



Scientific integrity

Andrea Saltelli Girona, February 23, 2023

Training for the Doctoral INPhINIT Fellowship, SIRIS Academic and "la Caixa"



Where to find this talk: www.andreasaltelli.eu



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CAETERIS ARE NEVER PARIBUS

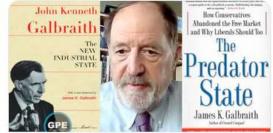


James K. Galbraith discusses the shift of gpenewsdocs.com



Worth listening all; pay heed to the bit at 31'.50". Why economics needs to pay heed to its biophysical bases @ICTA_UAB @g_kallis

Resource Limits to American Capitalism & the Predator State Today - % % GPEnewsdocs.com % gpenewsdocs.com/resource-limit...



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Definition of *integrity*

1 : firm <u>adherence</u> to a code of especially moral or artistic values : <u>incorruptibility</u>

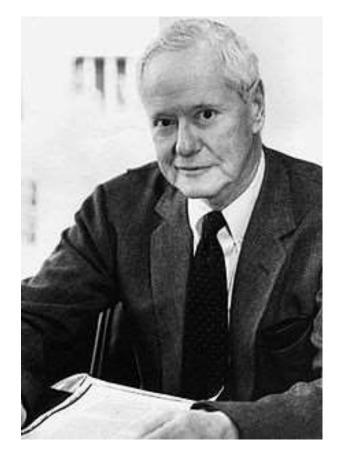
2 : an unimpaired condition : <u>soundness</u>

3 : the quality or state of being complete or undivided : <u>completeness</u>



Definition of *integrity*

1 : firm <u>adherence</u> to a code of especially moral or artistic values : <u>incorruptibility</u> The ethos of open science: CUDOS, by R.K. Merton, a system of universal norms



Robert K. Merton

R. Merton, The sociology of science: Theoretical and empirical investigations, 1973.

Communalism – the common ownership of scientific discoveries, according to which scientists give up intellectual property rights in exchange for recognition and esteem (Merton actually used the term Communism, but had this notion of communalism in mind, not Marxism);

Universalism – according to which claims to truth are evaluated in terms of universal or impersonal criteria, and not on the basis of race, class, gender, religion, or nationality;

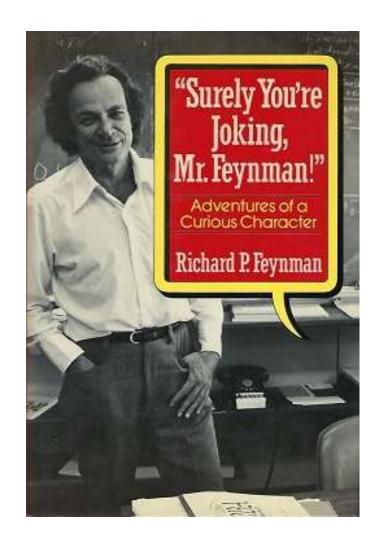
Disinterestedness – according to which scientists are rewarded for acting in ways that outwardly appear to be selfless;

Organized Skepticism – all ideas must be tested and are subject to rigorous, structured community scrutiny.



Go to

https://calteches.library.calt ech.edu/51/2/CargoCult.htm or buy the book … read Caltex's speech (1974) of Richard Feynman about what organized scepticism is about



Journal of Empirical Research on Human Research Ethics

Normative Dissonance in Science: Results from a National Survey of U.S. Scientists

Melissa S. Anderson, Brian C. Martinson, Raymond De Vries

First Published December 1, 2007 Research Article Find in PubMed https://doi.org/10.1525/jer.2007.2.4.3

survey responses from 3,247 mid- and early-career scientists who had research funding from the U.S. National Institutes of Health

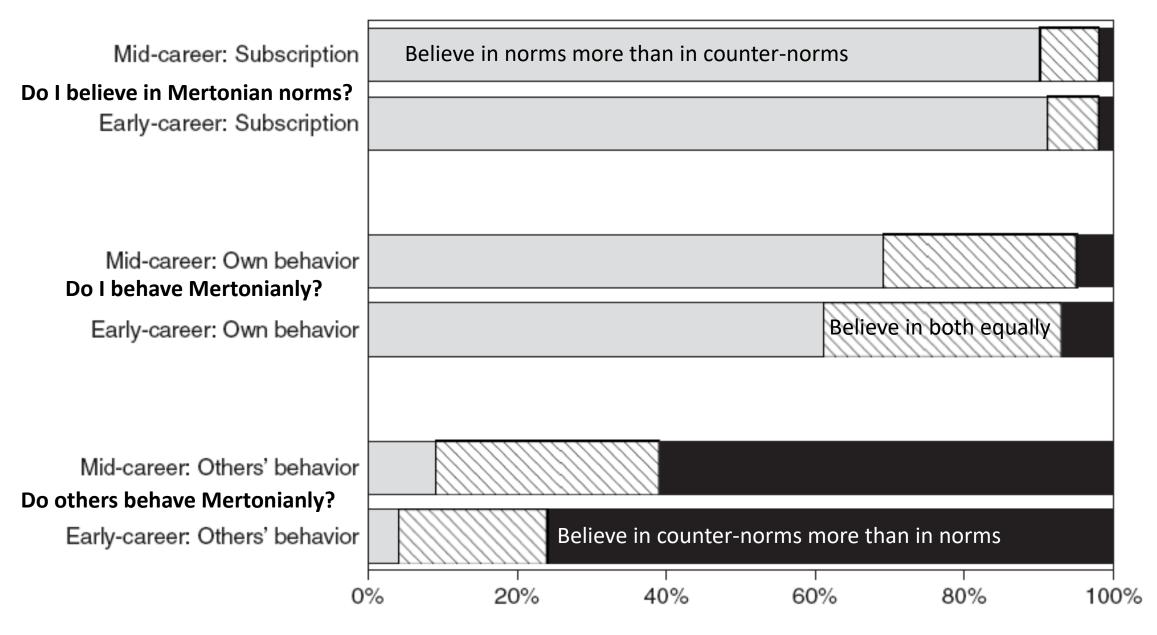


FIG. 3. Norm versus Counternorm Scores: Percent with Norm > Counternorm (dotted), Norm = Counternorm (striped), Norm < Counternorm (solid).



Definition of *integrity*

1: firm <u>adherence</u> to a code of especially moral or artistic values : <u>incorruptibility</u>

Integrity (sense 1) is one of the eight pillars of the EC Open Science – Plan S agenda

- 1. Rewards and incentives
- 2. Research indicators and next-generation metrics
- 3. Future of scholarly communication
- 4. European open science cloud
- 5. FAIR data
- 6. Research integrity
- 7. Skills and education
- 8. Citizen science

https://research-and-innovation.ec.europa.eu/strategy/strategy-2020-2024/our-digital-future/open-science_en



 \equiv LSE

Jefferson Pooley, February 21st, 2020, blogs.lse.ac.uk/: Plan S accelerates read-and-publish deals in the Global North. They lock in and exacerbate existing inequalities in scholarly publishing.

Capitalism and Society

	Volume 3, Issue 2	2008	Article 5
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The Historical Origins of 'Open Science': An Essay on Patronage, Reputation and Common Agency Contracting in the Scientific Revolution

Open science was always a fragile social construction …

Capitalism and Society
Volume 3, Issue 2 2008 Article 5

The Historical Origins of 'Open Science': An Essay on Patronage, Reputation and Common Agency Contracting in the Scientific Revolution

Paul A. David, Stanford University & The University of Oxford

••• resulting from the movement away from secret knowledge and princely patronage toward state patronage, academies, and other modern institutions of science, taking place in the period between renaissance and the industrial revolution

What was before open science?

"Regarding the everyday duties, I shun only that type of prostitution consisting of having to expose my labor to the arbitrary prices set by every customer.

Instead, I will never look down on service a prince or a great lord or those who may depend on him, but, to the contrary, I will always desire such a position." (Galileo Galilei, 1564 - 1642)



Capit	alism and Soc	iety
Falowe 3, Jame 2	2008	dettede 5

The Historical Origins of 'Open Science': An Essay on Patronage, Reputation and Common Agency Contracting in the Scientific Revolution

Open science exists already, funded by public and private actors, based on a distinctive ethos

It coexists with commercially oriented research

Car	oitai	lism	and	Society
Cup	iini	ism	ana	Dociety

Volume 3, Issue 2 2008 Article 5

The Historical Origins of 'Open Science': An Essay on Patronage, Reputation and Common Agency Contracting in the Scientific Revolution

Open science lives a tension between:

Defending the existing ethos of the republic of science, Merton's CUDOS, "public knowledge"

Proprietary science, secrecy, visions of a knowledge economy

Capit	alism and Soci	ety
Volume 3, Issue 2	2008	Article 5

The Historical Origins of 'Open Science': An Essay on Patronage, Reputation and Common Agency Contracting in the Scientific Revolution

An acceleration toward 'Intellectual Capitalism' risks to move science back to the age of princely patronage ...

... from CUDOS back to the pre-renaissance ethos of secrecy in the pursuit of Nature's Secrets

Capite	alism and Soci	ety
Volume 3, Issue 2	2008	Article 5

The Historical Origins of 'Open Science': An Essay on Patronage, Reputation and Common Agency Contracting in the Scientific Revolution

What Philip Mirowski has to say about the dangers of open science



S|S|S

Article

The future(s) of open science

Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss





John J. Reilly Center, University of Notre Dame, Notre Dame, IN, USA

We already struggle with "author-paid Article Publishing Charges (APCs) that range from \$500 to \$5,000 USD [Elsevier OA]";

The future(s) of open science

Article

Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss

SISIS

Philip Mirowski D John J. Reilly Center, University of Notre Dame, Notre Dame, IN, USA

Corporate publishers have easily assimilated open access into their profit model. "the agenda [of open science] is effectively to re-engineer science along the lines of platform capitalism, under the misleading banner of opening up science to the masses"

Article

The future(s) of open science

S|S|S

Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss



Philip Mirowski D John J. Reilly Center, University of Notre Dame, Notre Dame, IN, USA "the open science movement is an artifact of the current neoliberal regime of science, [to] reconfigures both the institutions and the nature of knowledge to better conform to market imperatives"



Article

The future(s) of open science

SSS

Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss



Philip Mirowski D John J. Reilly Center, University of Notre Dame, Notre Dame, IN, USA Open science is not there to solve

- Distrust of science in the general population
- Science's democracy deficit
- Slowdown in scientific productivity
- Reproducibility crisis

Article

The future(s) of open science

SSS

Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss



Philip Mirowski John J. Reilly Center, University of Notre Dame, Notre Dame, IN, USA

How a neoliberal agenda has damaged science

Article

The future(s) of open science

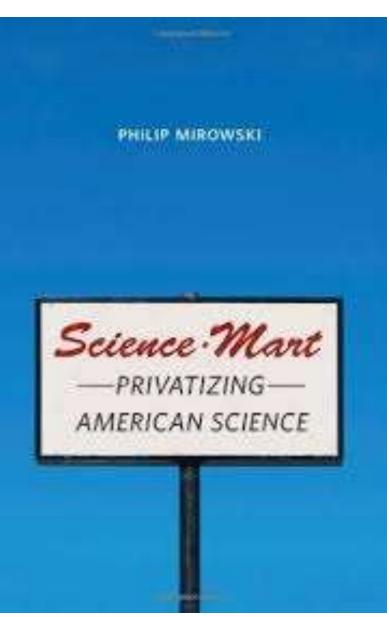
Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss

(\$)SAGE

SSS

Philip Mirowski

John J. Reilly Center, University of Notre Dame, Notre Dame, IN, USA



What is hence the danger for Mirowski?

Platform capitalism and the uberization of science

S|S|S

The future(s) of open science

Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss





Article

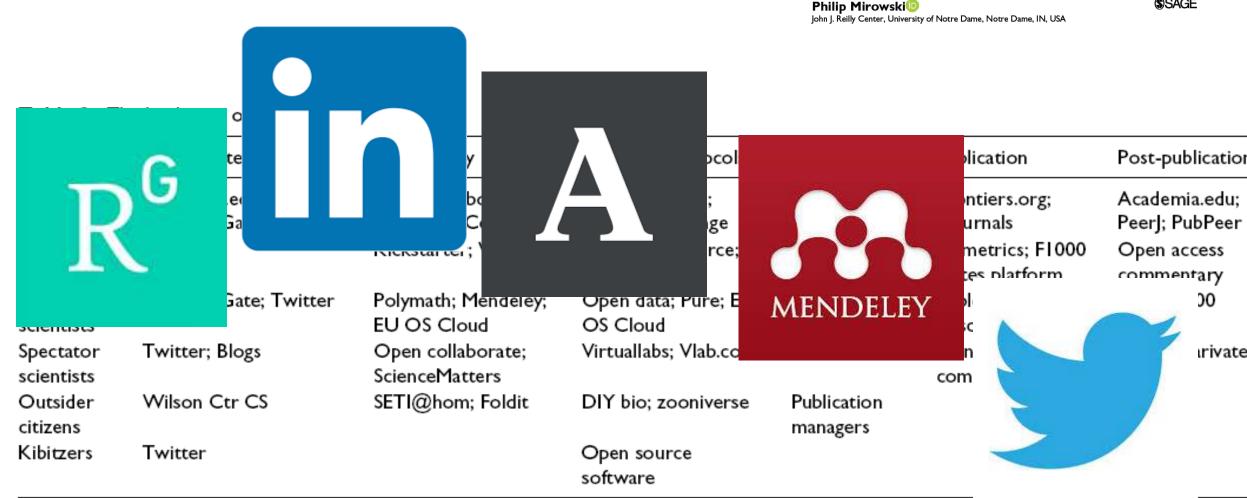
John J. Reilly Center, University of Notre Dame, Notre Dame, IN, USA

Platforms competing to become the 'Facebook for Science'

The future(s) of open science

Article

Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss SSAGE



The future is with us already

Article

The future(s) of open science

Social Studies of Science 2018, Vol. 48(2) 171–203 © The Author(s) 2018 Reprints and permissions: sagepub.cc.uk/journalsPermissions.nav DOI: 10.1177/0306312718772086 journals.sagepub.com/home/sss

SSS

Philip Mirowski D John J. Reilly Center, University of Notre Dame, Notre Dame, IN, USA

we as scientists already live in "a quasi-market that constantly monitors [our] 'net worth' through a range of metrics, scores and indicators"

H-index, impact factors, peer contacts, network affiliations, and the like"

Elsevier Data Repository

September 2022 data-update for "Updated science-wide author databases of standardized citation indicators"

Published: 3 November 2022 | Version 5 | DOI: 10.17632/btchxktzyw.5 Contributor: John P.A. Ioannidis

Description

See file 28oct2022_v5_update_release_notes.txt below for detailed explanation of differences between versions 5 and 4. They both use the same data but version 5 has more appropriate subfield assignment.

Citation metrics are widely used and misused. We have created a publicly available database of top-cited scientists that provides standardized information on citations, h-index, co-authorship adjusted hm-index, citations to papers in different authorship positions and a composite indicator (c-score). Separate data are shown for career-long and, separately, for single recent year impact. Metrics with and without self-

Source: https://elsevier.digitalcommonsdata.com/datasets/btchxktzyw/5

Usage Views:

Dataset metrics

Downloads:

Mentions

Blog Mentions:

News Mentions:

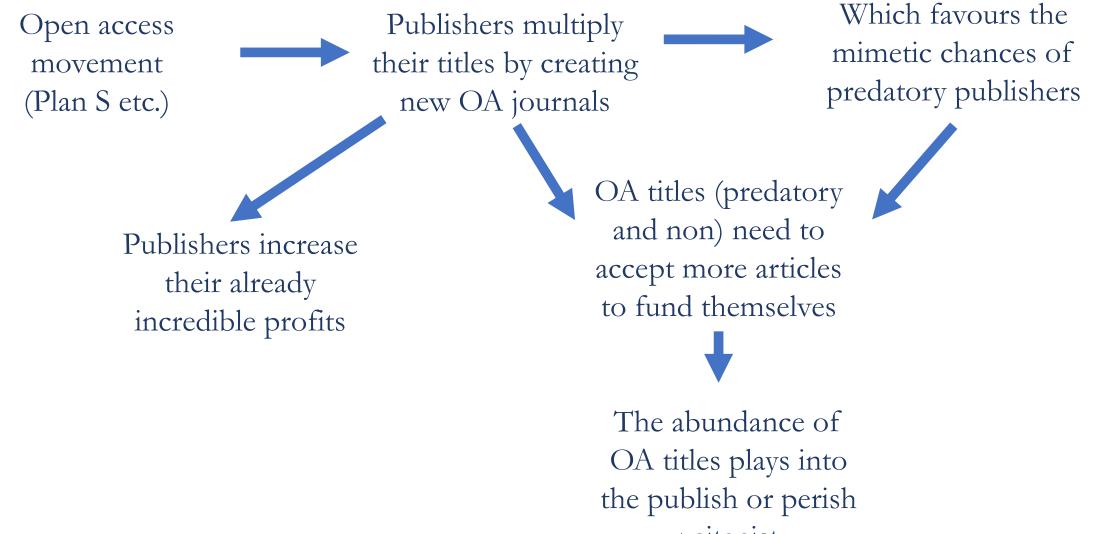
References:

Contal Maria









zeitgeist



Definition of *integrity*

1 : firm <u>adherence</u> to a code of especially moral or artistic values : <u>incorruptibility</u>

To what sort of science do I pledge my integrity to?

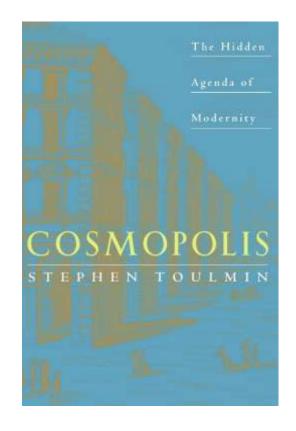


If you are a scientists you were nourished and trained in what Stephen Toulmin calls 'The hidden agenda of modernity'



Stephen Toulmin

The vision of Cosmopolis, a society as rationally ordered as the Newtonian view of nature



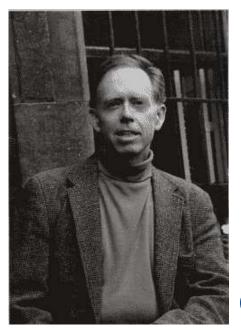
The dream was spectacularly successful, in all fields of endeavor, leading to what Steven Shapin calls 'invisible science'



Steven Shapin

Steven Shapin, 2016, Invisible Science, The Hedgehog Review: Vol. 18 No. 3 (Fall 2016).

What is science?



Clifford D. Conner

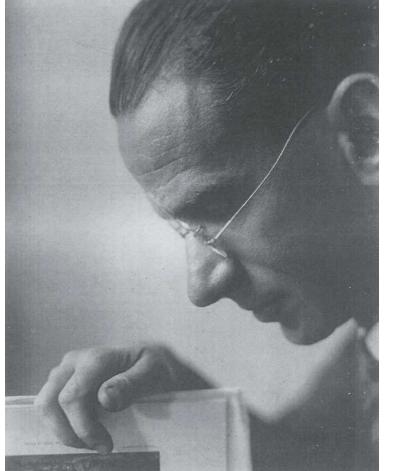
An antidote to a vision of the history of science as the fruit of the intuition of great (mostly) men

'Knowing what' was often only possible after 'knowing how' had been discovered "A delightfully refreshing new look at the history of science." - Howard Zinn

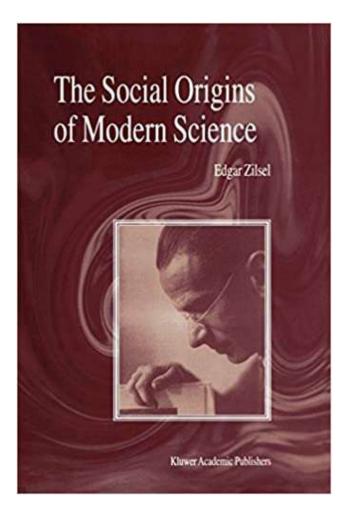
A PEOPLE'S History of Science



MINERS, MIDWIVES, AND "LOW MECHANICKS" CLIFFORD D. CONNER



Edgar Zilsel (1891–1944)



The Zilsel thesis:

- Superior artisans,
- Secular humanists,
- University scholars

"Science originates in urban cultures, money economy, market economy..."



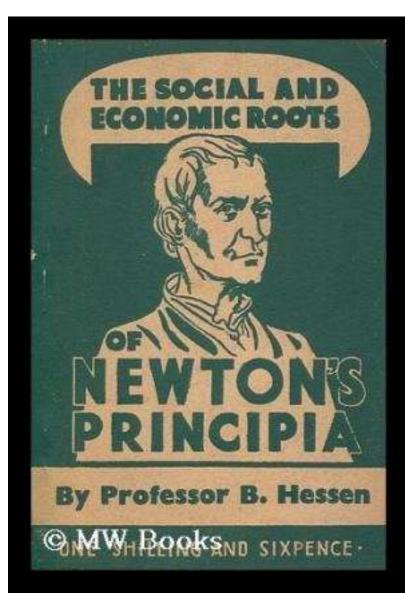
Boris Hessen

(1893 - 1936)

The Hessen thesis:

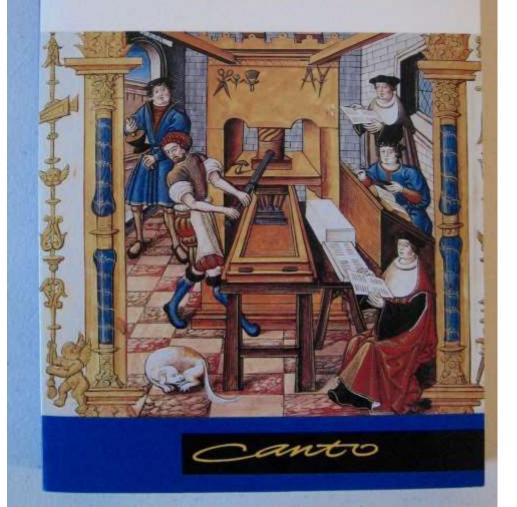
Science originates from the commercial and capitalistic needs of the XVII century nascent bourgeoisie …

… not just from the work of 'great minds'



The Printing Revolution in Early Modern Europe

ELIZABETH L. EISENSTEIN



A special place in the history of science How about the way we are taught our science?

Kuhn said that the "educational initiation that prepares and licenses the student for professional practice… is both rigorous and rigid"

and "It is a narrow and rigid education [in physics/science], probably more so than any other except perhaps in orthodox theology"



Thomas Kuhn, The structure of scientific revolution, 192, Chapters I and XIII

and "the member of a mature scientific community is, like the typical character of Orwell's 1984, the victim of a history rewritten by the powers that be."



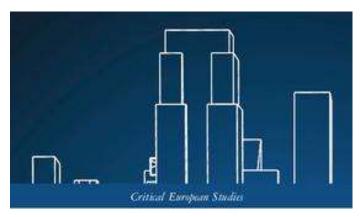
Thomas Kuhn, The structure of scientific revolution, 192, Chapter XIII

What is science?

- The legacy of Enlightenment
- The way to emancipation and Bildung

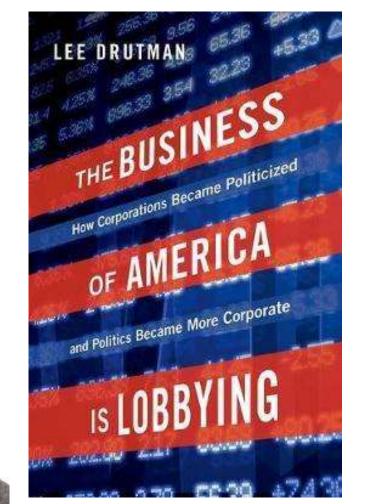
- A tool of corporate power?

Sylvain Laurens





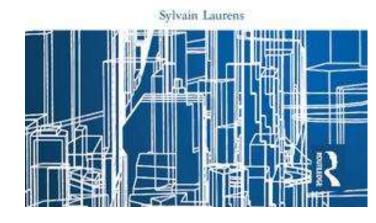




Lee Drutman

LOBBYISTS AND BUREAUCRATS IN BRUSSELS

CAPITALISM'S BROKERS





Futures

Volume 135, January 2022, 102860



Science, the endless frontier of regulatory capture

Andrea Saltelli ^a $\stackrel{ ext{M}}{\cong}$ Dorothy J. Dankel ^{b, c}, Monica Di Fiore ^d, Nina Holland ^e, Martin Pigeon ^e



Salud, Ciencia y Políticas ~

Organización Civil Internacional por la transparencia, la integridad, la participación y la equidad en las políticas de salud, la asistencia sanitaria y la investigación biomédica.

Inicio Atención Primaria

Medicalizaciones

Regulación e Industria

Terapéutica y Fármacos ~

Salud Materno Infantil

Vacunas

Mas ~

Saber Crítico ~

Los guardianes de la razón: Fact check, captura cultural e imaginario sociotécnico. Por Abel Novoa

por nmurcia | Jun 9, 2021 | Regulación e Industria | 0 Comentarios

http://www.nogracias.org/2021/06/09/los-guardianes-de-larazon-fact-check-captura-cultural-e-imaginariosociotecnico-por-abel-novoa/



Covid-19

Salud Mental

Abel Nova

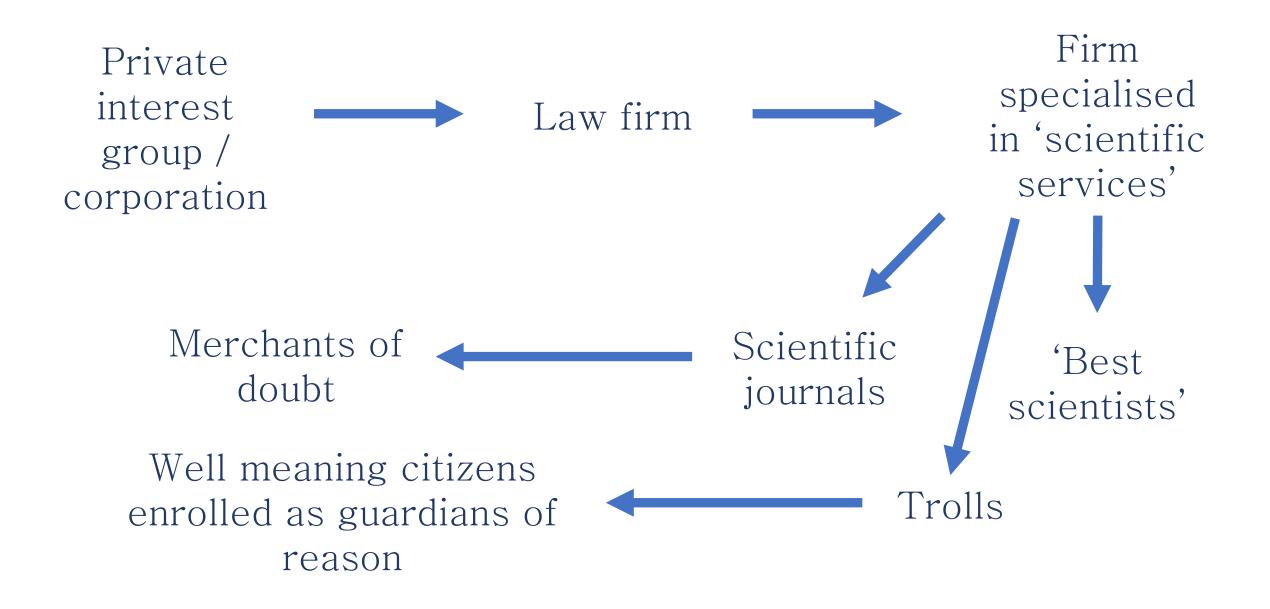
From the abstract:

... a form of corporate penetration which is based on a strategic use of the image and legitimacy of science

...cases where lobbyists present themselves as upholders of the values of the evidence-based policy, and interested in the methodological and ethical aspect of science for policy

From the abstract:

Epistemic ladder: lobbyists move from questioning the evidence to questioning its legitimacy, all the way to creating a worldview where not only the evidence, but the very idea of regulation, become irrelevant or undesirable



Decline in creativity narrative

nature

Explore content Y About the journal Y Publish with us Y

nature > articles > article

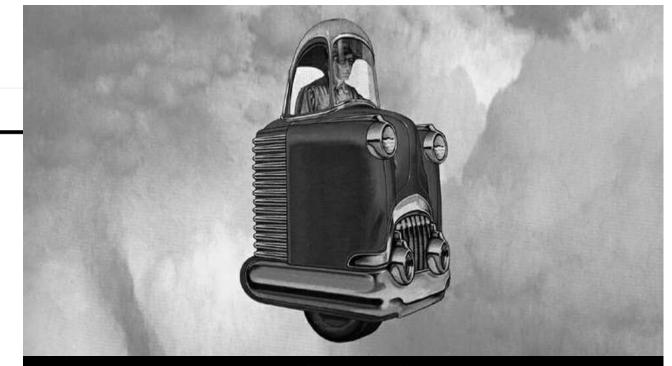
Article Published: 04 January 2023

Papers and patents are becoming less disruptive over time

Michael Park, Erin Leahey & Russell J. Funk

Nature 613, 138–144 (2023) Cite this article 249k Accesses 11 Citations 3936 Altmetric Metrics

"progress is slowing in several major fields"



David Graeber no. 19 March 2012

Of Flying Cars and the Declining Rate of Profit

Source: https://thebaffler.com/salvos/of-flyingcars-and-the-declining-rate-of-profit



Definition of *integrity*

1: firm <u>adherence</u> to a code of especially moral or artistic values : <u>incorruptibility</u>

Wrapping up: what sort of science and what sort of integrity we embrace are not trivial questions. They demand choices and come to a cost



Definition of integrity

2 : an unimpaired condition : <u>soundness</u>

Is the science we are receiving unimpaired?

Predatory publishing?



Credit: David Parkins, Nature, https://www.nature.com/articles/d41586-019-03759-y

nature

Explore Content V Journal Information V Publish With Us V

NEWS · 08 FEBRUARY 2021

Hundreds of 'predatory' journals indexed on leading scholarly database

Scopus has stopped adding content from most of the flagged titles, but the analysis highlights how poor-quality science is infiltrating literature.

Dalmeet Singh Chawla

Predatory: cloning existing journals



Recent cases:

- Talent Development and Excellence,
- Transylvanian Review
- Test Engineering and Management

https://retractionwatch.com/2021/05/26/how-hijacked-journals-keep-fooling-one-of-the-worlds-leading-databases/

Predatory publishers (the Achilles heel of the APC model)

Predatory open access publishers https://beallslist.net

Beall was threatened by Omics International with a \$1billion lawsuit



Jeffrey Beall, librarian, University of Colorado, Denver

Dear Andrea Saltelli,

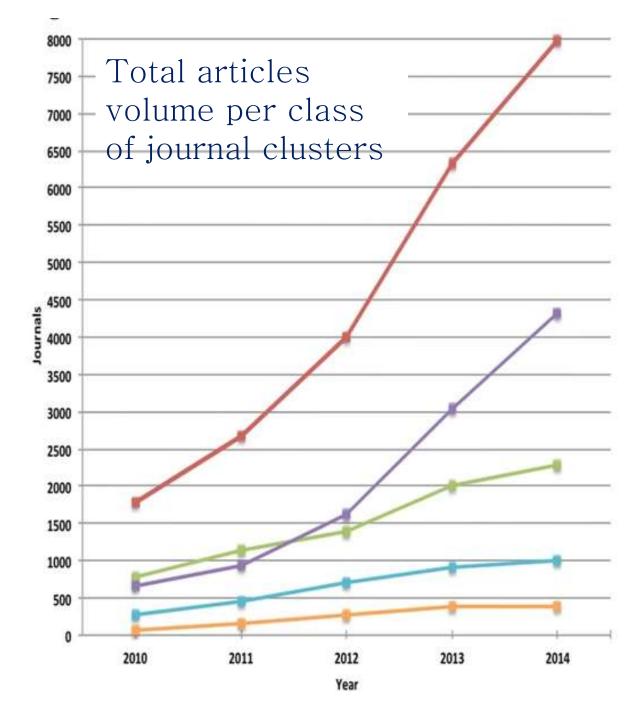
I hope everything is going well.



* Directory of Journals indexing

C. Shen and B.-C. Björk, "'Predatory' open access: a longitudinal study of article volumes and market characteristics," BMC Med., vol. 13, no. 1, p. 230, Dec. 2015.





Crisis of reproducibility

Failed replications, entire subfields going bad, fraudulent peer reviews, predatory publishers, perverse metrics, statistics on trial …



Brow





The Economic Journal, 127 (October), F236–F265. Doi: 10.1111/ecoj.12461 © 2017 Royal Economic Society. Published by John Wiley & Sons, 9600 Carsington Road, Oxford OX4 2DQ, UK and 350 Main Street, Malden, MA 02148, USA.

G OPEN ACCESS

ESSAY

June 21, 2017

Why Most Clinical Research Is Not Useful

John P. A. Ioannidis 🖾

Published: June 21, 2016 • https://doi.org/10.1371/journal.pmed.1002049

THE POWER OF BIAS IN ECONOMICS RESEARCH*

John P. A. Ioannidis, T. D. Stanley and Hristos Doucouliagos

October 27, 2017

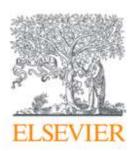


Futures Volume 91, August 2017, Pages 5-11



What is science's crisis really about?

Andrea Saltelli ^{a, b} ^A ⊠, Silvio Funtowicz ^a



Futures

Volume 104, December 2018, Pages 85-90



Why science's crisis should not become a political battling ground

Andrea, Saltelli 🖾

Darwinian fitness of malpractices

Downloaded from http://rsos.royalsocietypublishing.org/ on September 23, 2016



rsos.royalsocietypublishing.org



Cite this article: Smaldino PE, McElreath R. 2016 The natural selection of bad science. *R. Soc. open sci.* **3**: 160384. http://dx.doi.org/10.1098/rsos.160384

Received: 1 June 2016 Accepted: 17 August 2016

The natural selection of bad science

Paul E. Smaldino¹ and Richard McElreath²

¹Cognitive and Information Sciences, University of California, Merced, CA 95343, USA ²Department of Human Behavior, Ecology, and Culture, Max Planck Institute for Evolutionary Anthropology, Leipzig, Germany

D PES, 0000-0002-7133-5620; RME, 0000-0002-0387-5377

Poor research design and data analysis encourage false-positive findings. Such poor methods persist despite perennial calls for improvement, suggesting that they result from something more than just misunderstanding. The persistence of poor methods results partly from incentives that favour them, leading to the natural selection of bad science. This dynamic requires no conscious strategizing—no deliberate cheating nor loafing by scientists, only that publication is a principal factor for As in the real world, successful labs produce more 'progeny,' such that their methods are more often copied and their students are more likely to start labs of their own. Selection for high output leads to poorer methods and increasingly high false discovery rates.

Improving the quality of

research requires change at the institutional level.

Smaldino PE, McElreath R., 2016 The natural selection of bad science. R. Soc. open sci. 3: 160384. http://dx.doi.org/10.1098/rsos.160384

Is the present publishing system sound?

Opinion Peer review and scientific publishing

Thu 13 Sep 2018

Scientific publishing is a rip-off. We fund the research - it should be free *George Monbiot*





Those who take on the global industry that traps research behind paywalls are heroes, not thieves



I. Graber-Stiehl, "Science's pirate queen," *Verge*, Feb-2018.

Kazakhstani scientist Alexandra Elbakyan





Stephen Buranyi, The Guardian, 27 Jun 2017.



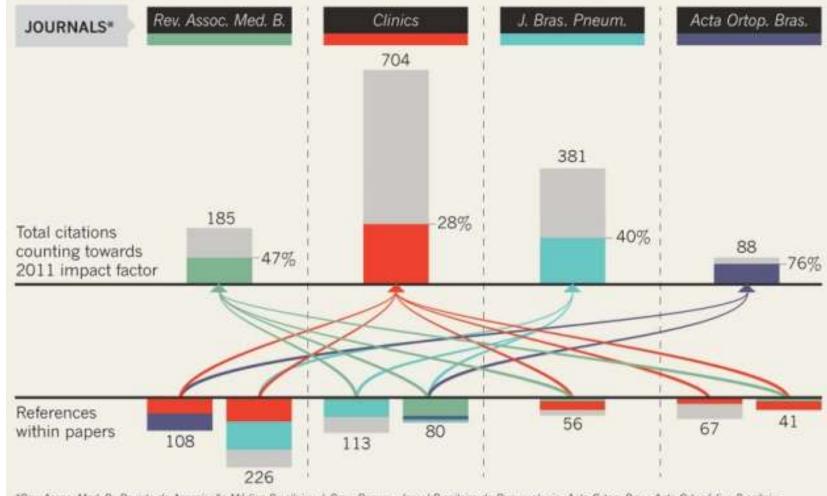
A 2005 Deutsche Bank report referred to it as a "bizarre" "triple-pay" system, in which "the state funds most research, pays the salaries of most of those checking the quality of research, and then buys most of the published product"

Stephen Buranyi, The Guardian, 27 Jun 2017.

Use and abuse of metrics: from self-citation to citation cartels to citation stacking

CITATION STACKING

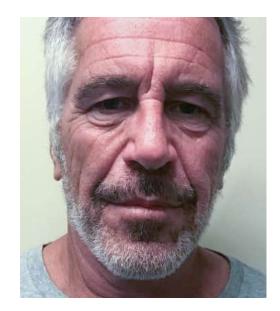
In 2011, four Brazilian journals published seven review papers with hundreds of references to previous research (2009–10) in each others' journals. This raised their 2011 impact factors.



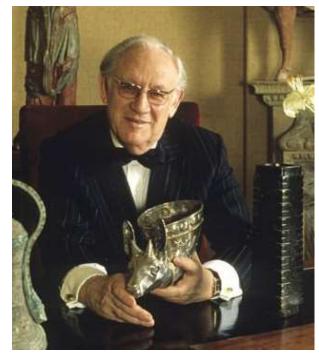
*Rev. Assoc. Med. B., Revista da Associação Médica Brasileira; J. Bras. Pneum., Jornal Brasileiro de Pneumologia; Acta Ortop. Bras., Acta Ortopédica Brasileira.

Richard Van Noorden, 2017, Brazilian citation scheme outed. Thomson Reuters suspends journals from its rankings for 'citation stacking'. Nature, 27 August 2013

Sound donations?



Jeffrey Epstein & paedophilia



Sackler family & opioids

How Rich Donors Like Epstein (and Others) **Undermine Science**

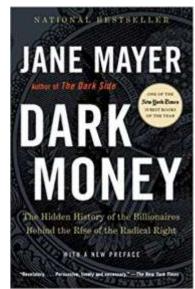
ADAM ROGERS

SCIENCE

09.15.2019 07:00 AM WIRED



Koch brothers & climate



Is the grant system sound?

··· researchers spend much of their research time writing research proposals

... the costs of peer review and non-granted project applications are often higher than the total budget of a call

 \cdots grants are preferentially allocated to well endowed labs and teams \cdots

··· strategic behavior of evaluators

··· a system based on lotteries would be way more efficient

Source, with references to literature: Andreas De Block @DeblockBlock https://nitter.42l.fr/DeblockBlock/status/1480529627496042497?s=20



David Adam Science funders gamble on grant lotteries

A growing number of research agencies are assigning money randomly.



What can one learn from the Health Research Council of New Zealand?



Research Policy Volume 49, Issue 1, February 2020, 103831

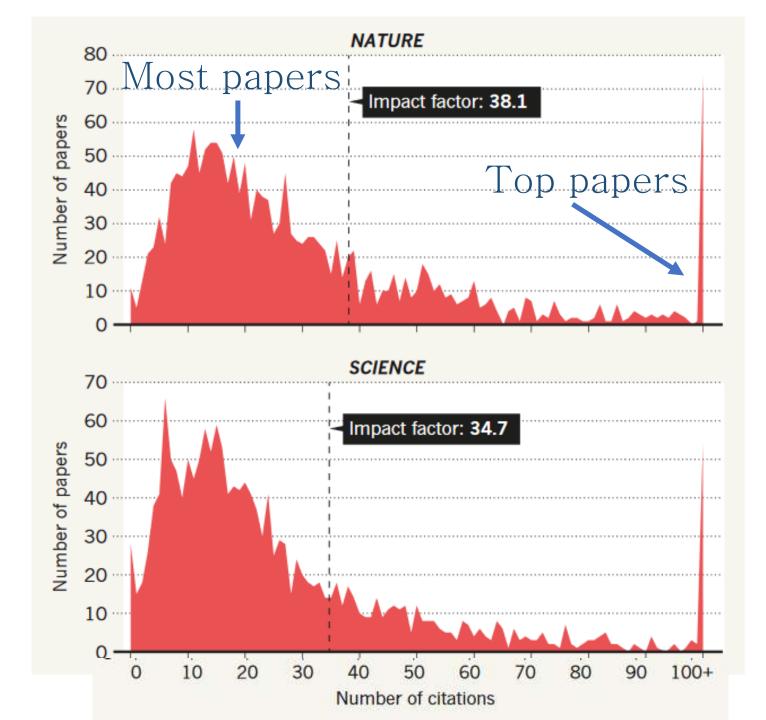


How to avoid borrowed plumes in academia Margit Osterloh ≈ , Bruno S. Frey

The impact factor is a misleading measure of the importance of an individual article.

The average paper is cited much less than the journal's impact factor

> Source: E. Callaway,2014 Publishing elite turns against impact factor, Nature, 535, 210-211.



Branding yourself





TRUE!

Office of the Provost: Building Your Professional Brand…

... an additional impetus for establishing a unique and memorable professional brand ...
... strategies for developing and leveraging your existing online presence to position you as thought leaders in an offline context

Bending to reviewer-coerced citation or not?

NEWS · 06 FEBRUARY 2020

Highly cited researcher banned from journal board for citation abuse

Investigation finds that biophysicist Kuo-Chen Chou repeatedly suggested dozens of citations be added to papers.

Accepting a seducing salary ... without too much thought?



U.S. Accuses Harvard Scientist of Concealing Chinese Funding



\$50,000 monthly salary, \$150,000 in annual in living expenses and more than \$1.5 million for a second laboratory in Wuhan

Cutting corners or not?



Cargo-cult statistics and scientific crisis

Written by Philip B. Stark and Andrea Saltelli on 05 July 2018. Posted in Science



P-hacking, HARKing, salami slicing, …

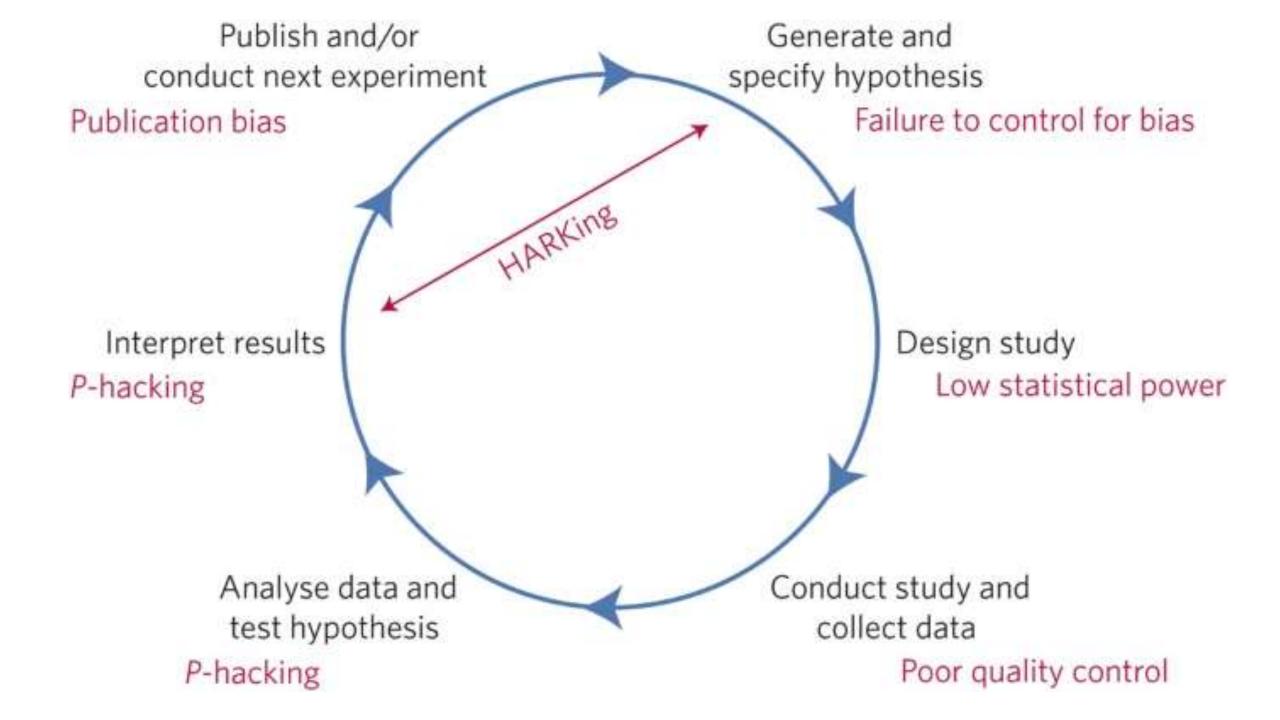


nature human behaviour

Open Access | Published: 10 January 2017

A manifesto for reproducible science

Marcus R. Munafò [⊡], Brian A. Nosek, Dorothy V. M. Bishop, Katherine S. Button, Christopher D. Chambers, Nathalie Percie du Sert, Uri Simonsohn, Eric-Jan Wagenmakers, Jennifer J. Ware & John P. A. Ioannidis



Are the numbers you produce responsible?

Models 'too big to fail'

COMMENT · 24 JUNE 2020

Five ways to ensure that models serve society: a manifesto

Pandemic politics highlight how predictions need to be transparent and humble to invite insight, not blame.



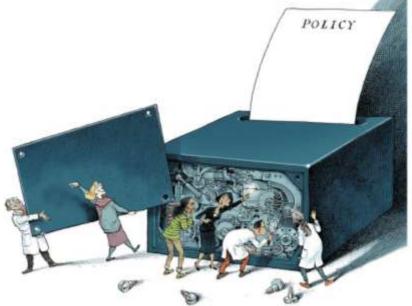
COMMENT • 24 JUNE 2020



Five ways to ensure that models serve society: a manifesto

Pandemic politics highlight how predictions need to be transparent and humble to invite insight, not blame.

Andrea Saltelli , Gabriele Bammer, Isabelle Bruno, Erica Charters, Monica Di Fiore, Emmanuel Didier, Wendy Nelson Espeland, John Kay, Samuele Lo Piano, Deborah Mayo, Roger Pielke Jr, Tommaso Portaluri, Theodore M. Porter, Arnald Puy, Ismael Rafols, Jerome R. Ravetz, Erik Reinert, Daniel Sarewitz, Philip B. Stark, Andrew Stirling, Jeroen van der Sluijs & Paolo Vineis



COVID-19 policies dictated by 'science' with several digits precision in the presence of fundamental uncertainties





Pfleiderer, P. Chameleons: The Misuse of Theoretical Models in Finance and Economics. *Economica* **87**, 81–107 (2020).

Contradictions in the unintended effects of reforms?

Good intentions going bad

Incentive	Intended effect	Actual effect
"Researchers rewarded for increased number of publications."	"Improve research productivity," provide a means of evaluating performance.	"Avalanche of" substandard, "incremental papers"; poor methods and increase in false discovery rates leading to a "natural selection of bad science" (Smaldino and Mcelreath, 2016); reduced quality of peer review
"Researchers rewarded for increased number of citations."	Reward quality work that influences others.	Extended reference lists to inflate citations; reviewers request citation of their work through peer review
"Researchers rewarded for increased grant funding."	"Ensure that research programs are funded, promote growth, generate overhead."	Increased time writing proposals and less time gathering and thinking about data. Overselling positive results and downplay of negative results.
Increase PhD student productivity	Higher school ranking and more prestige of program.	Lower standards and create oversupply of PhDs. Postdocs often required for entry-level academic positions, and PhDs hired for work MS students used to do.
Reduced teaching load for research- active faculty	Necessary to pursue additional competitive grants.	Increased demand for untenured, adjunct faculty to teach classes.
"Teachers rewarded for increased student evaluation scores."	"Improved accountability; ensure customer satisfaction."	Reduced course work, grade inflation.
"Teachers rewarded for increased student test scores."	"Improve teacher effectiveness."	"Teaching to the tests; emphasis on short-term learning."
"Departments rewarded for increasing U.S. News ranking."	"Stronger departments."	Extensive efforts to reverse engineer, game, and cheat rankings.
"Departments rewarded for in- creasing numbers of BS, MS, and PhD degrees granted."	"Promote efficiency; stop students from being trapped in degree programs; impress the state legislature."	"Class sizes increase; entrance requirements" decrease; reduce graduation requirements.
"Departments rewarded for increasing student credit/contact hours (SCH)."	"The university's teaching mission is fulfilled."	"SCH-maximization games are played": duplication of classes, competition for service courses.

TABLE 1. GROWING PERVERSE INCENTIVES IN ACADEMIA

Modified from Repetr (ners, comm, 2015) with nermission

Academic Research in the 21st Century: Maintaining Scientific Integrity in a Climate of Perverse Incentives and Hyper-competition, Marc A. Edwards and Siddhartha Roy, ENVIRONMENTAL ENGINEERING SCIENCE, 34(1), 2017

"Researchers rewarded for increased number of publications."

Intended effect

"Improve research productivity," provide a means of evaluating performance. Actual effect

"Avalanche of" substandard, "incremental papers"; poor methods and increase in false discovery rates leading to a "natural selection of bad science" (Smaldino and Mcelreath, 2016); reduced quality of peer review

Academic Research in the 21st Century: Maintaining Scientific Integrity in a Climate of Perverse Incentives and Hyper-competition, Marc A. Edwards and Siddhartha Roy, ENVIRONMENTAL ENGINEERING SCIENCE, 34(1), 2017

"Researchers rewarded for increased number of citations."

Actual effect

Intended effect

Reward quality work that influences others.

Extended reference lists to inflate citations; reviewers request citation of their work through peer review

Academic Research in the 21st Century: Maintaining Scientific Integrity in a Climate of Perverse Incentives and Hyper-competition, Marc A. Edwards and Siddhartha Roy, ENVIRONMENTAL ENGINEERING SCIENCE, 34(1), 2017

Actual effect

"Researchers rewarded for increased grant funding."

Intended effect

Increased time writing proposals and less time gathering and thinking about data. Overselling positive results and downplay of negative results.

"Ensure that research programs are funded, promote growth, generate overhead."

Academic Research in the 21st Century: Maintaining Scientific Integrity in a Climate of Perverse Incentives and Hyper-competition, Marc A. Edwards and Siddhartha Roy, ENVIRONMENTAL ENGINEERING SCIENCE, 34(1), 2017

Increase PhD student productivity

Actual effect

Intended effect

Lower standards and create oversupply of PhDs. Postdocs often required for entry-level academic positions, and PhDs hired for work MS students used to do.

Higher school ranking and more prestige of program.

Academic Research in the 21st Century: Maintaining Scientific Integrity in a Climate of Perverse Incentives and Hyper-competition, Marc A. Edwards and Siddhartha Roy, ENVIRONMENTAL ENGINEERING SCIENCE, 34(1), 2017

"Teachers rewarded for increased student test scores."
"Departments rewarded for increasing U.S. News ranking." *Intended effect*

"Improve teacher effectiveness."

"Stronger departments."

Actual effect

"Teaching to the tests; emphasis on short-term learning." Extensive efforts to reverse engineer, game, and cheat rankings.

Academic Research in the 21st Century: Maintaining Scientific Integrity in a Climate of Perverse Incentives and Hyper-competition, Marc A. Edwards and Siddhartha Roy, ENVIRONMENTAL ENGINEERING SCIENCE, 34(1), 2017



Definition of integrity

2 : an unimpaired condition : <u>soundness</u>

Wrapping up: The science we receive in heritage from our fathers has aspects which are unsound



Definition of integrity

3 : the quality or state of being complete or undivided : <u>completeness</u>

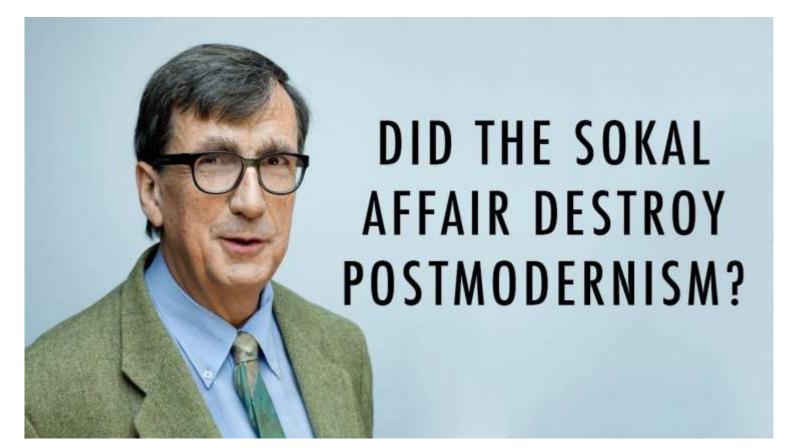
Science is divided: the Sokal affair (1996)

ALAN SOKAL JEAN BRICMONT **IMPOSTURAS** INTELECTUALES

The birth of 'scholarly hoax'

"Transgressing the Boundaries: Towards a Transformative Hermeneutics of Quantum Gravity"

The hoax generated soul searching in the community of science and technology studies



Bruno Latour, source https://medium.com/the-humanities-intransition/part-1-sokals-hoax-371af59bde3f

The Conceptual Penis as a Social Construct: A Sokal-Style Hoax on Gender Studies

BY PETER BOGHOSSIAN, ED.D. (AKA PETER BOYLE, ED.D.)

AND JAMES LINDSAY, PH.D. (AKA, JAMIE LINDSAY, PH.D.)

Source: https://www.skeptic.com/reading_room/conceptualpenis-social-contruct-sokal-style-hoax-on-gender-studies/ Another hoax in 2018 (aka: Sokal-squared)

HOME \ CULTURE

Grievance Studies exposes college corruption with hoax papers The Washington Times

America's Newspaper

Source: https://www.washingtontimes.com/news/2018/oct/3/grievancestudies-exposes-college-corruption-hoax-/ Beryl L. Crowe: "two insular scientific communities – the natural and the social-between which there is very little communication and a great deal of envy, suspicion, disdain, and competition for scarce resources"

The Tragedy of the Commons Revisited

28 November 1969, Volume 166, Number 3909



Beryl L. Crowe: "Perhaps the major problems of modern society have, in large part, been allowed to develop and intensify through this structure of insularity and specialization"

The Tragedy of the Commons Revisited

28 November 1969, Volume 166, Number 3909





Definition of integrity

3 : the quality or state of being complete or undivided : <u>completeness</u>

Wrapping up: science is not in 'one piece'

Cheating is wrong. Cheating is wrong.

Cheating is wrong.

H)