

#### Ethics of quantification

Andrea Saltelli

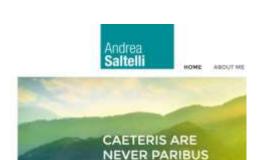
Centre for the Study of the Sciences and the Humanities (SVT) - University of Bergen (UIB) & visiting fellow at Open Evidence Research, Universitat Oberta de Catalunya (UOC), Barcelona

The Ethics of Quantification: Modelling the Norwegian Spring-spawning Herring Fishery UIB-SVT

Parkveien 9, 1st Floor Meeting Room, May 22 - 24, 2018

#### Where to find this talk: www.andreasaltelli.eu





= more material on www.andreasaltelli.eu



DCT00ER 19TH-25TH 2013

**Economist.com** 

Washington's lawyer surplus

How to do a nuclear deal with Iran

Investment tips from Nobel economists

The meaning of Sachin Tendulkar

Junk bonds are back

# Einsteinium

# Science's crisis

# Why Most Published Research Findings

Are False 2005

John P. A. Ioannidis

··· for most study designs and settings, it is more likely for a research claim to be false than true ···



John P. A. Ioannides

J. P. A. Ioannidis, Why Most Published Research Findings Are False, PLoS Medicine, August 2005, 2(8), 696-701.

# Snapshots of the crisis: a rich ecosystem and some morbid signs

Failed replications, entire subfields going bad, fraudulent peer reviews, predatory publishers, perverse metrics, statistics on trial, ...

· · · misleading science advice, institutions on denial, post-truth, ...

The crisis is methodological, epistemological, ethical and metaphysical

- → Context: Science's crisis
  - → Methodological: The role of statistics
    - → Statistical modelling
  - → Ethical: Big data & algorithms
    - → Mathematical modelling hasn't suffered as statistical modelling and is not seen as dystopian as algorithms, …



Comment

# Drug development: Raise standards for preclinical cancer research

"scientific findings were confirmed in only 6 (11%) cases in preclinical research, this was a shocking result" (29 March, 2012)

The 1st most cited journal in Psychology



#### in Human Neuroscience

# Prestigious Science Journals Struggle to Reach Even Average Reliability

"...an accumulating body of evidence suggests that methodological quality & reliability of published research works in several fields may be decreasing with increasing journal rank" (20 February, 2018)



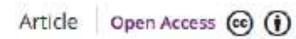
Björn Brembs\*

Institute of Zoology—Neurogenetics, Universität Regensburg, Regensburg, Germany

# RETRACTION RELATION Journals with higher impact factors also have a higher rate of retractions. Impact factor J. Exp. Med. EMBO J J. Immunol. Retraction index

Fang FC, Casadevall A and Morrison R (2011) Retracted science and the retraction index. *Infection and Immunity* 79(10): 3855–3859





#### Do rebuttals affect future science?

Jeannette A. Banobi 🔀 , Trevor A. Branch , Ray Hilborn

First published: 30 March 2011 | https://doi.org/10.1890/ES10-00142.1 | Cited by: 13

"We examined seven high-profile original articles and their rebuttals, finding that original articles were cited 17 times more than rebuttals, and that annual citation numbers were unaffected by rebuttals"







The Economic Journal, 127 (October), F236–F265. Doi: 10.1111/ecoj.12461 © 2017 Royal Economic Society. Published by John Wiley & Sons, 9600 Garsington Road, Oxford OX4 2DQ, UK and 350 Main Street, Malden, MA 02148, USA.

G OPEN ACCESS

ESSAY

June 21, 2017

Why Most Clinical Research Is Not Useful

John P. A. Ioannidis 🖾

Published: June 21, 2016 • https://doi.org/10.1371/journal.pmed.1002049

#### THE POWER OF BIAS IN ECONOMICS RESEARCH\*

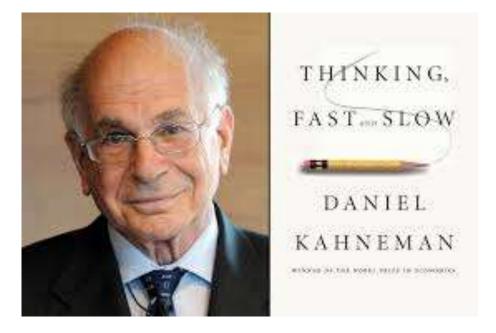
John P. A. Ioannidis, T. D. Stanley and Hristos Doucouliagos

October 27, 2017

Rather than isolated instances of corruptions now entire fields of research are found diseased



Reconstruction of a Train Wreck: How Priming Research Went off the Rails



"[...]questions have been raised about the robustness of priming results ... your field is now the poster child for doubts about the integrity of psychological research..."

https://replicationindex.wordpress.com/2017/02/02/reconstruction-of-a-train-wreck-how-priming-research-went-of-the-rails/comment-page-1/

# Statistics under trial



732 North Washington Street, Alexandria, VA 22314 • (703) 684-1221 • Toll Free: (888) 231-3473 • www.amstat.org • www.twitter.com/AmstatNews

#### AMERICAN STATISTICAL ASSOCIATION RELEASES STATEMENT ON STATISTICAL SIGNIFICANCE AND P-VALUES

Provides Principles to Improve the Conduct and Interpretation of Quantitative

Science

March 7, 2016

# + twenty 'dissenting' commentaries

Wasserstein, R.L. and Lazar, N.A., 2016. 'The ASA's statement on p-values: context, process, and purpose', The American Statistician, DOI:10.1080/00031305.2016.1154108.

See also Christie Aschwanden at http://fivethirtyeight.com/features/not-even-scientists-can-easily-explain-p-values/

P-hacking (fishing for favourable p-values) and HARKing (formulating the research Hypothesis After the Results are Known);

Desire to achieve a sought for – or simply publishable – result leads to fiddling with the data points, the modelling assumptions, or the research hypotheses themselves

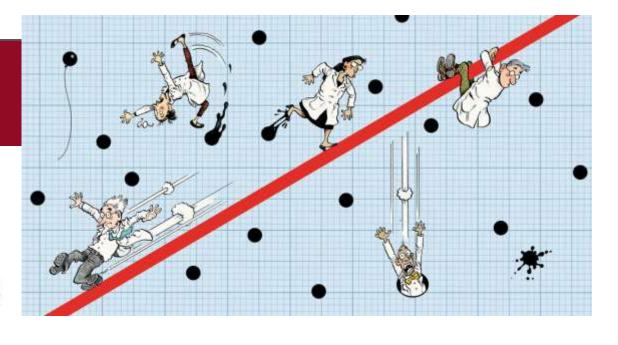
Leamer, E. E. Tantalus on the Road to Asymptopia. J. Econ. Perspect. 24, 31-46 (2010).

Kerr, N. L. HARKing: Hypothesizing After the Results are Known. Personal. Soc. Psychol. Rev. 2, 196–217 (1998).



COMMENT · 28 NOVEMBER 2017

# Five ways to fix statistics



As debate rumbles on about how and how much poor statistics is to blame for poor reproducibility, Nature asked influential statisticians to recommend one change to improve science. The common theme? The problem is not our maths, but ourselves.

**CORRESPONDENCE** • 16 JANUARY 2018



# Fixing statistics is more than a technical issue

Andrea Saltelli <sup>™</sup> & Philip Stark

https://www.nature.com/articles/d41586-018-00647-9

CORRESPONDENCE • 16 JANUARY 2018

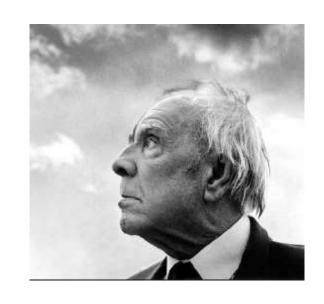


# Integrity must underpin quality of statistics



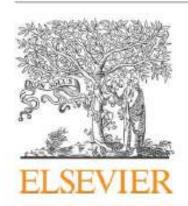
# The statistical garden of the forking paths (check Andrew Gelman's blog at http://andrewgelman.com/

Jorge Luis Borges



Andrew Gelman





Contents lists available at ScienceDirect

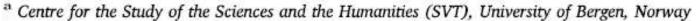
#### **Futures**

journal homepage: www.elsevier.com/locate/futures



#### What is science's crisis really about?

Andrea Saltelli<sup>a,b,\*</sup>, Silvio Funtowicz<sup>a</sup>



<sup>&</sup>lt;sup>b</sup> Institute of Environmental Science and Technology (ICTA), Universitat Autònoma de Barcelona, Spain



# Recipes for diligent quantification

### A new grammar for modelling



# The quality of mathematical modelling versus:

Quality of statistical modelling; Science's own quality control crisis in medicine, economics, psychology, forensics, nutrition; Sociology of quantification, ethics of algorithm ...

Reformation and new grammar for modelling

Quantitative methodologies UA and SA as bedrock

Sensitivity auditing, quantitative storytelling, and ethics of quantification.

#### Sensitivity analysis

Saltelli, A., Annoni, P., 2010, How to avoid a perfunctory sensitivity analysis, Environmental Modeling and Software, 25, 1508-1517.

#### Sensitivity auditing

Saltelli, A., Guimarães Pereira, Â., Van der Sluijs, J.P. and Funtowicz, S., 2013, 'What do I make of your latinorum? Sensitivity auditing of mathematical modelling', Int. J. Foresight and Innovation Policy, (9), 2/3/4, 213–234.

#### Quantitative storytelling

Saltelli, A., Giampietro, M., 2017, What is wrong with evidence based policy, and how can it be improved? Futures, 91, 62-71.

A new grammar

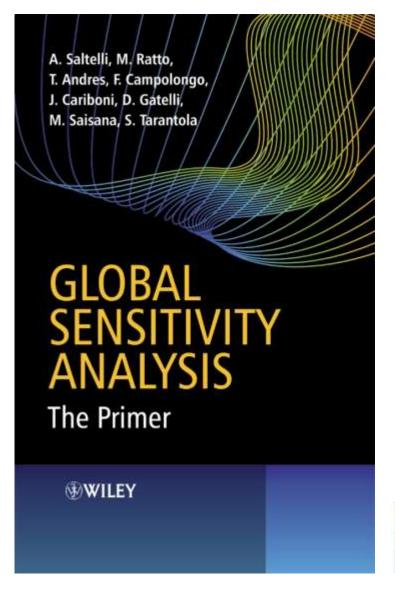
Saltelli, A., Does Modelling need a reformation? Ideas for a new grammar of modellinf, on ArXiv



# Sensitivity analysis

#### Sensitivity analysis book available on LibGen







#### http://ec.europa.eu/smart-regulation/



Source: IA Toolbox, p. 391



**EUROPEAN COMMISSION** 

Better Regulation "Toolbox"

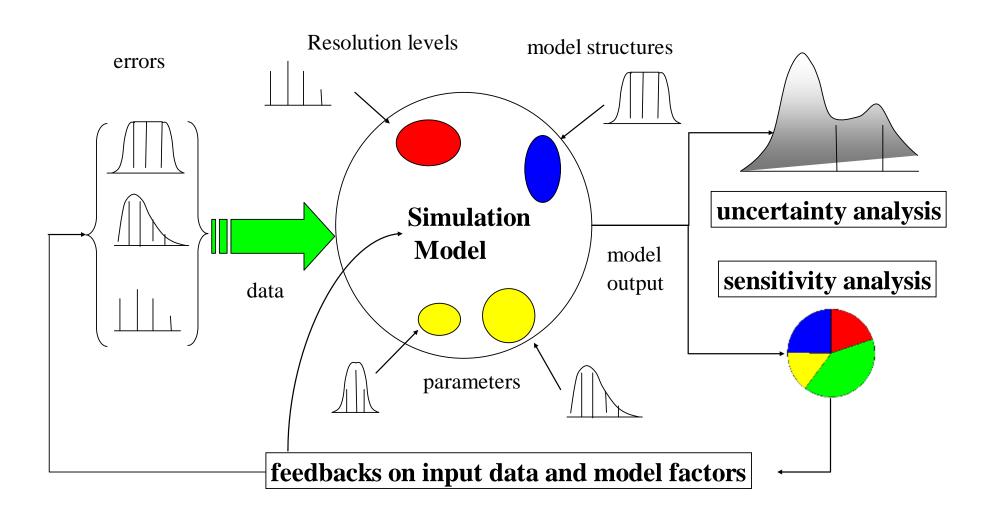
#### 4. SENSITIVITY AND UNCERTAINTY ANALYSES

Page 391

Six steps for a global SA:

- 1. Select <u>one</u> output of interest;
- 2. Participatory step: discuss which input may matter;
- 3. Participatory step: (extended peer review) define distributions;
- 4. Sample from the distributions;
- 5. Run (=evaluate) the model for the sampled values;
- 6. Obtain in this way both the uncertainty of the prediction and the relative importance of variables.

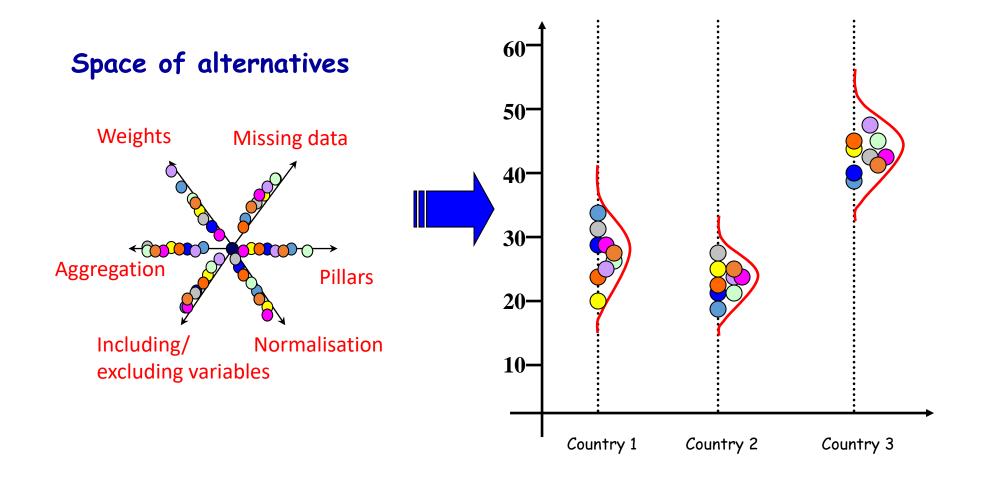
## An engineer's vision of UA, SA



One can sample more than just factors

One can sample modelling assumptions, alternative data sets, resolution levels…

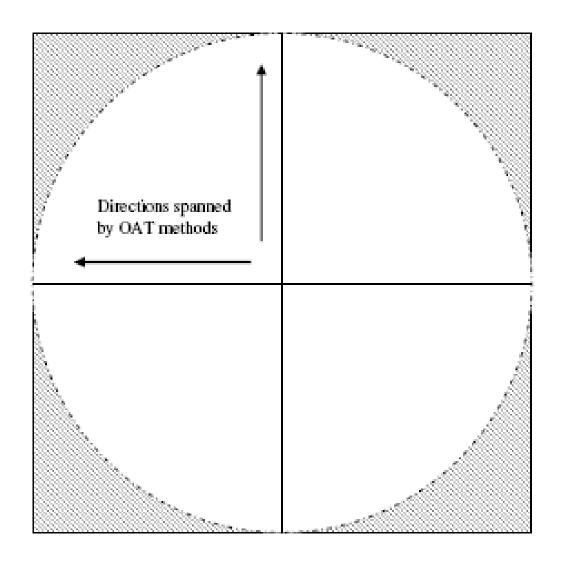
Assumption	Alternatives
Number of indicators	<ul><li>all six indicators included or</li></ul>
	one-at-time excluded (6 options)
Weighting method	<ul><li>original set of weights,</li></ul>
	<ul><li>factor analysis,</li></ul>
	<ul><li>equal weighting,</li></ul>
	<ul><li>data envelopment analysis</li></ul>
Aggregation rule	<ul><li>additive,</li></ul>
	<ul><li>multiplicative,</li></ul>
	<ul><li>Borda multi-criterion</li></ul>



# Why not just changing one factor at a time (OAT)?

Because it is a bad idea!

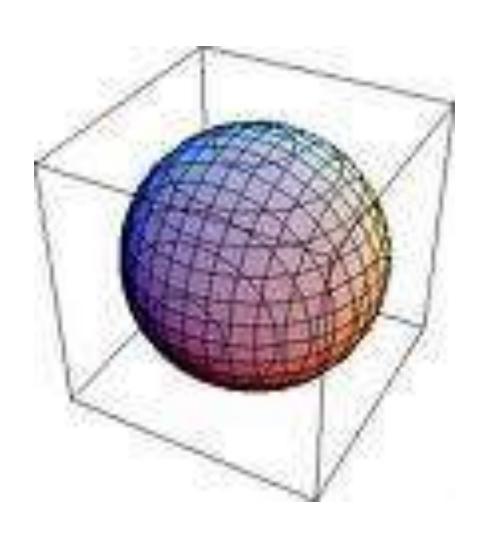
# OAT in 2 dimensions



Area circle / area square =?

~ 3/4

## OAT in 3 dimensions



Volume sphere / volume cube =?

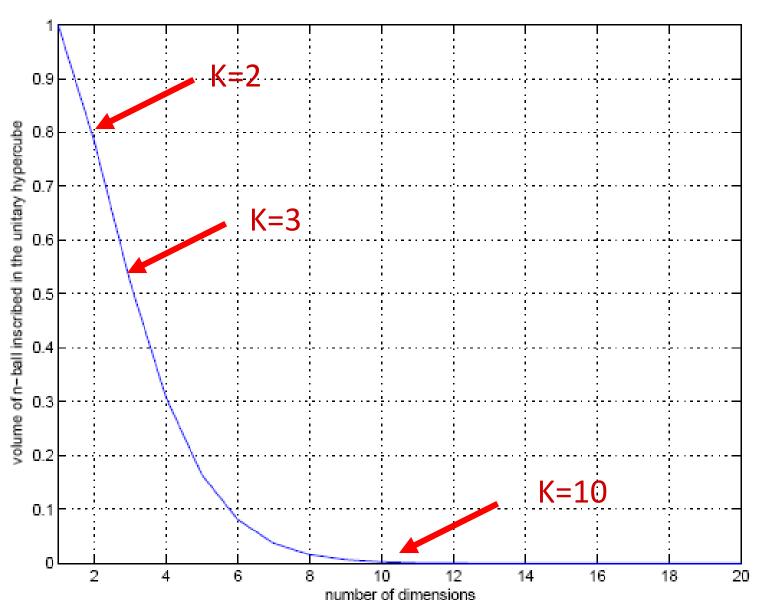
~ 1/2

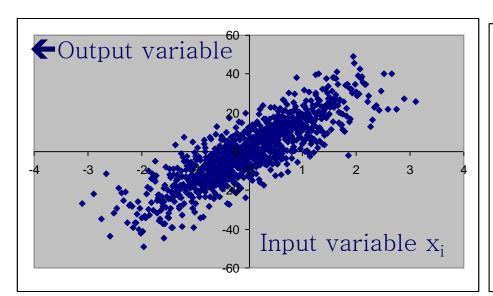
#### OAT in 10 dimensions

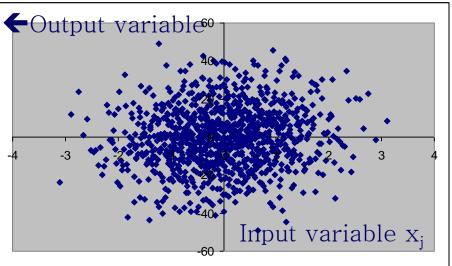
Volume hypersphere / volume ten dimensional hypercube =?  $\sim 0.0025$ 



#### OAT in k dimensions

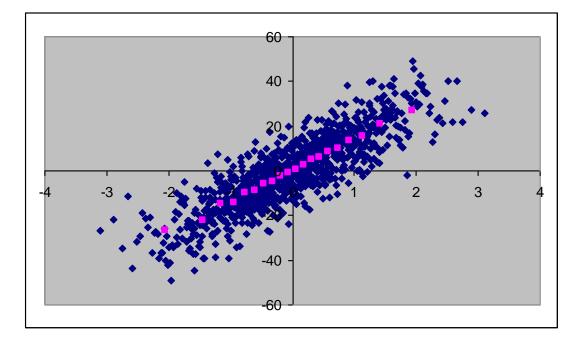


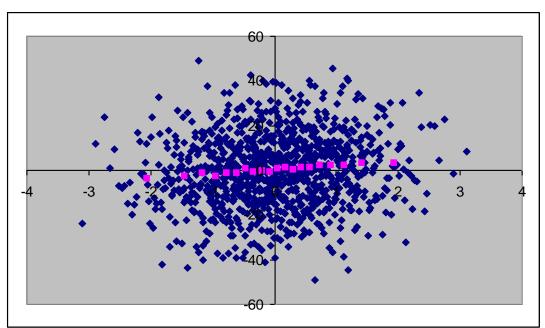




#### Which factor is more important?

Why?

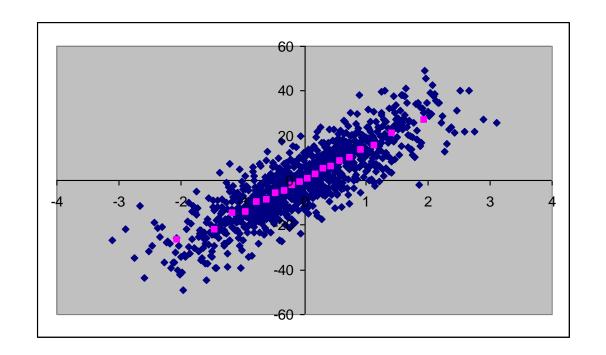




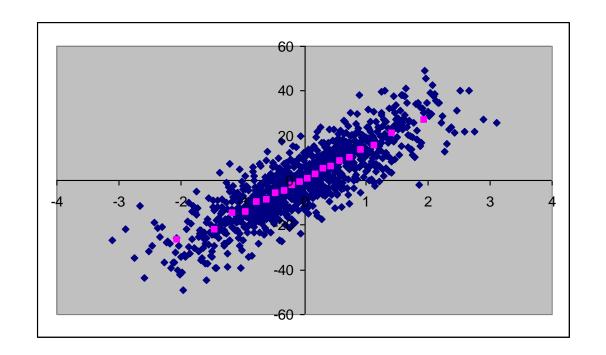
~1,000 blue points

Divide them in 20 bins of ~ 50 points

Compute the bin's average (pink dots)

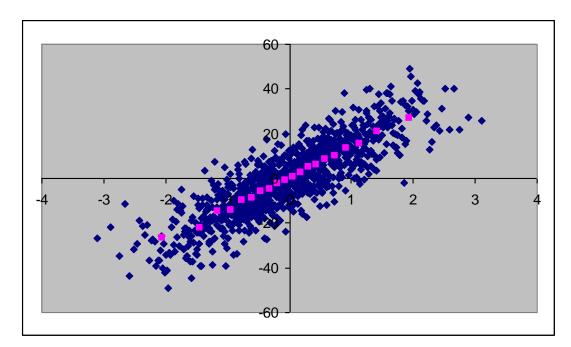


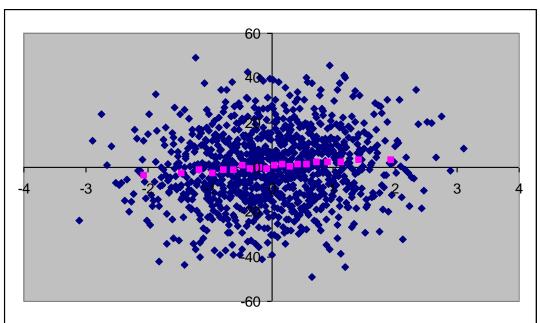
Each pink point is 
$$\sim E_{\mathbf{X}_{\sim i}}(Y|X_i)$$



Take the variance of the pink points and  $V_{X_i}(E_{\mathbf{X}_{\sim i}}(Y|X_i))$ the pink points and you have a sensitivity measure

$$V_{X_i}\left(E_{\mathbf{X}_{\sim i}}\left(Y\big|X_i\right)\right)$$





Which factor has the highest

$$V_{X_i}\left(E_{\mathbf{X}_{\sim i}}\left(Y|X_i\right)\right)$$
?

## Secrets of sensitivity analysis

# Why should one ever run a model just once?

First secret: The most important question is the question.

Or: sensitivity analysis is not "run" on a model but on a model once applied to a question

First secret: The most important question is the question.

Corollary 2: The best setting for a sensitivity analysis is 'via negativa'

It is better to be in a setting of falsification than in one of confirmation (Oreskes et al., 1994)

[Normally the opposite is the case]

Verification, Validation, and Confirmation of Numerical Models in the Earth Sciences, Naomi Oreskes, Kristin Shrader-Frechette, Kenneth Belitz, Science, New Series, Vol. 263, No. 5147

#### Second secret: Sensitivity analysis should not be used to hide assumptions [it often is]



Third secret: If sensitivity analysis shows that a question cannot be answered by the model one should find another question or model

[Often the love for one's own model prevails]

#### Badly kept secret:

There is always one more bug!

(Lubarsky's Law of Cybernetic Entomology)



And of course please don't ...

... run a sensitivity analysis where each factors has a 5% uncertainty



## Sensitivity auditing

## EC impact assessment guidelines: what do they say about sensitivity auditing?



http://ec.europa.eu/smartregulation/guidelines/docs/br\_toolbox\_en.pdf Sensitivity auditing, […] is a wider consideration of the effect of all types of uncertainty, including structural assumptions embedded in the model, and subjective decisions taken in the framing of the problem. […]

The ultimate aim is to communicate openly and honestly the extent to which particular models can be used to support policy decisions and what their limitations are.

#### The rules of sensitivity auditing

Rule 1: Check against rhetorical use of mathematical modelling;

Rule 2: Adopt an "assumption hunting" attitude; focus on unearthing possibly implicit assumptions;

Rule 3: Check if uncertainty been instrumentally inflated or deflated.

#### The rules of sensitivity auditing

Rule 4: Find sensitive assumptions before these find you; do your SA before publishing;

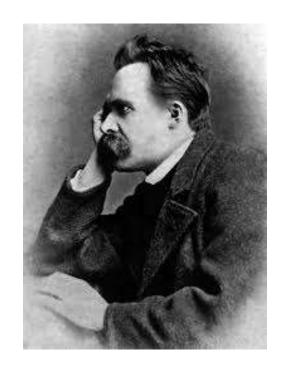
Rule 5: Aim for transparency; Show all the data;

Rule 6: Do the right sums, not just the sums right; the analysis should not solve the wrong problem;

Rule 7: Perform a proper global sensitivity analysis.

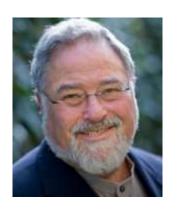
### Quantitative story-telling

"There is only a perspective seeing, only a perspective "knowing"; and the more affects we allow to speak about one thing, the more eyes, different eyes, we can use to observe one thing, the more complete will our "concept" of this thing, our "objectivity", be."

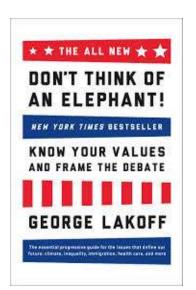


#### Frames

The expression 'tax relief' is apparently innocuous but it suggests that tax is a burden, as opposed to what pays for road, hospitals, education and other infrastructures of modern life (Lakoff, 2004)



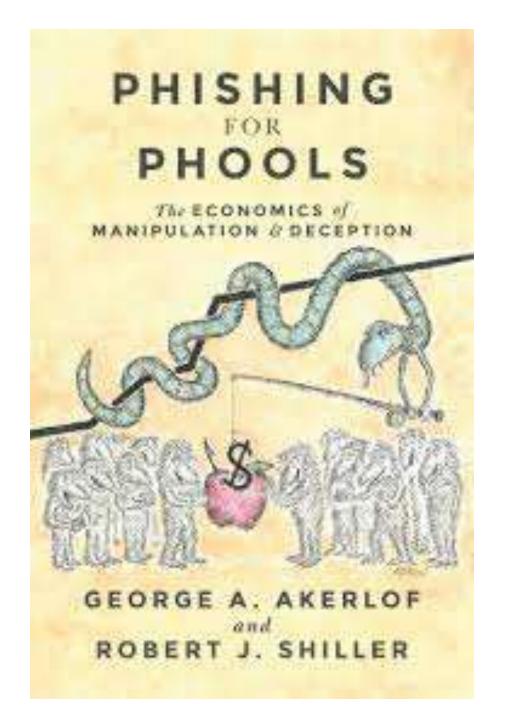
George Lakoff



Lakoff, G., 2010, Why it Matters How We Frame the Environment, Environmental Communication: A Journal of Nature and Culture, 4:1, 70-81.

Lakoff, G., 2004-2014, Don't think of an elephant: know your values and frame the debate, Chelsea Green Publishing.

#### Frames



#### Frames

For Akerlof and Shiller against what the 'invisible hand' would contend economic actors have no choice but to exploit frames to 'phish' people into practices which benefit the actors not the subject phished.



George Akerlof



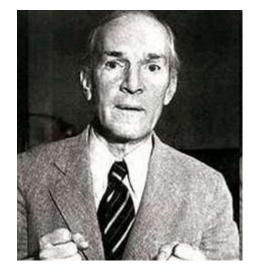
Robert R. Shiller

#### QST tests frames/narratives for:

- Misconstruction, internal contradictions, technical errors
- Feasibility (compatibility with processes outside human control);
- Viability (compatibility with processes under human control, in relation to both the economic and technical dimensions); and
- Desirability (compatibility with a multitude of normative considerations relevant to a plurality of actors).

#### Why frames 'stick'

"If is difficult to get a man to understand something when his salary depends upon his not understanding it."



Upton Sinclair

## Some examples: Sensitivity analysis: the case of the Stern review



Contents lists available at ScienceDirect

#### Global Environmental Change

journal homepage: www.elsevier.com/locate/gloenvcha



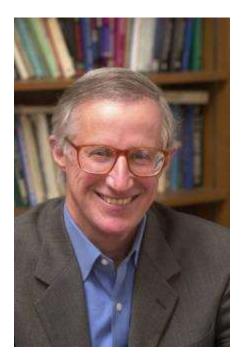
#### Sensitivity analysis didn't help. A practitioner's critique of the Stern review

Andrea Saltelli\*, Beatrice D'Hombres

Joint Research Centre, Institute for the Protection and Security of the Citizen, Ispra, Italy



The case of Stern's Review – Technical Annex to postscript



William Nordhaus, University of Yale



Nicholas Stern, London School of Economics

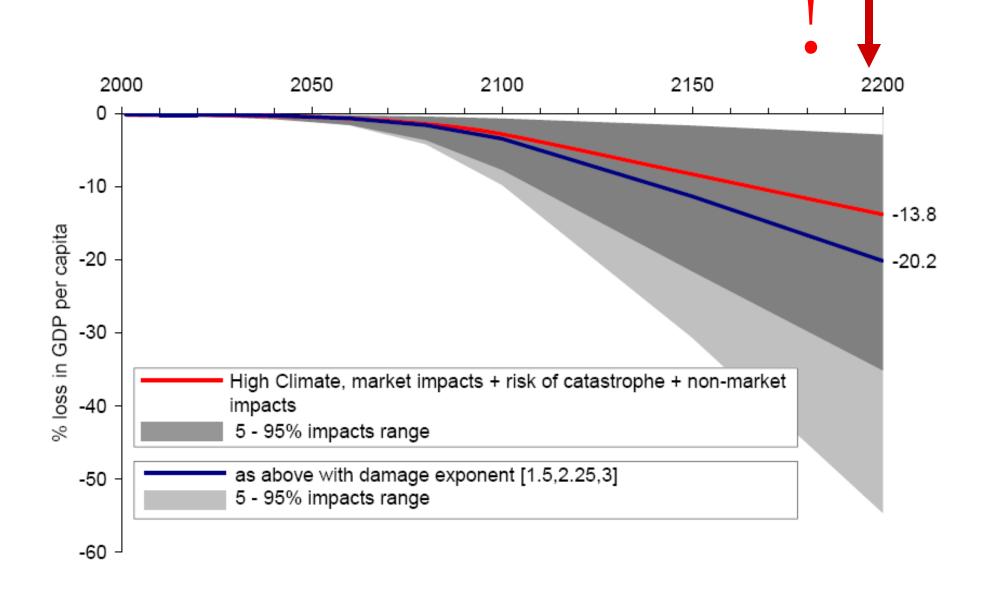
Stern, N., Stern Review on the Economics of Climate Change. UK Government Economic Service, London, www.sternreview.org.uk.

Nordhaus W., Critical Assumptions in the Stern Review on Climate Change, SCIENCE, 317, 201–202, (2007).

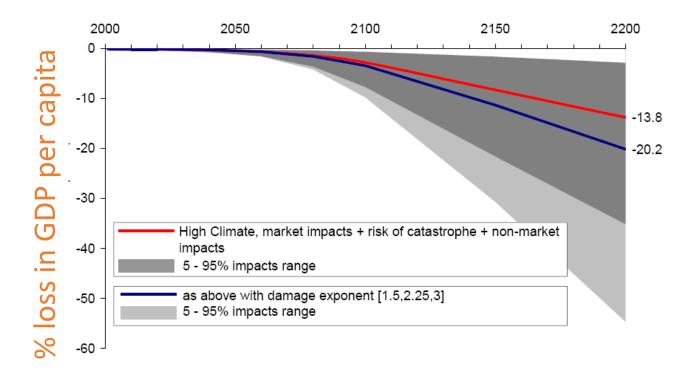
#### The Stern - Nordhaus exchange on SCIENCE

- 1) Nordhaus falsifies Stern based on 'wrong' range of discount rate
- 2) Stern's complements its review with a postscript: a sensitivity analysis of the cost benefit analysis
- 3) Stern thus says: My analysis shows robustness'

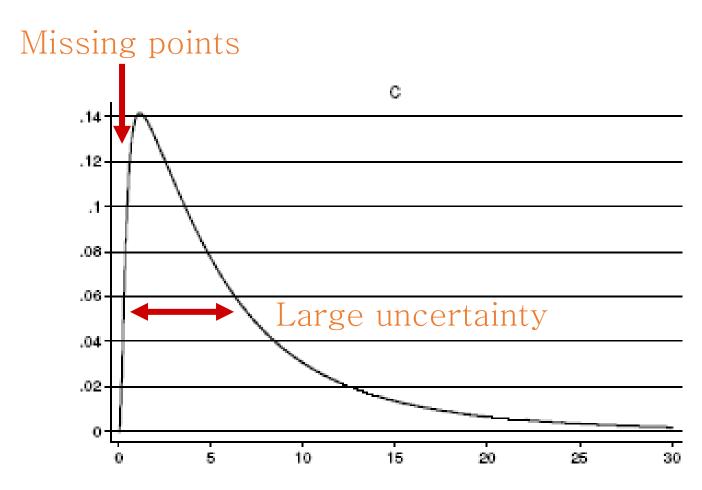
#### My problems with it:



... but foremost Stern says:
changing assumptions → important effect
when instead he should admit that:
 changing assumptions → all changes a lot

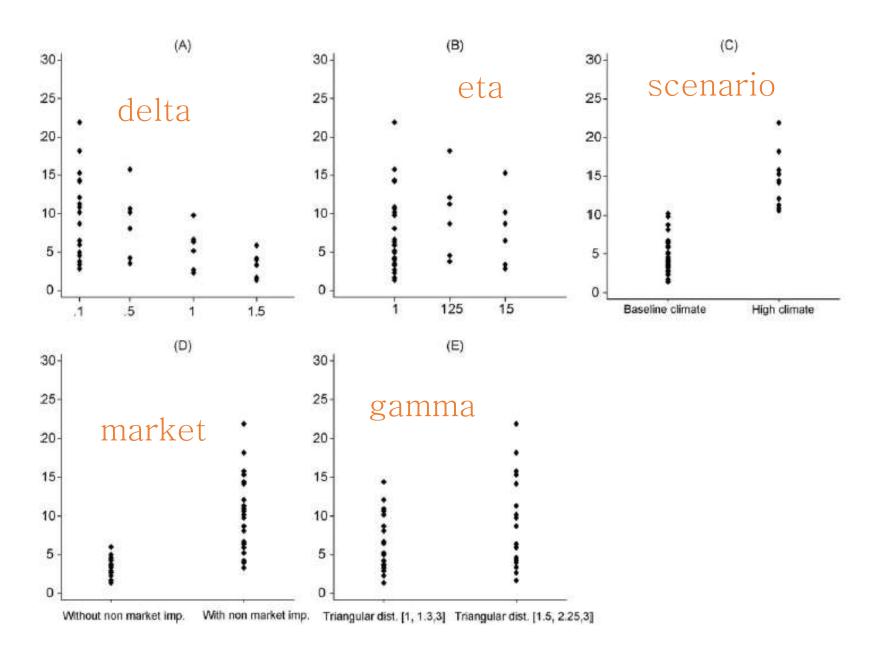


## How was it done? A reverse engineering of the analysis

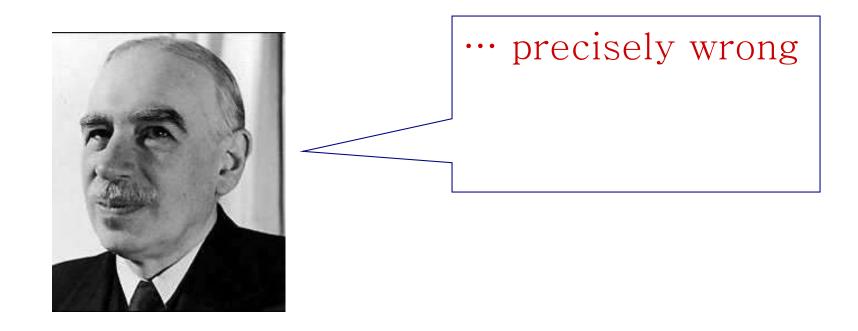


% loss in GDP per capita

#### Sensitivity analysis here (also by reverse engineering)



Same criticism applies to Nordhaus – both authors frame the debate around numbers which are …



## Some examples: Sensitivity auditing: the OECD PISA study

### Do PISA data justify PISA-based education policy?

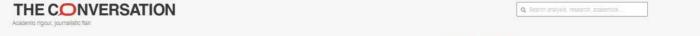
PISA-based education policy



International Journal of Comparative Education and Development Vol. 19 No. 1, 2017 pp. 1-17 © Emerald Publishing Limited 2396-7404 DOI 10.1108/IJCED-12-2016-0023

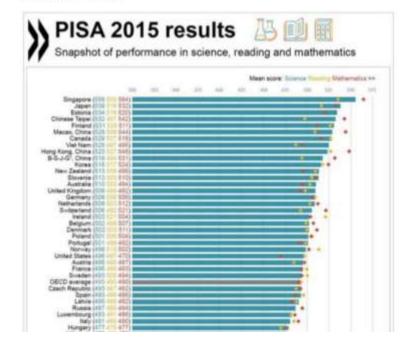
## With Luisa Araújo and Sylke V. Schnepf







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A condensed the version of the article

With PISA the OECD gained the centre-stage in the international arena on education policies, which led to important controversies

http://www.theguardian.com/e ducation/2014/may/06/oecd-pisa-tests-damaging-education-academics

#### theguardian

### OECD and Pisa tests are damaging education worldwide - academics

In this letter to Dr Andreas Schleicher, director of the OECD's Programme for International Student Assessment, academics from around the world express deep concern about the impact of Pisa tests and call for a halt to the next round of testing



② School children in Sichuan province in China. Academics say the OECD should develop alternatives to league tables and find more meaningful ways of reporting assessment, taking account of different cultures. Photograph: James Zeng Huang/Corbis Sygma

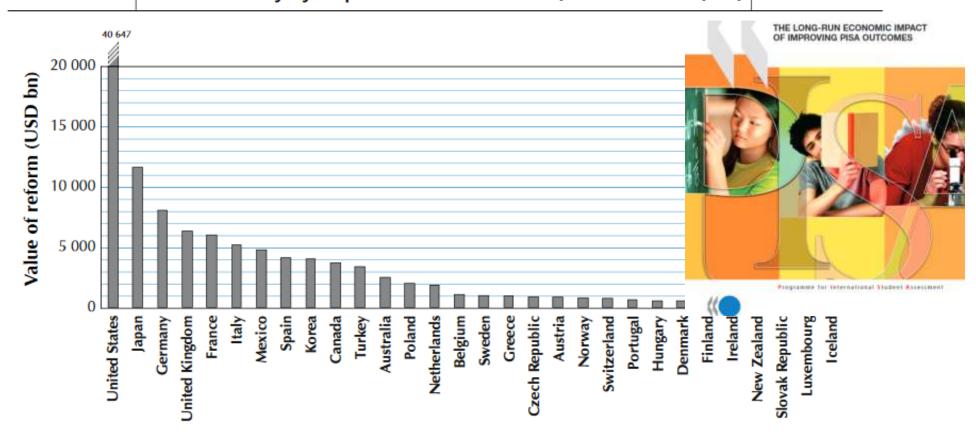
### Critical remarks by the 80 signatories of the letter:

- Flattening of curricula (exclusion of subjects)
- Short-termism (teaching to the test)
- Promoting "life skills to function in knowledge societies"
- Stressing the student
- ··· 

  Stop the test!
- A more participatory run of the study would be advisable

Figure 1

Present value of Scenario I (improve student performance in each country by 25 points on the PISA scale) in billion USD (PPP)



Note: Discounted value of future increases in GDP until 2090 due to reforms that improve student performance in each

http://www.oecd.org/edu/school/programmeforinternationalstudentassessmentpisa/thehighcostofloweduca tionalperformance.htm

### PISA's daring quantifications:

"If every EU Member State achieved an improvement of 25 points in its PISA score as Germany and Poland did over the last decade, the GDP of the whole EU would increase by between 4% and 6% by 2090;

such an 6% increase would correspond to 35 trillion Euro"

Woessmann, L. (2014), "The economic case for education", EENEE Analytical Report 20, European

Expert Network on Economics of Education (EENEE), Institute and University of Munich.

We find both technical and normative issues:

- 1) Non response bias (which students are excluded; PISA non-response for England: the bias turned out to be twice the size of the OECD declared standard error in 2003
- 2) Non open data, which makes SA impossible

- 3) Flattening curricula (do all countries wish to prosper by becoming knowledge societies?)
- 4) Power implications: power in the use of evidence. OECD (unelected officers and scholars) becoming a global super-ministry of education

## Some examples: Sensitivity auditing/Quantitative storytelling: scenarios for food security



#### DISCUSSION PAPER

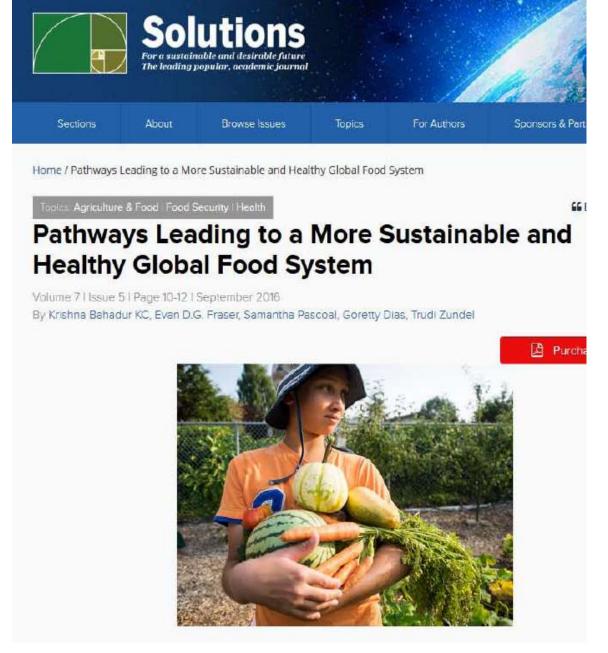
# Problematic Quantifications: a Critical Appraisal of Scenario Making for a Global 'Sustainable' Food Production

Andrea Saltelli 1,2,3 (6) · Samuele Lo Piano 1

Accepted: 4 August 2017 / Published online: 15 August 2017

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"What follows is a hypothetical executive summary from an imagined Food and Agriculture Organization (FAO) report on the state of the world's food systems, written from the perspective of the 2050s"

https://www.thesolutionsjournal.com/article/pathways-leading-sustainable-healthy-global-food-system/

### Executive Summary: FAO State of World Agriculture in 2050 Draft Report

"[...]this FAO report presents evidence that the international food system of the second half of the 21st century is more sustainable than the food system of the late 20th or early 21st centuries.

[...] today more people are being fed on less land and agriculture is requiring fewer inputs"

### Executive Summary: FAO State of World Agriculture in 2050 Draft Report

Three digits

"[...] despite there being 10 billion people on the planet, today agriculture requires 438 million hectares\* less land than it did in 2015, yet produces more adequate nutrition for all."

\*Authors' estimate

This [438 Mha] figure was arrived at by assuming that:

- Agriculture shifts away from over production of cereals, oils, and sugars, but increases fruit and vegetables;
- Agricultural yields increase ~1%/y between now and 2050.
- Protein consumption shifts from 86% animals and 14% plants to 50% animal and 50% plant.

"Please contact the authors for references etc. pertaining to these calculations"



### Our study:

• Gain in number of hectares: three significant digits (438 millions)?

• Balancing hectares growth and population growth (our computation) results in no change in food per capita at planetary scale

### Our study:

 Neglect of diminishing returns and ecosystem stress (fertilizers, pesticides)

- More adults (higher caloric intake) in 2050 population
- Can one educate citizens globally? The case of tobacco

### In conclusion the

"mismatch between what the world needed for everyone to enjoy a nutritious diet and what the world was actually producing"

is the substitution of a political problem with a technical one

## Some examples: Sensitivity auditing/Quantitative storytelling: Golden Rice's story

### The Washington Post

**Speaking of Science** 

107 Nobel laureates sign letter blasting Greenpeace over

**GMOs** 

https://www.washingtonpost.com/news/speaking-of-science/wp/2016/06/29/more-than-100-nobel-laureates-take-on-greenpeace-over-gmo-stance/



White Greenpeace and other organizations appose genetically engineered food, more than 150 Nobel lauredtes are taking a stand on the side of GMOs. Here's a look at each side's arguments. (Jenny Stans, The Washington Post)

"While Greenpeace and other organizations oppose genetically engineered food, more than 100 Nobel laureates are taking a stand on the side of GMOs. Here's a look at each side's arguments. (Jenny Starrs/The Washington Post)"

#### From the Nobel laureates' letter:

"Greenpeace has spearheaded opposition to Golden Rice, which has the potential to reduce or eliminate much of the death and disease caused by a vitamin A deficiency (VAD), which has the greatest impact on the poorest people in Africa and Southeast Asia.

[...] a total of one to two million preventable deaths occur annually as a result of VAD, [...] VAD itself is the leading cause of childhood blindness globally affecting 250,000 – 500,000 children each year. Half die within 12 months of losing their eyesight"

From the Nobel laureates' letter:

"[...] Opposition based on emotion and dogma contradicted by data must be stopped.

How many poor people in the world must die before we consider this a "crime against humanity"?"

#### Opposing evidence on Golden Rice

Nutritionally: not enough beta carotene

Golden rice not authorized yet

More politically viable alternative successful

Dangerous colour

Low yield of the modified variety ...

http://www.ecowatch.com/greenpeace-to-nobel-laureates-its-not-our-fault-golden-rice-has-failed-1896697050.html

#### With Mario Giampietro and Tiziano Gomiero



https://theconversation.com/forcing-consensus-is-bad-for-science-and-society-77079

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## Some examples: Quantitative storytelling: Cost Benefit Analyses

The myth of scientific quantification via risk or cost benefit analyses, including of the impact of new technologies, has been at the hearth of the critique of the ecological moment (e.g. Schumacher, 1973; Winner, 1986; Funtowicz and Ravetz, 1994)

E. F. Schumacher, 1973, Small Is Beautiful. Economics as if People Mattered, Penguin Perennial,

Winner, L., 1986. The Whale and the Reactor: a Search for Limits in an Age of High Technology. The University of Chicago Press, 1989 edition.

Funtowicz, S.O. and Ravetz, J.R. (1994). The worth of a songbird: Ecological economics as a post-normal science. Ecological Economics 10(3), 197-207.

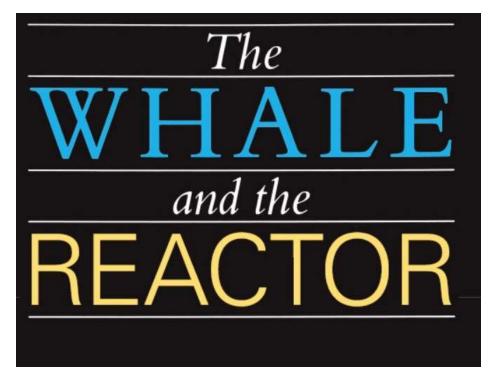
[...] quality is much more difficult to 'handle' than quantity, just as the exercise of judgment is a higher function than the ability to count and calculate.



Ernst Friedrich
"Fritz"
Schumacher

Quantitative differences can be more easily grasped and certainly more easily defined than qualitative differences: their concreteness is beguiling and gives them the appearance of scientific precision, even when this precision has been purchased by the suppression of vital differences of quality.

Most analyses offered as input to policy are framed as cost benefit analysis or risk analyses





Langdon Winner

Winner, L., 1986. The Whale and the Reactor: a Search for Limits in an Age of High Technology. The University of Chicago Press, 1989 edition.

#### Read chapter 8

8

ON NOT HITTING THE TAR-BABY



Langdon Winner

On not falling into the trap of CBA and risk analyses

Winner, L., 1986. The Whale and the Reactor: a Search for Limits in an Age of High Technology. The University of Chicago Press, 1989 edition.



Consume GMO because they are safe!



GMO as a food scare

The Economist, Vermont v science, The little state that could kneecap the biotech industry, May 10th 2014



#### Citizens' worries (Marris, 2001, excerpts)

- Who decided that they should be developed and how?
- Why are we not given an effective choice about whether or not to buy and consume these products?
- Do regulatory authorities have sufficient powers and resources to effectively counter-balance large companies who wish to develop these products?

Marris, C., Wynne, B., Simmons P., and Weldon, S. 2001. Final Report of the PABE research project funded by the Commission of European Communities, Contract number: FAIR CT98-3844 (DG12 - SSMI), December 2001.

US National Academy of Sciences report on genetically engineered crops:

"Products of new technologies should be regulated not only on the basis of their benefit-risk profiles, but also on their societal context and need"

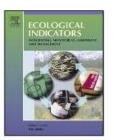
## Some examples: Sensitivity auditing/Quantitative storytelling: The Ecological Footprint



Contents lists available at ScienceDirect

#### **Ecological Indicators**

journal homepage: www.elsevier.com/locate/ecolind



#### Footprints to nowhere





b Institute for the Protection and Security of the Citizen (IPSC), The European Commission, Joint Research Centre, TP 361, 21027 Ispra, VA, Italy





<sup>&</sup>lt;sup>c</sup> Catalan Institution for Research and Advanced Studies (ICREA), Passeig Lluís Companys, 23, 08010 Barcelona, Spain

Giampietro, M., and Saltelli, A., 2014, Footprints to nowhere, Ecological Indicators, 46, 610–621.

Goldfinger, S., Wackernagel, M., Galli, A., Lazarus, E., Lin, D., 2014, Footprint facts and fallacies: A response to Giampietro and Saltelli (2014) "Footprints to Nowhere", 46, 622–632.

Giampietro, M., and Saltelli, A., 2014, Footworking in Circles, Ecological Indicators, 46 (2014) 260–263.

Alessandro Galli, Mario Giampietro, Steve Goldfinger, Elias Lazarus, David Lin, Andrea Saltelli, Matthis Wackernagel, Felix Müller, 2016, Questioning the ecological footprint, Ecological Indicators, 69, 224–232.





#### How many Chinas does it take to support China?



#### What about some other countries?

- () FRANCE 1.6 1 1
- U.S.A. 1.9 ●
- ⊕ GREECE 3.1 € € € \*
- # U.K. 3.5 3 3 3
- SWITZER- 4.2
- QATAR 5.7
- JAPAN 7.1

Based on two "accounts (biocapacity and footprint) representing the supply and demand of renewable biological resources, and the area of forest required to offset human carbon emissions (the carbon footprint)" the EF tells mankind how many planets are being used



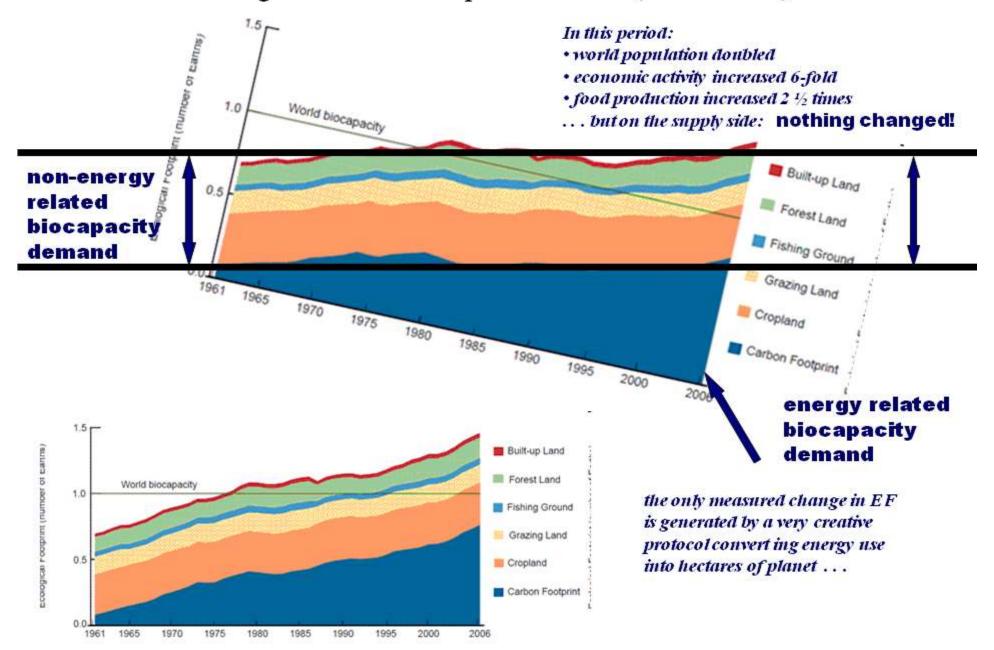








#### The change of world footprint in time (1961-2006)



The footprint is almost entirely driven by energy consumption, which corresponds to carbon emission which are in turn sequestrated by forests; [...] Carbon sequestration rate is hence what drives the results

But this number could be made negative as well as infinity depending on what number one picks … it is totally volatile

#### Is the EF a rhetorical device?

- The implausible accuracy (Earth overshoot day = August 2!)
- Offsetting a flow with a stock (Kg of CO2 per year versus square meters of land)
- The anti-trade bias (CMEPSP, 2009, p. 71)
- The total dependence upon energy related pressures
- Paradoxical policy implications (e.g. in Agriculture)

Giampietro and Saltelli, Op. cit.

CMEPSP (2009). Commission on the Measurement of Economic Performance and Social Progress, URL: http://www.stiglitz-sen-fitoussi.fr/documents/rapport\_anglais.pdf last accessed June 2014.

### Is the EF a rhetorical device?

- The EF is inconsistent with its stated purpose of measuring demand on ecosystems
- The EF depends mostly from a dimensionally flawed energy emissions assessment
- One cannot accept EF's flaws on the ground that the EF has normative virtues; EF's rhetoric muddles the sustainability debate

"EF measurements, as currently constructed and presented, are so misleading as to preclude their use in any serious science or policy context.[...], less than half the area of the United States planted with eucalypts could essentially give us an EF equal to one Earth—an approach that no ecologist would recommend."

Blomqvist L, Brook BW, Ellis EC, Kareiva PM, Nordhaus T, et al. (2013a) Does the Shoe Fit? Real versus Imagined Ecological Footprints. PLoS Biol 11(11): e1001700. doi:10.1371/journal.pbio.1001700.

See also follow up:

Rees WE, Wackernagel M (2013) The Shoe Fits, but the Footprint is Larger than Earth. PLoS Biol 11(11): e1001701. doi:10.1371/journal.pbio.1001701

Blomqvist L, Brook BW, Ellis EC, Kareiva PM, Nordhaus T, et al. (2013b) The Ecological Footprint Remains a Misleading Metric of Global Sustainability. PLoS Biol 11(11): e1001702. doi:10.1371/journal.pbio.1001702.

## Training "Numbers for Policy", Barcelona August 27<sup>th</sup> - September 1<sup>st</sup>

http://www.uib.no/en/svt/115575/numbers-policy-practical-problems-quantification





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Twitter:
@andreasaltelli

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