries of various sciences so that scientists within these sciences don't have the needed expertise either.



New Edition
AGAINST METHOD
Paul Feyerabend
Introduced by Ian Hacking

Moreover doubtful cases always produce experts from one side, experts for the other side, and experts in between. But the competence of the general public could be vastly improved by an education that exposes expert fallibility instead of acting as if it did not exist.



P. 262