



Ethics of quantification

Andrea Saltelli

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VITSV900 / Philosophy and Ethics of Social Sciences,
Bergen, February 22, 2021



Where to find this talk: www.andreasaltelli.eu

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#statistiques #probabilités #modélisation
#prédiction Isabelle Bruno du #CERAPS
@univ_lille @CNRS_HdF @ScPoLille nous parle
des dérives de la #quantophrénie dans un article à
lire sur le media @FR_Conversation
https://twitter.com/FR_Conversation/status/1302651033164881920

♡ ↗

Sep 7, 2020

andrea saltelli
@AndreaSaltelli

Pour mes amis francophones. Honoured to be co-
author of a statactivist like Isabelle Bruno du
#CERAPS @univ_lille @CNRS_HdF @ScPoLille
@OpenEvidence @UOCNews
Statistiques et modèles mathématiques : doit-on

Embed

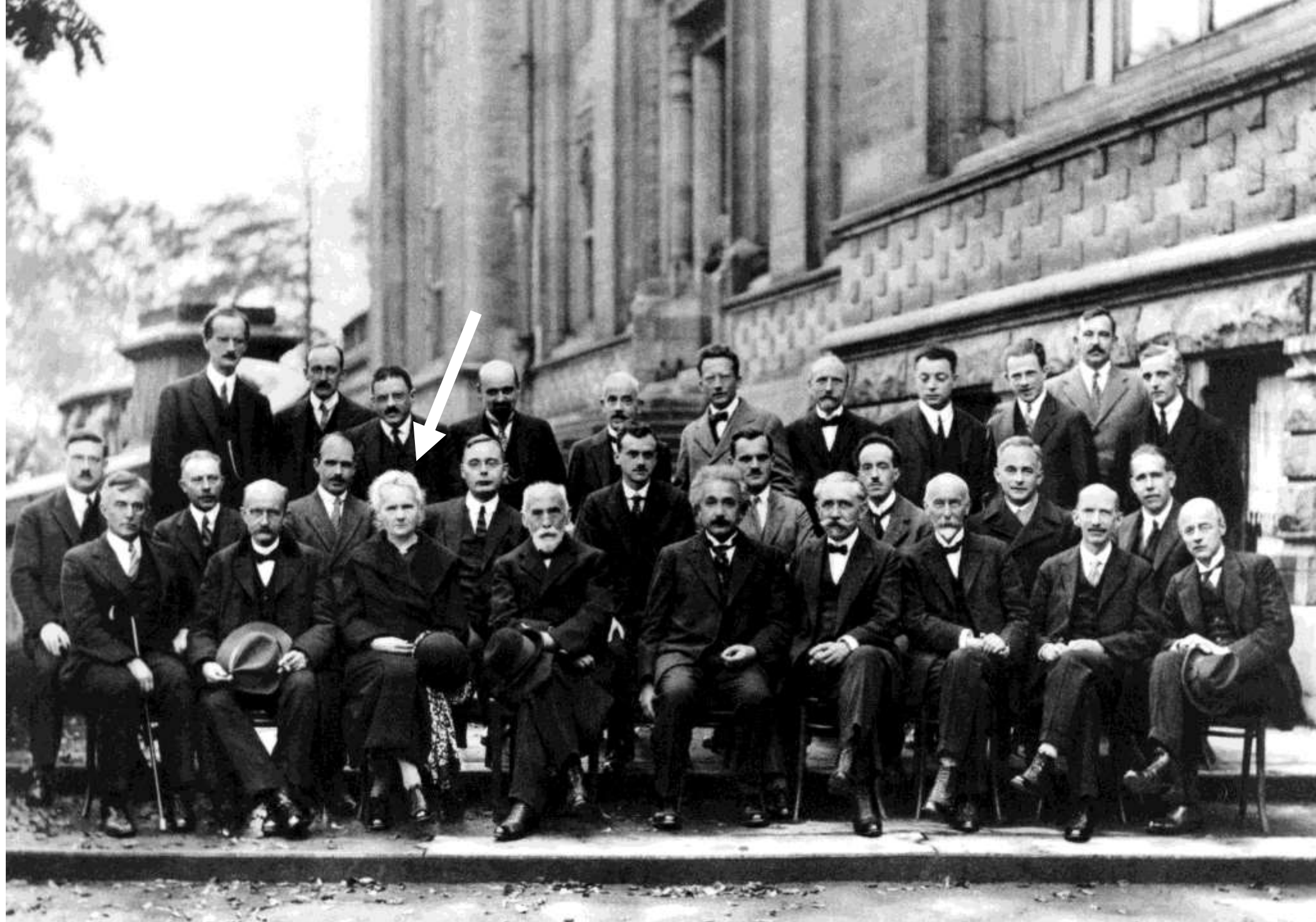
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So many men,
so few women

1911



1927



Lise Meitner

The first person to understand
nuclear fission;

She did not win the Nobel
prize 1944 for chemistry
which went to her colleague
Otto Hahn



Lise Meitner
1878– 1968

Rosalind Elsie Franklin

Her X-ray images led to the discovery of the DNA double helix structure;

Nobel in Medicine 1962 to J. Watson, F. Crick and M. Wilkins;

She should have ideally been awarded a Nobel Prize in Chemistry (according to J. Watson)



Rosalind Elsie
Franklin
1920–1958

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CLIMATE HOME NEWS

Eunice Foot
(1819–1888)

Meet the woman who first identified the greenhouse effect

Published on 02/09/2016, 5:58pm

Eunice Foote demonstrated the heat-trapping properties of carbon dioxide at a scientific conference in 1856, newly digitised records show

By **Megan Darby**

Irish physicist John Tyndall is commonly credited with discovering the greenhouse effect, which underpins the science of climate change.

ART. XXXI.—*Circumstances affecting the Heat of the*
by EUNICE FOOTE.

(Read before the American Association, August 23d, 1860.)

My investigations have had for their object to determine the effect of different circumstances that affect the thermal action of light that proceed from the sun.

Several results have been obtained.

First. The action increases with the density of the medium, and is diminished as it becomes more rarified.

The experiments were made with an air-pump and two cylindrical receivers of the same size, about four inches in diameter and thirty in length. In each were placed two thermometers, and the air was exhausted from one and condensed in the other. After both had acquired the same temperature they were placed in the sun, side by side, and while the action of the sun's rays rose to 110° in the condensed tube, it attained only 85° in the other. I had no means at hand of measuring the density of the air, or its condensation or rarefaction.

The observations taken once in two or three minutes are as follows:

Exhausted Tube		Condensed Tube	
In shade.	In sun.	In shade.	In sun.
73	80	75	80
76	82	78	82
80	82	80	82
83	85	82	85
84	85	85	85

This circumstance must affect the power of the sun's rays in different places, and contribute to produce their feeble action on the summits of lofty mountains.

Secondly. The action of the sun's rays was found to be more powerful in moist than in dry air.

In one of the receivers the air was saturated with water vapor, in the other it was dried by the use of chlorid of calcium.

Both were placed in the sun as before and the results were as follows:

Dry Air.		Damp Air.	
In shade.	In sun.	In shade.	In sun.
75	75	75	75
78	88	78	88
82	102	82	102
82	104	82	104
82	105	82	105
85	105	92	105

CIRCUMSTANCES

Affecting the Heat of the Sun's Rays.

BY MRS. EUNICE FOOTE.



Tweet



Isabel Hilton

@isabelhilton



Who could have imagined that the scientist who first demonstrate the potential for global warming with an elegant experiment could be so completely forgotten. Oh, it was a woman? Now I get it.



Overlooked No More: Eunice Foote, Climate Scientist Lost to History

Foote's ingenious experiment more than 150 years ago yielded a remarkable discovery that could have helped shape modern climate science had she not bee...

[nytimes.com](https://www.nytimes.com)



<https://www.nytimes.com/2020/04/21/obituaries/eunice-foote-overlooked.html>

Quantifications and the roots of the Cartesian dream

Separate but related stories

Cartesian dream:
possess and domination
of nature



The 'procedural utopia':
grounding social harmony and
progress in calculations





Francis Bacon
(1561–1626)

Magnalia Naturae, in
the New Atlantis
(1627), '*Wonders of
nature, in particular
with respect to human
use*'

We call Cartesian dream the idea
of man as master and possessor
of nature, of prediction and
control, of Bacon's wonders of
science and of Condorcet's
mathematique sociale...



René Descartes
(1596–1650)
Discourse on Method
(1637)



Nicolas de Caritat, marquis de
Condorcet
(1743– 1794)
'Sketch for a Historical Picture of
the Progress of the Human Spirit'



Francis Bacon
(1561–1626)

Magnalia Naturae, in the New Atlantis (1627),
‘Wonders of nature, in particular with respect to human use’

The prolongation of life; The restitution of youth in some degree; The retardation of age; The curing of diseases counted incurable; The mitigation of pain; More easy and less loathsome purgings; The increasing of strength and activity; The increasing of ability to suffer torture or pain; The altering of complexions, and fatness and leanness; The altering of statures; The altering of features; The increasing and exalting of the intellectual parts; Versions of bodies into other bodies; Making of new species; Transplanting of one species into another; Instruments of destruction, as of war and poison; Exhilaration of the spirits, and putting them in good disposition; Force of the imagination, either upon another body, or upon the body itself; Acceleration of time in maturations; Acceleration of time in clarifications; Acceleration of putrefaction; Acceleration of decoction; Acceleration of germination; Making rich composts for the earth; Impressions of the air, and raising of tempests; Great alteration; as in induration, emollition, &c; Turning crude and watery substances into oily and unctuous substances; Drawing of new foods out of substances not now in use; Making new threads for apparel ; and new stuffs, such as paper, glass, &c; Natural divinations; Deceptions of the senses; Greater pleasures of the senses; Artificial minerals and cements.



Magnalia Naturae, in the New Atlantis (1627),
‘Wonders of nature, in particular with respect to human use’

Francis Bacon (1561–1626)

The prolongation of life; The restitution of youth in some degree; The retardation of age; The curing of diseases counted incurable; The mitigation of pain;

[...]

Drawing of new foods out of substances not now in use; Making new threads for apparel; and new stuffs, such as paper, glass, etc.; Natural divinations; Deceptions of the senses; Greater pleasures of the senses; Artificial minerals and cements.

The study of letters leading to “doubts and errors”;

Comparing “disquisitions of the ancient moralists to very towering and magnificent palaces with no better foundation than sand and mud”;

Condemnation of humanities and exaltation of mathematics.



René
Descartes
(1596–1650)

Discourse on
Method (1637)

“I perceived it to be possible to arrive
at knowledge highly useful in life; and
in room of the Speculative Philosophy
[...]



René
Descartes
(1596–1650)

Discourse on
Method (1637)

“to discover a Practical, by means of which, knowing the force and action of fire, water, air, the stars, the heavens, and all the other bodies that surround us, [...]we might also apply them [...]

and thus render ourselves the lords and possessors of nature.”



René
Descartes
(1596–1650)

Discourse on
Method (1637)

In the formulation of Condorcet: “All the errors in politics and in morals are founded upon philosophical mistakes, which, themselves, are connected with physical errors” (Ninth Epoch)



Nicolas de Caritat, marquis de
Condorcet
(1743– 1794)

‘Sketch for a Historical Picture of
the Progress of the Human Spirit’

Overpopulation? War due to scarcity of resources?
Will not happen because technical progress and
ethical progress will go hand in hand. Man will
understand that his duty “will consist not in the
question of giving existence to a greater number of
beings, but happiness.” (Tenth Epoch)



Nicolas de Caritat, marquis de Condorcet
(1743– 1794)

‘Sketch for a Historical Picture of the
Progress of the Human Spirit’

‘Mathématique sociale’: We still use today terms such as ‘Condorcet method’, ‘Condorcet winner’, ‘Condorcet–ranking procedure’



Nicolas de Caritat,
marquis de Condorcet
(1743– 1794)
,

Feldman, J., 2005, Condorcet et la mathématique sociale: enthousiasmes et bemols, Mathematics and Social Sciences, 172(4), 7–41, <http://www.ehess.fr/revue-msh/pdf/N172R955.pdf>

Munda G. (2007) – Social multi-criteria evaluation, Springer–Verlag, Heidelberg, New York, Economics Series



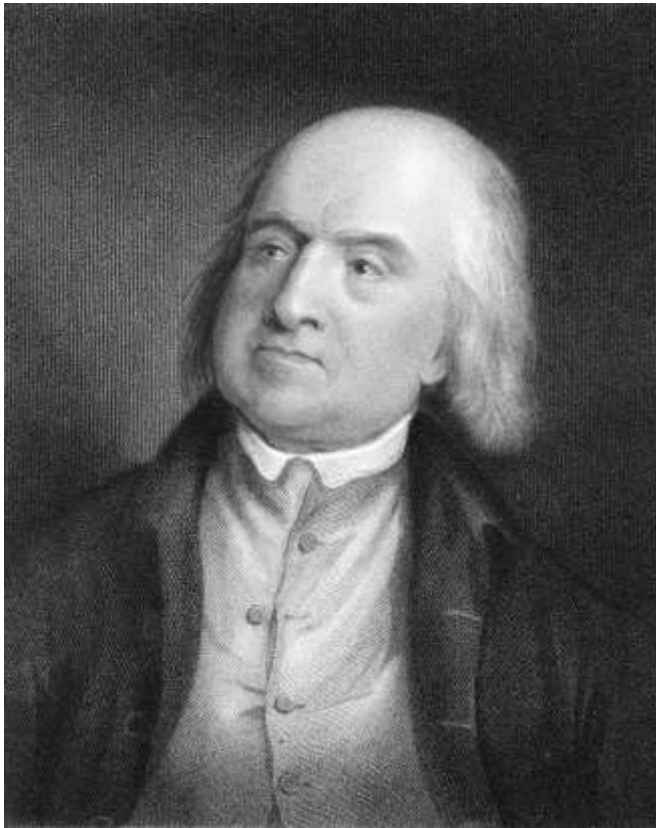
Condorcet's
algorithms and
Descartes'
Geometry: the
dream always had a
quantification
agenda



Condorcet's *Mathématique sociale* had its continuation in Bentham's utilitarianism

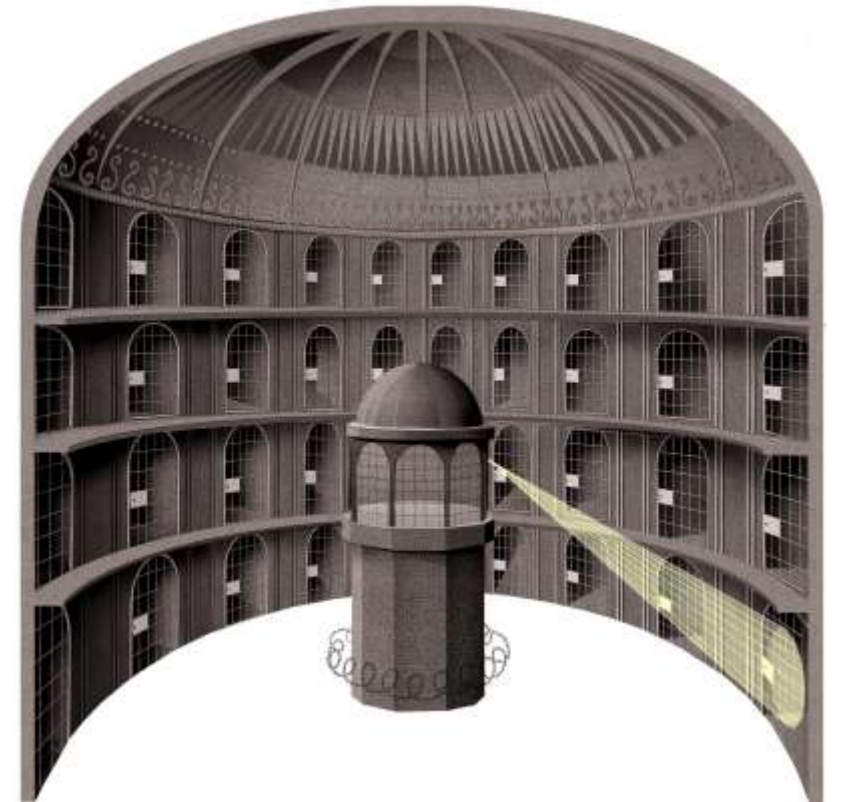


Marquis de
Condorcet
(1743– 1794)



Jeremy Bentham
(1748–1832)

Panopticon



Artwork: Adam Simpson, New York Times

Closer to our times the Cartesian dream was couched in the ‘Endless Frontier’ metaphor by Vannevar Bush, 1945:

Vannevar Bush
(1890–1974)



“One of our hopes is that after the war there will be full employment [...] more jobs [...] founded on [...] basic scientific research. [...]the] Government [...] opened the seas to clipper ships and furnished land for pioneers. Although these frontiers have more or less disappeared, the frontier of science remains.”

Bush, V. (1945) Science: the endless frontier, United States Office of Scientific Research and Development, U.S. Govt. print office.

The ethos of open
science, the
republic of
science, CUDOS



Robert K. Merton

M. Polanyi, J. Ziman, and S. Fuller, "The republic of science: its political and economic theory," *Minerva*, vol. 38, pp. 1–32.



Michal Polanyi

Communalism – the common ownership of scientific discoveries, according to which scientists give up intellectual property rights in exchange for recognition and esteem (Merton actually used the term Communism, but had this notion of communalism in mind, not Marxism);

Universalism – according to which claims to truth are evaluated in terms of universal or impersonal criteria, and not on the basis of race, class, gender, religion, or nationality;

Disinterestedness – according to which scientists are rewarded for acting in ways that outwardly appear to be selfless;

Organized Skepticism – all ideas must be tested and are subject to rigorous, structured community scrutiny.

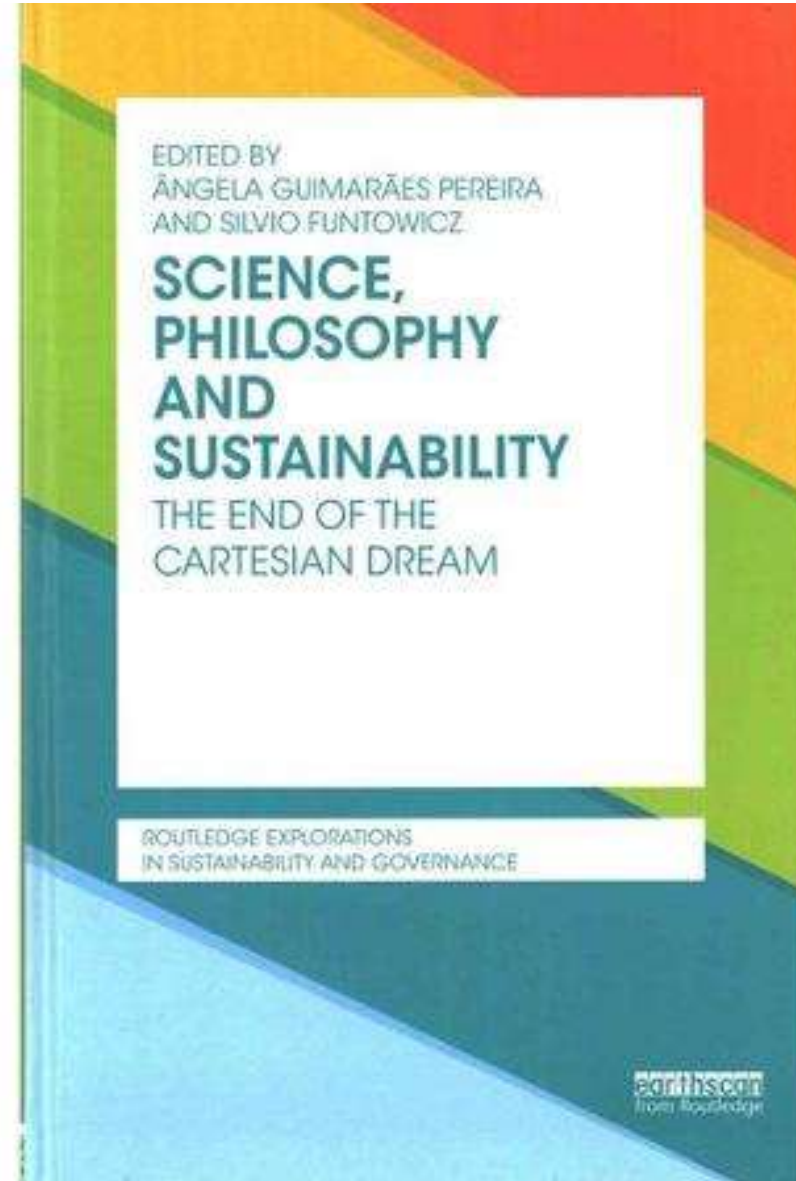
Minority views



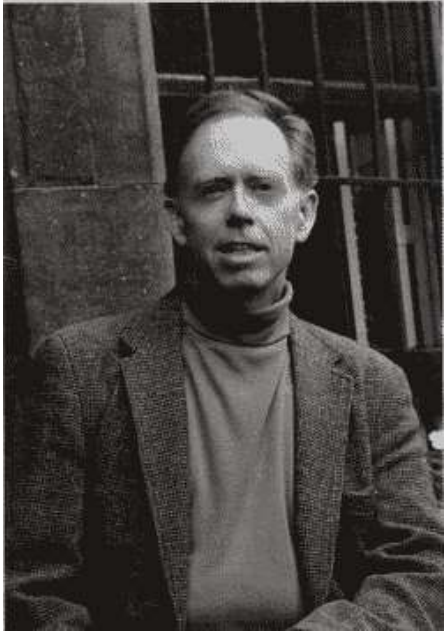
Ravetz, J, R, 2015,
Descartes and the
rediscovery of ignorance,

in

Guimarães Pereira, Â, and
Funtowicz, S, Eds, 2015,
The end of the Cartesian
dream, Routledge.



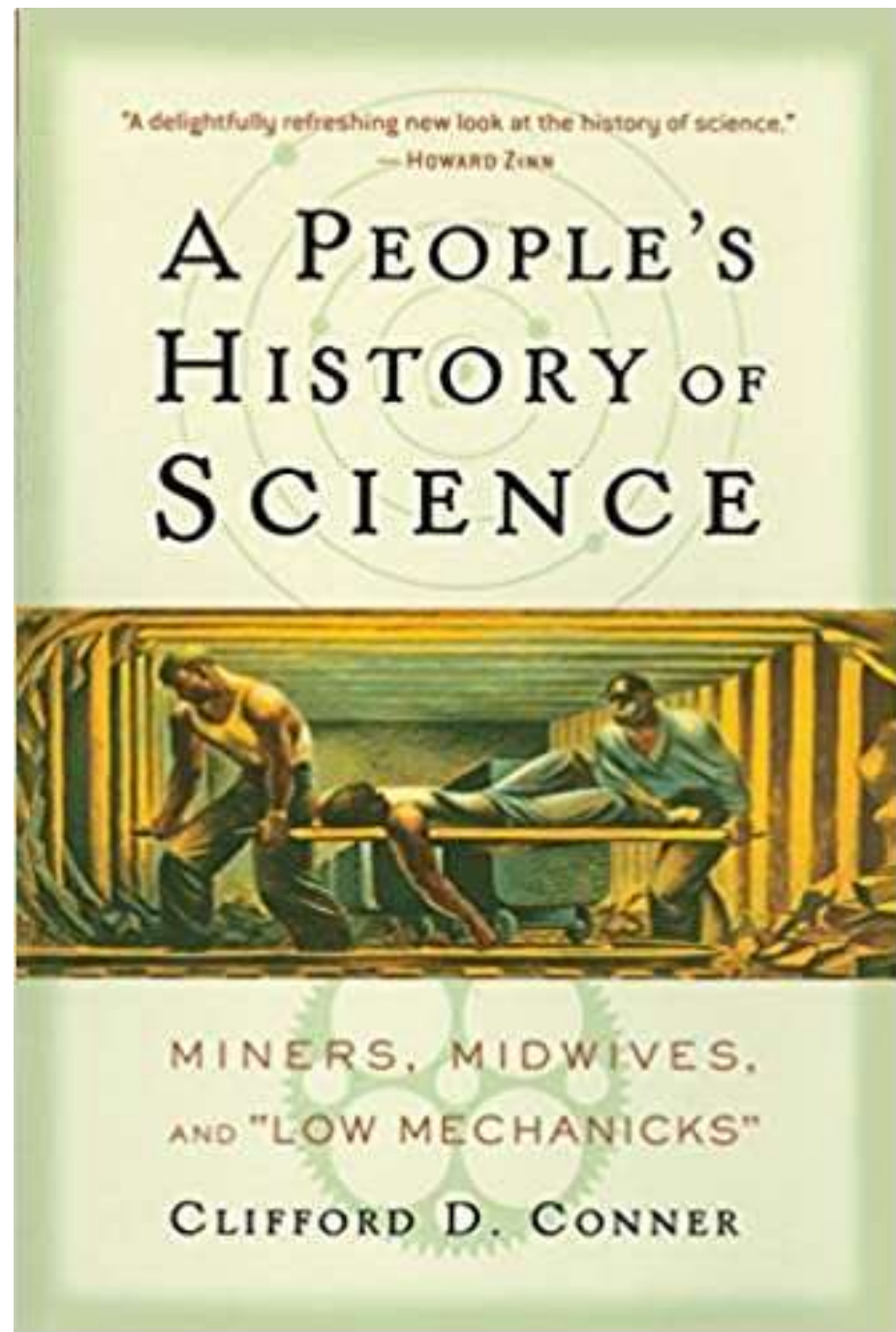
A different vision

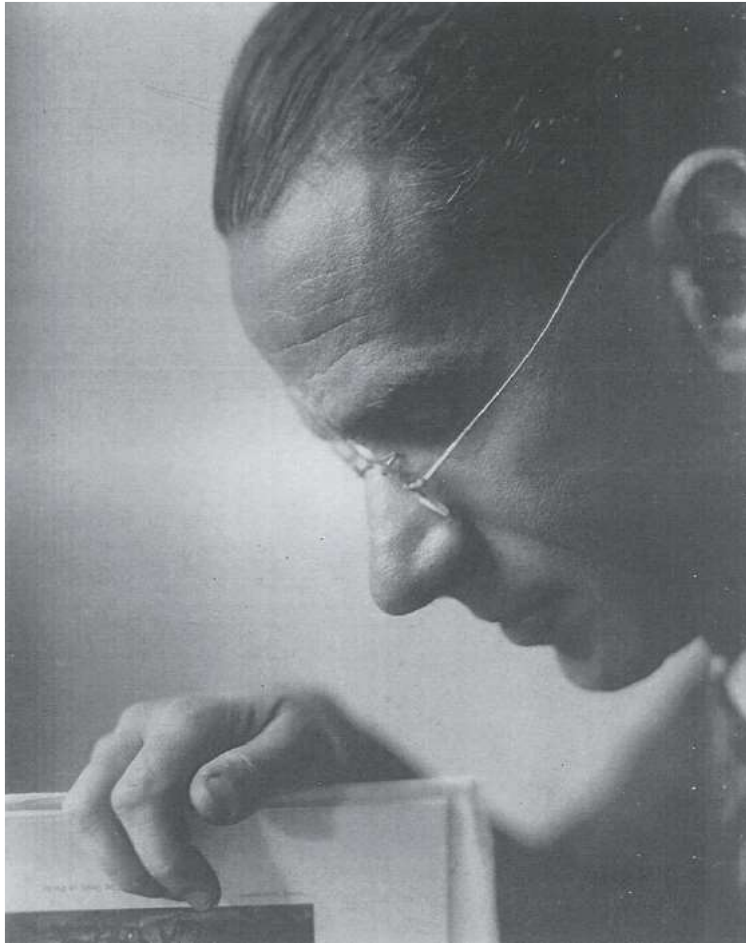


Clifford D. Conner

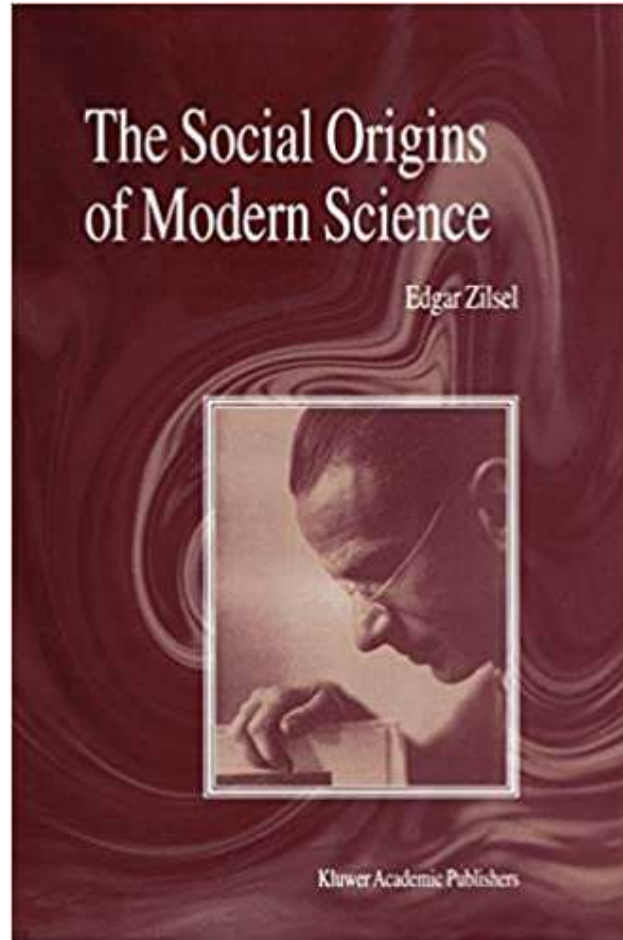
An antidote to a vision of the history of science as the fruit of the intuition of great (mostly) men

‘Knowing what’ was often only possible after
‘knowing how’ had been discovered





Edgar Zilsel
(1891–1944)



The Zilsel thesis:

- Superior artisans,
- Secular humanists,
- University scholars

“Science originates in urban cultures, money economy, market economy...”

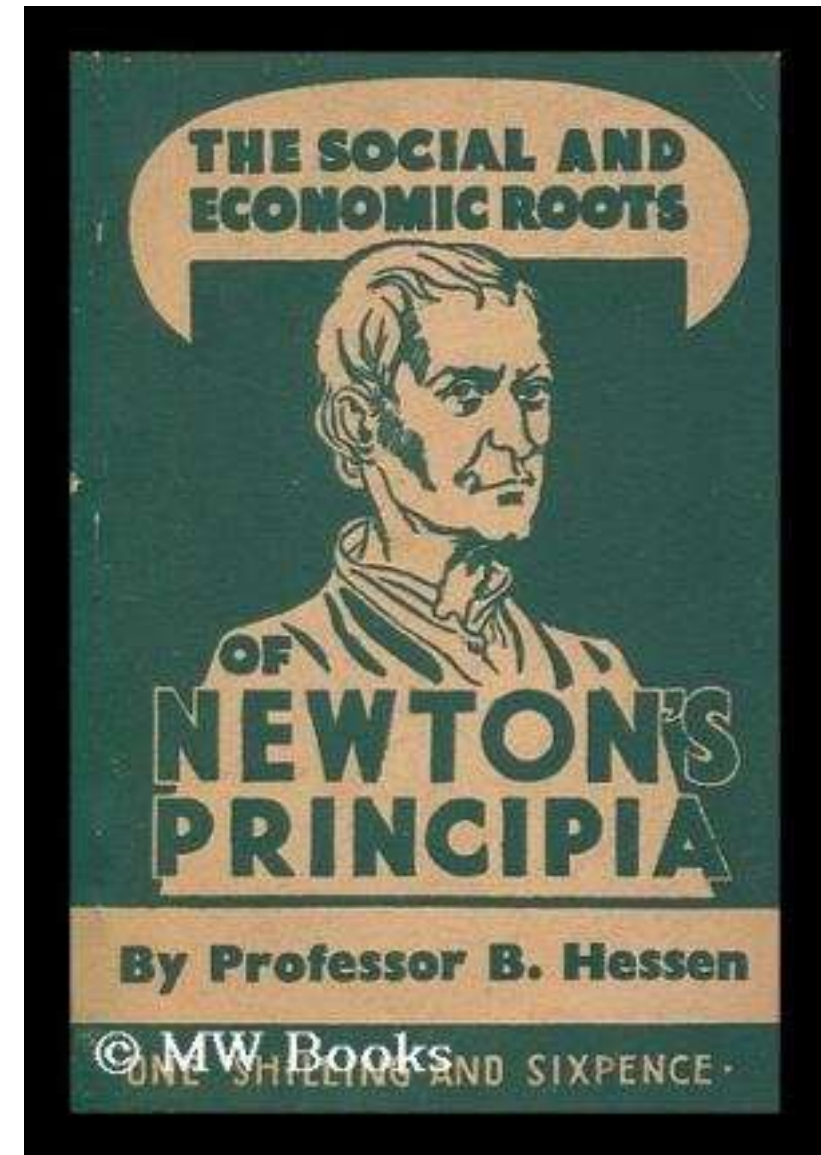


Boris Hessen
(1893–1936)

The Hessen thesis:

Science originates from the
commercial and capitalistic
needs of the XVII century
nascent bourgeoisie ...

... not just from the work of
'great minds'



Kuhn said that the “educational initiation that prepares and licenses the student for professional practice... is both rigorous and rigid”

and “It is a narrow and rigid education [in physics/science], probably more so than any other except perhaps in orthodox theology”



Thomas Kuhn, *The structure of scientific revolution*, 192, Chapters I and XIII

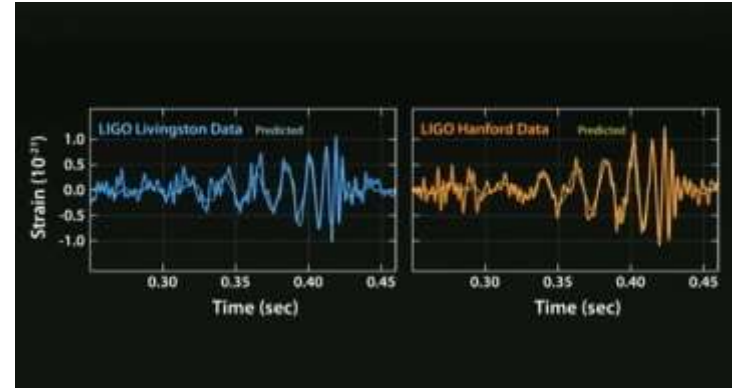
and “the member of a mature scientific community is, like the typical character of Orwell’s 1984, the victim of a history rewritten by the powers that be.”



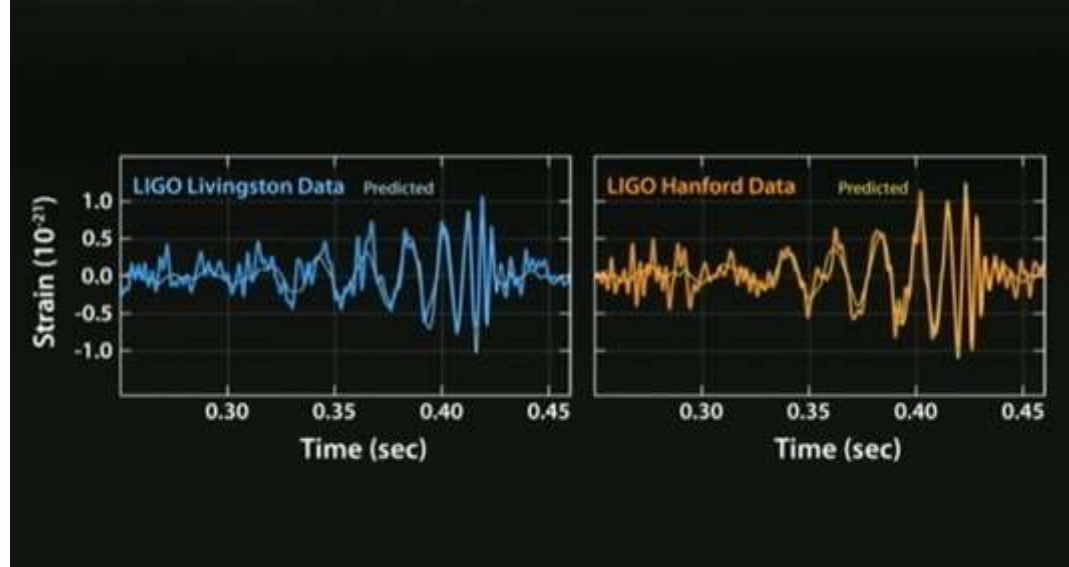
Thomas Kuhn, The structure of scientific revolution, 192, Chapter XIII

The success of
the Cartesian
dream

The keeping of the promise: Gravitational waves, from J. Weber's cylinder to LIGO

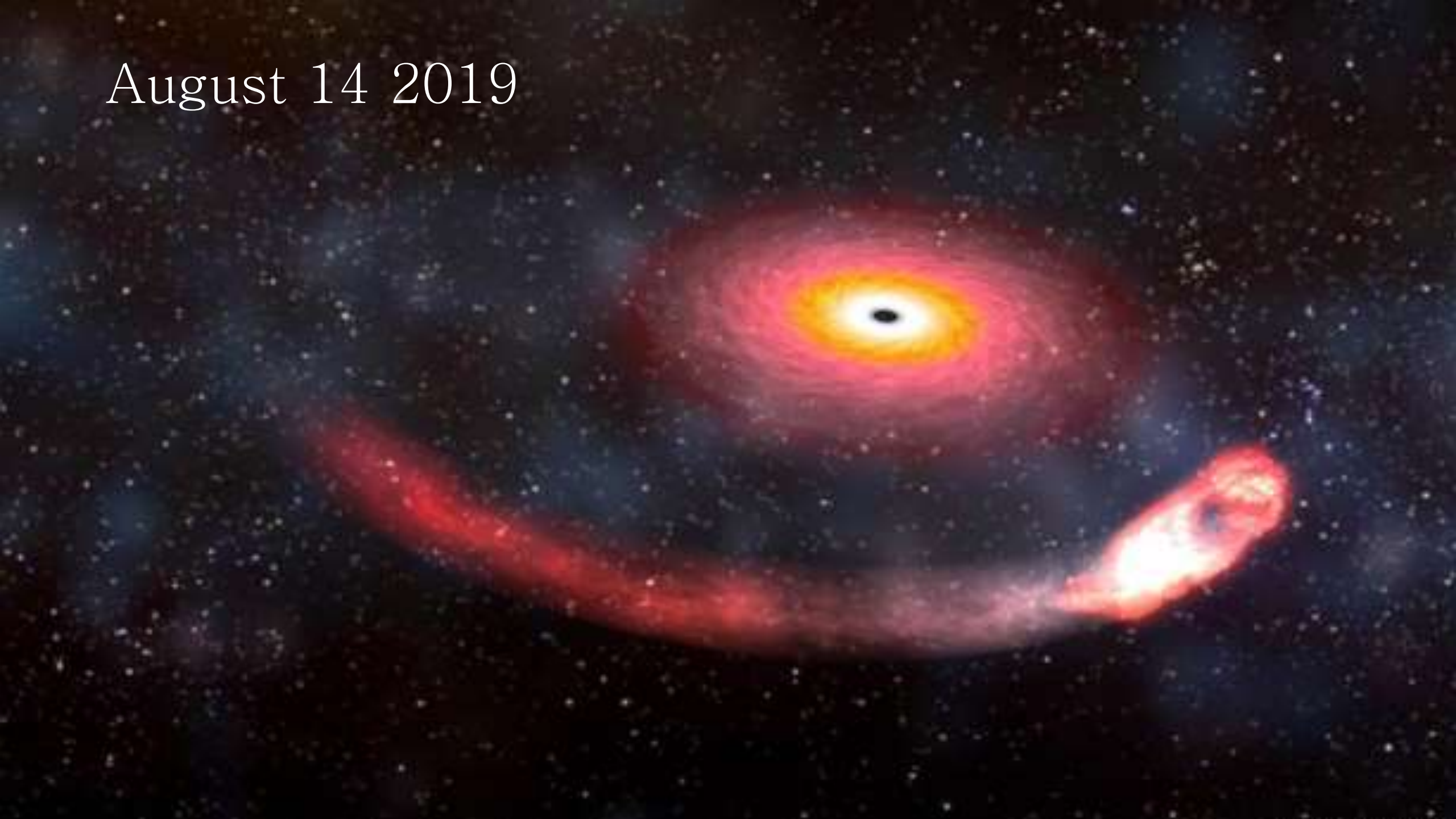


A Madman Dreams of Tuning Machines: The Story of Joseph Weber, the Tragic Hero of Science Who Followed Einstein's Vision and Pioneered the Sound of Space-Time, By Maria Popova, <https://www.brainpickings.org/2016/04/25/black-hole-blues-janna-levin-joseph-weber/>



<https://www.brainpickings.org/2016/04/25/black-hole-blues-janna-levin-joseph-weber/>

August 14 2019



‘It’s mindboggling!’: astronomers detect most powerful black-hole collision yet

Gravitational-wave detections suggest merging black holes fell into ‘forbidden’ range of masses.

Davide Castelvecchi

“the two objects were estimated to weigh around 85 and 66 solar masses”

“In their latest discovery, the LIGO and Virgo detectors sensed only the last four ripples produced by the spiralling black holes, with a frequency that rose from 30 to 80 Hertz within one-tenth of a second”



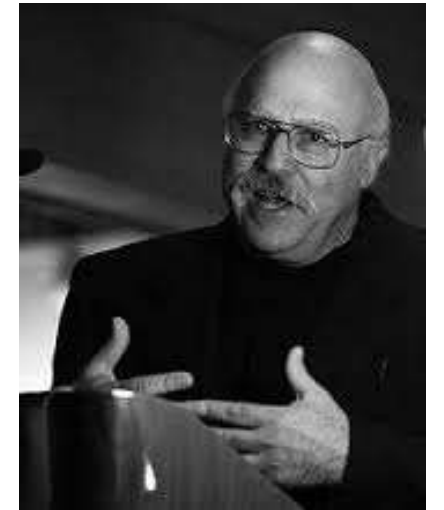
February 18, 2021, Landing of Perseverance on Mars

If you are a natural scientists you were nourished and trained in the Cartesian dream, (S. Toulmin: ‘The hidden agenda of modernity’)



Stephen Toulmin

The dream was spectacularly successful, in all fields of endeavor, leading to what Steven Shapin calls ‘invisible science’



Steven Shapin

Steven Shapin, 2016, Invisible Science, The Hedgehog Review: Vol. 18 No. 3 (Fall 2016).

Many voices of
alarm as to misuse
of quantification

Numbers, visible and invisible...

Blurring lines:

“what qualities are specific to rankings, or indicators, or models, or algorithms?”

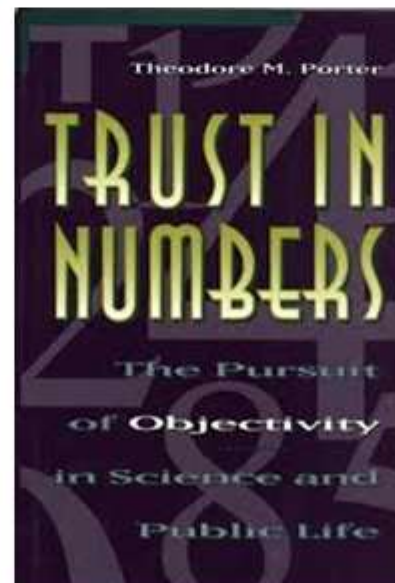
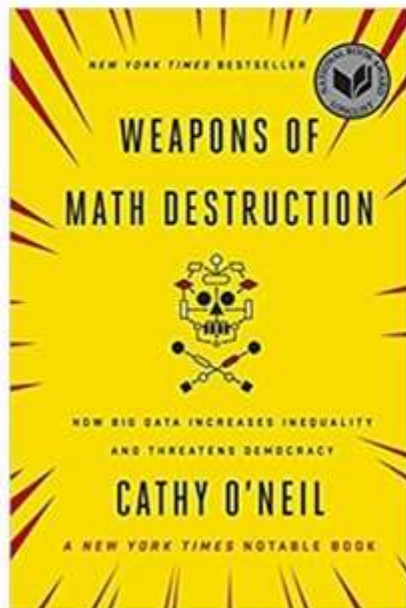
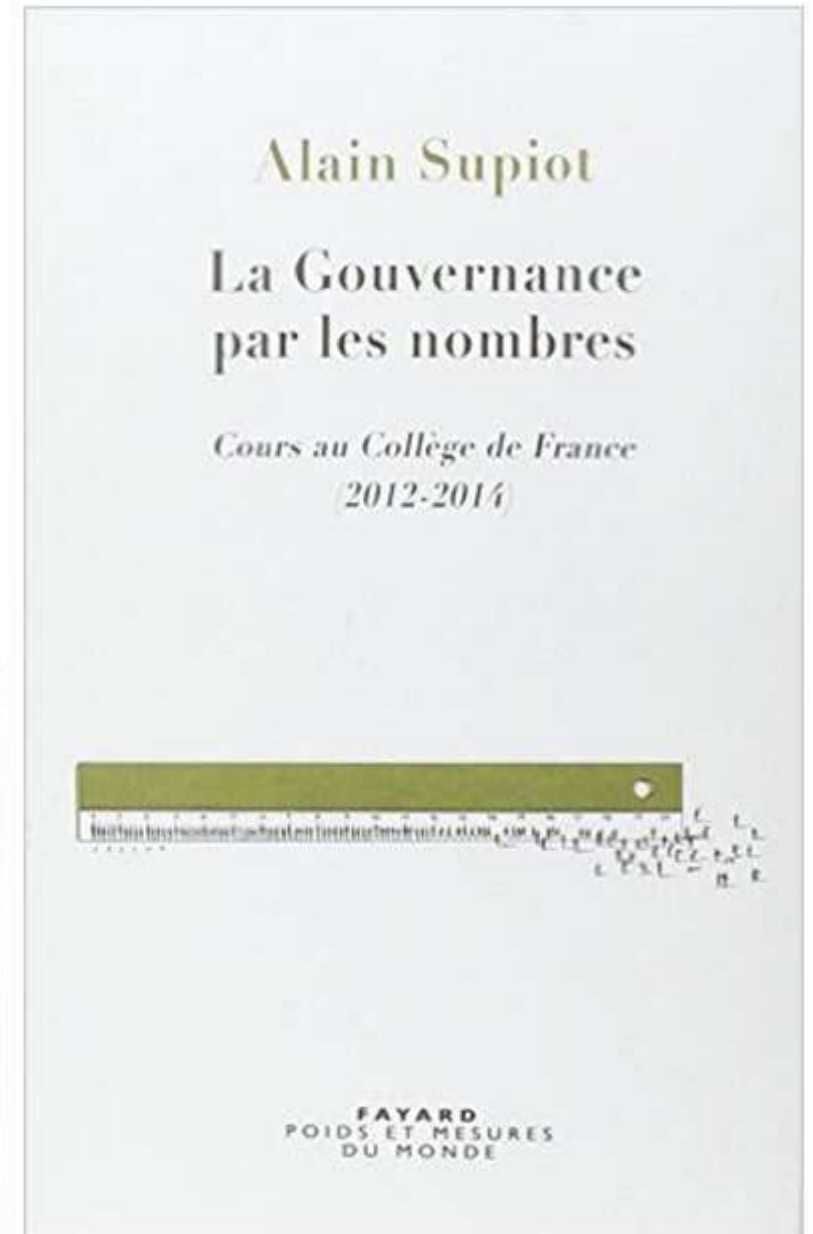
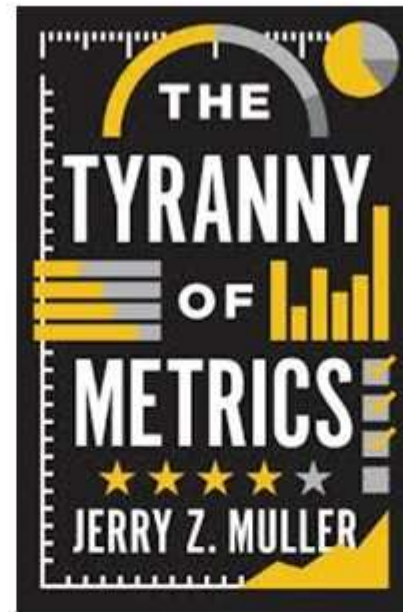
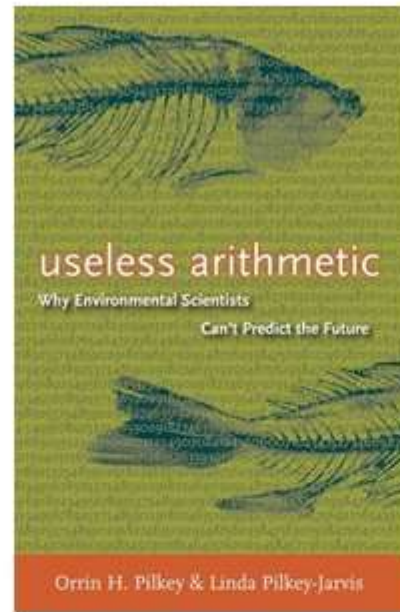
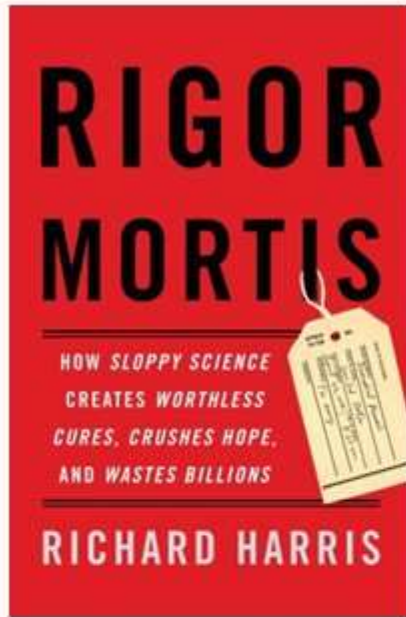


Elizabeth
Popp Berman

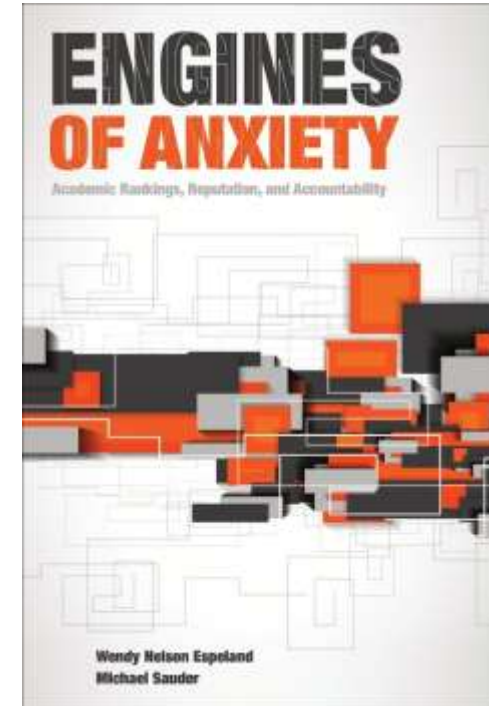
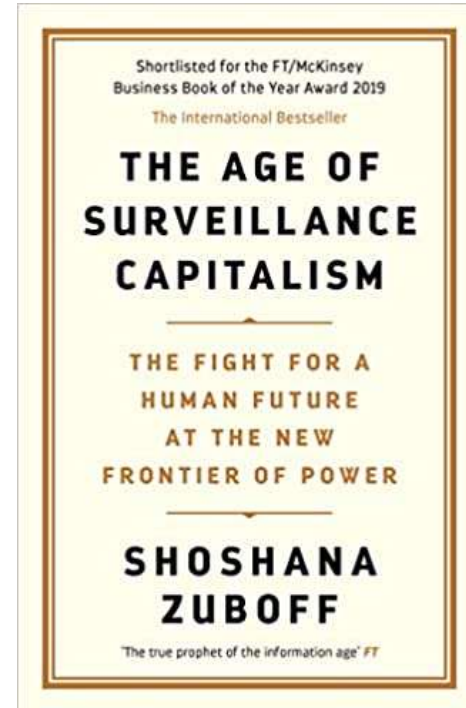
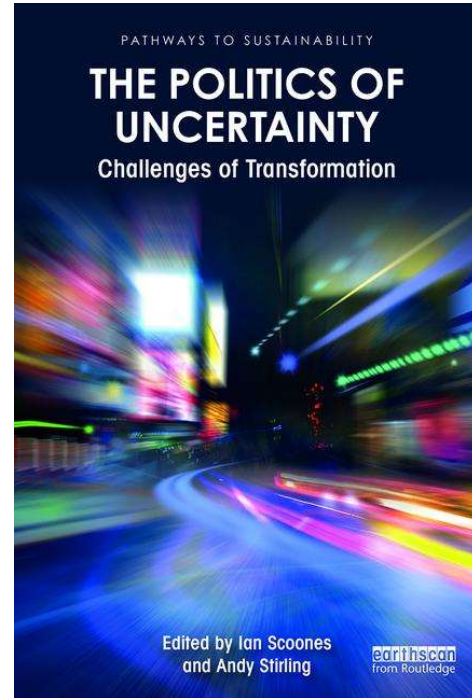
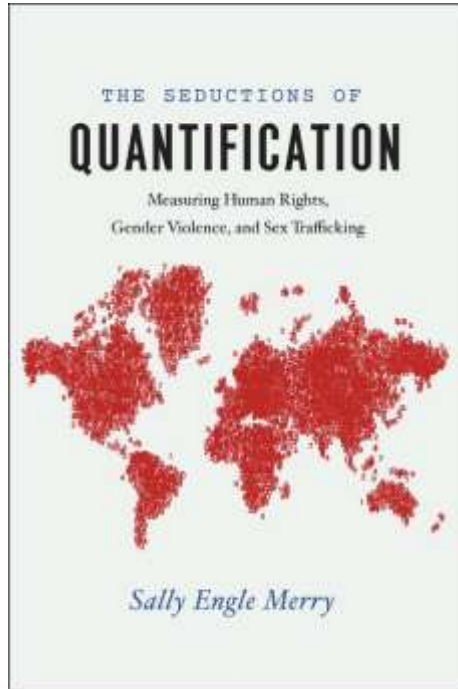
E. Popp Berman and D. Hirschman, **The Sociology of Quantification**: Where Are We Now?, *Contemp. Sociol.*, vol. in press, 2017.

And an explosion of works, from
within and without, from many
disciplines

Algorithms, models, metrics, statistics...



Algorithms, models, metrics, statistics...



Numbers and their ‘reactivity’
(Espeland and Sauder, 2016)

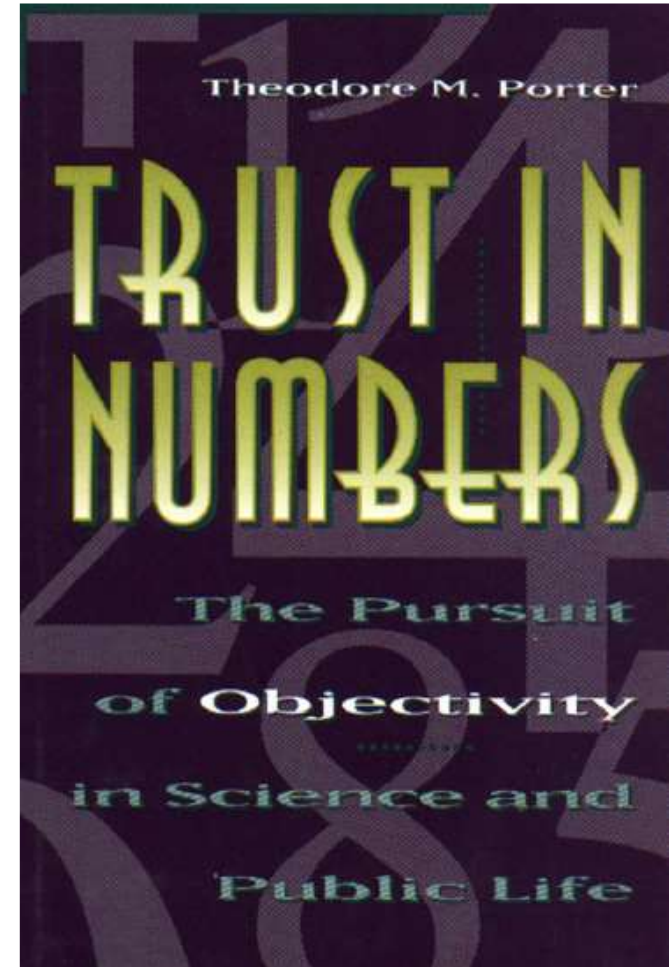
Incumbent numbers affect what society will
measure in the future (Merry 2016)

Numbers “create the environment that
justifies their assumptions”
(O’Neil, 2016)

Numbers and trust

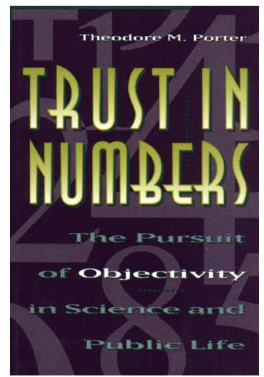


Theodor
M. Porter



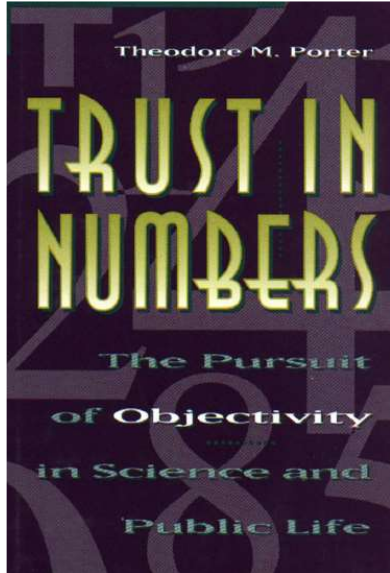
Theodore M. Porter, *Trust in Numbers,
The Pursuit of Objectivity in Science and Public Life*, Princeton 1995

p. 8: “The appeal of numbers is especially compelling to bureaucratic officials who lack the mandate of a popular election, or divine right.



Arbitrariness and bias are the most usual grounds upon which such officials are criticized.

A decision made by the numbers (or by explicit rules of some other sort) has at least the appearance of being fair and impersonal.”

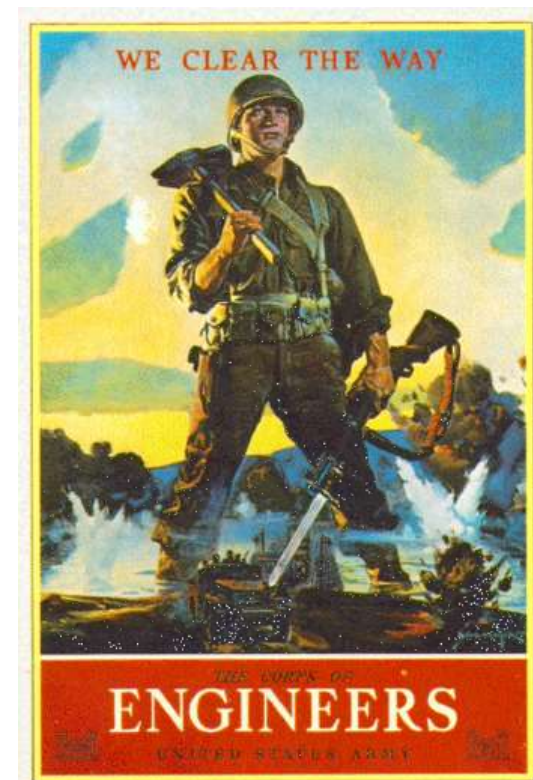
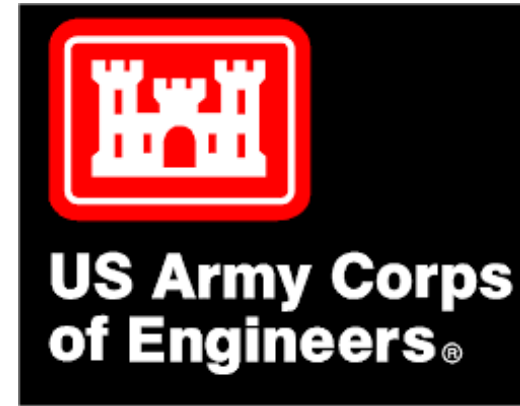


p. 8: “Scientific objectivity thus provides an answer to a moral demand for impartiality and fairness.

Quantification is a way of making decisions without seeming to decide.

Objectivity lends authority to officials who have very little of their own.”

Trust, authority and styles of quantification: two different stories



Porter's story: Quantification needs judgment which in turn needs trust ...without trust quantification becomes mechanical, a system, and 'systems can be played'.



PATHWAYS TO SUSTAINABILITY

THE POLITICS OF UNCERTAINTY

Challenges of Transformation

Edited by Ian Scoones
and Andy Stirling

earthscan
from Routledge

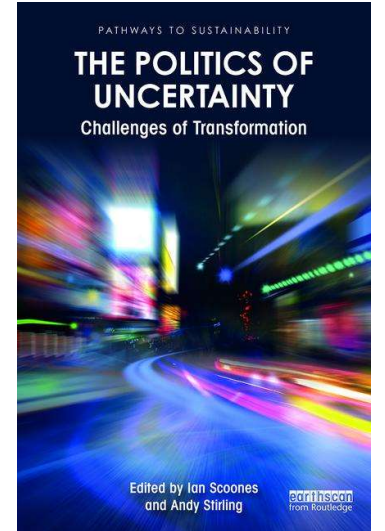
Open access version here:

[https://www.taylorfrancis.com/
books/e/9781003023845](https://www.taylorfrancis.com/books/e/9781003023845)

2

THE ASSAULT OF FINANCIAL FUTURES ON THE REST OF TIME

Timo Walter and Leon Wansleben

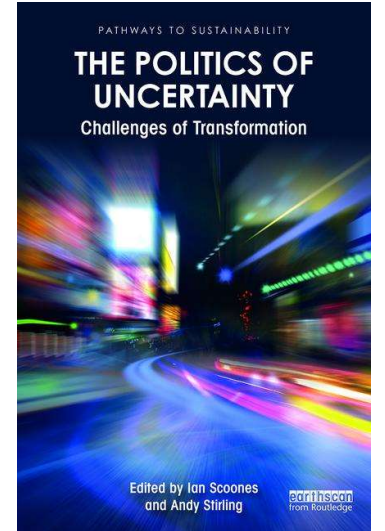


3

SHARING RISKS OR PROLIFERATING UNCERTAINTIES?

Insurance, disaster and development

Leigh Johnson

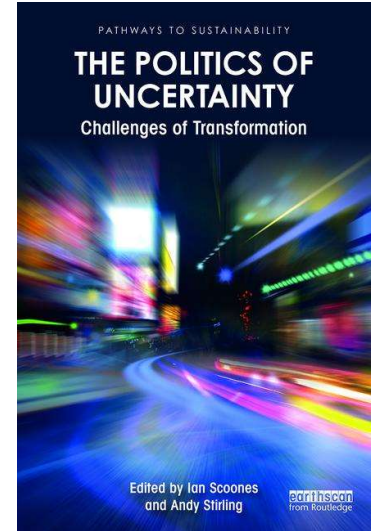


4

THE UNRAVELLING OF TECHNOCRATIC ORTHODOXY?

Contemporary knowledge politics
in technology regulation

Patrick van Zwanenberg



The critique of Andrew Stirling:

“[...] rhetoric clamour [surrounds] ‘expected utility’, ‘decision theory’, ‘life cycle assessment’, ‘ecosystem services’ ‘sound scientific decisions’ and ‘evidence-based policy’

[...] Each technique routinely delivers its answers with formidable levels of precision. Yet the resulting impression of accuracy is deeply misplaced”

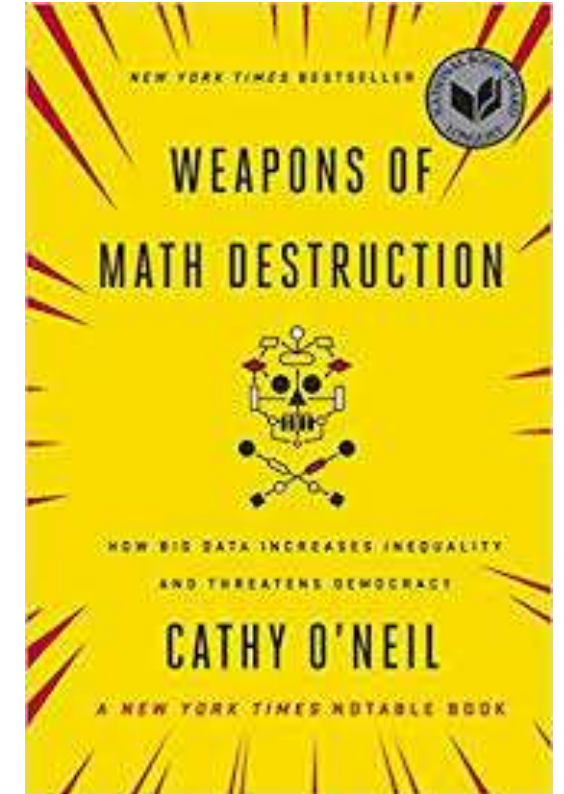


Andrew Stirling

Alarm for Weapons of Math Destruction



Cathy O'Neil

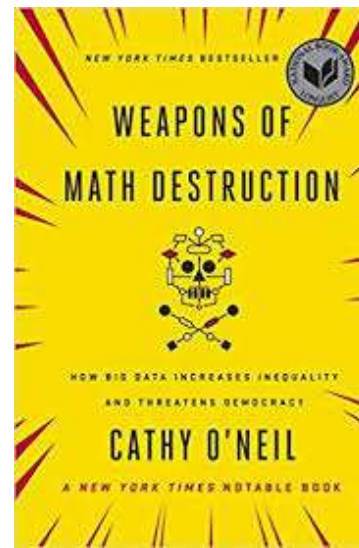


O'Neil, C. (2016). Weapons of math destruction : how big data increases inequality and threatens democracy. Random House Publishing Group.

Opacity (also because of trade secrecy) of algorithms used to decide on recruiting, carriers (including of researchers), prison sentencing, paroling, custody of minors, political campaigns...

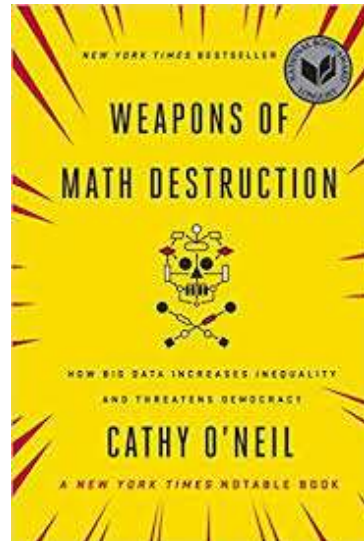
O'Neil, C. (2016). Weapons of math destruction : how big data increases inequality and threatens democracy. Random House Publishing Group.

Brauneis, R., & Goodman, E. P. (2018). Algorithmic Transparency for the Smart City. Yale Journal of Law & Technology, 20, 103–176. Retrieved from https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3012499



Opacity coupled with opportunity for scale and damage and with non-appealability make them an instrument of oppression & inequality

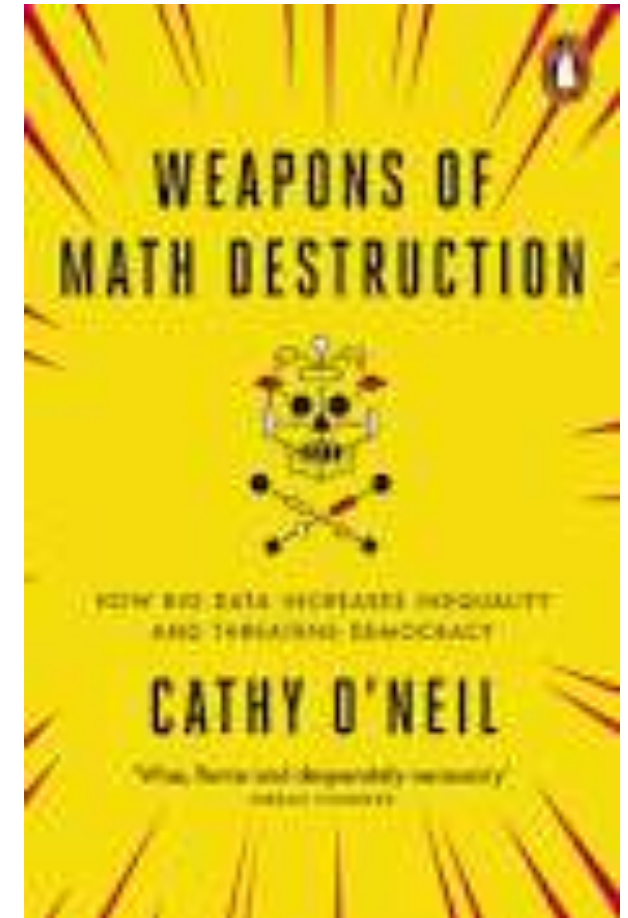
Cathy O'Neil Google talk <https://www.youtube.com/watch?v=TQHs8SA1qpk>



Weapons of math destruction: opaque, harm, scale



Cathy O'Neil





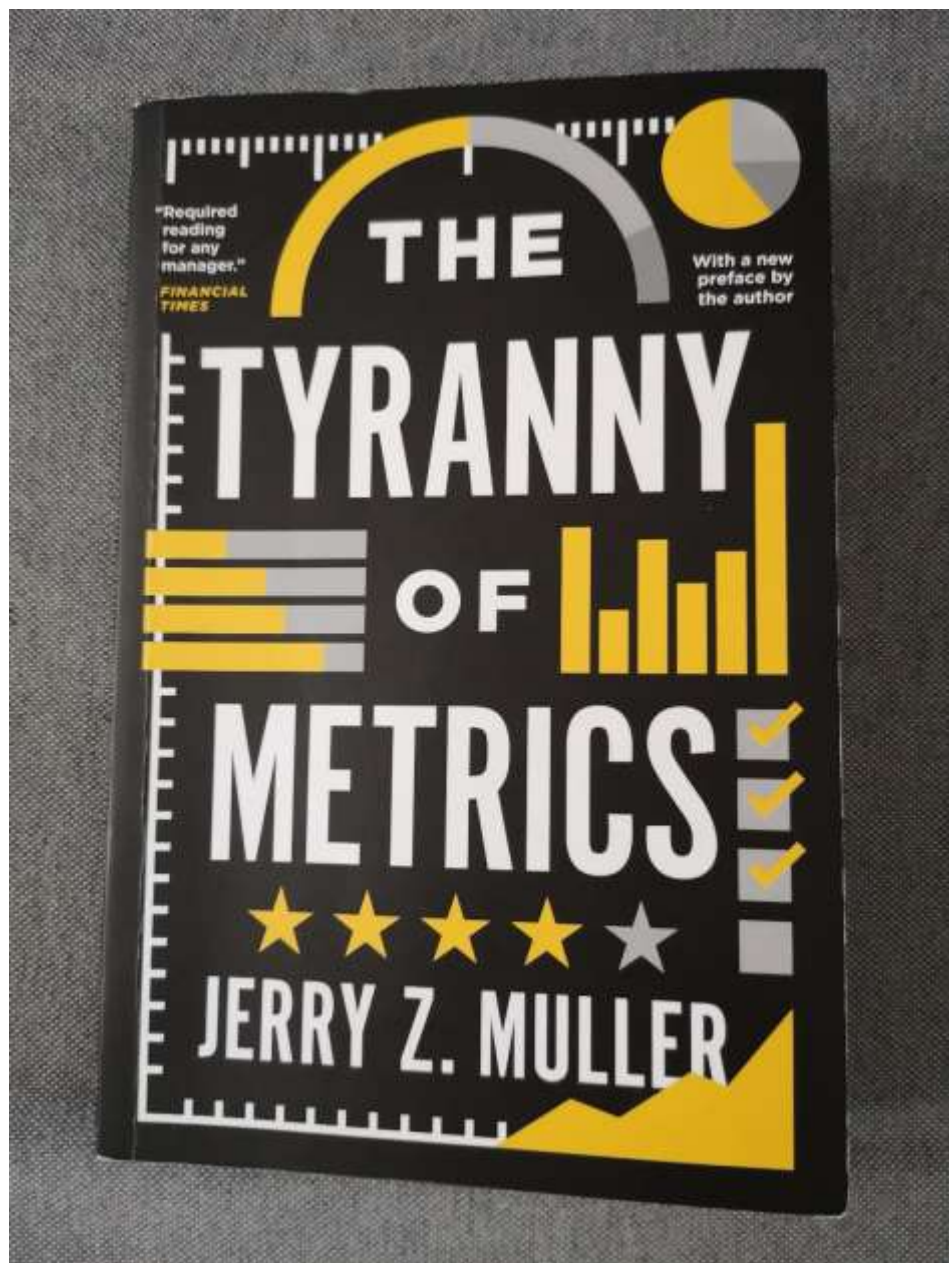
Charles Goodhart

p. 44 “Any ... measures necessarily involve a loss of information ... [and distorts behavior]” (Porter, 1995)

This is what we normally call Goodhart's law, from Charles Goodhart. "When a measure becomes a target, it ceases to be a good measure."

Also known as Campbell's law (1976);

https://en.wikipedia.org/wiki/Goodhart%27s_law



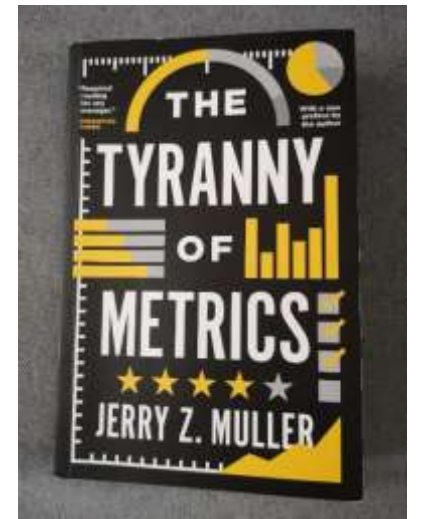
J. Z. Muller, The tyranny
of metrics. Princeton
University Press , 2018.

Metric fixation, or the irresistible pressure to measure performance

Gaming of metrics (recall Goodhart law)

“The calculative is the enemy of the imaginative”

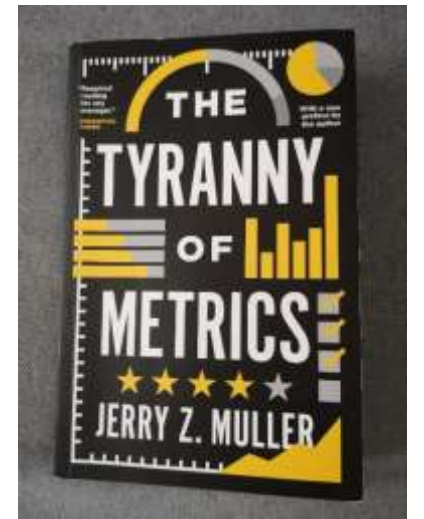
A wealth of case studies from education to war to medicine to foreign aid..



Critiques of metrics

From the left: metric fixation promotes deskilling

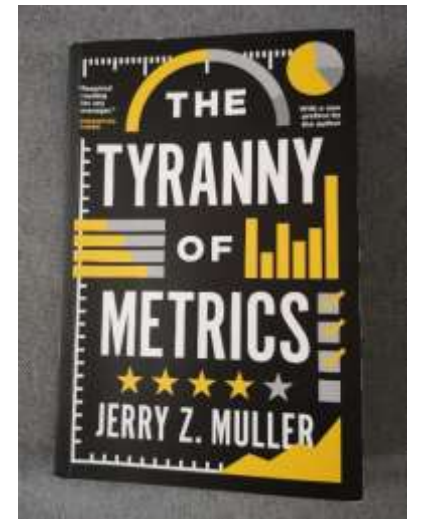
From the right (Friedrich Hayek):
metric fixation reproduces features of
the soviet system



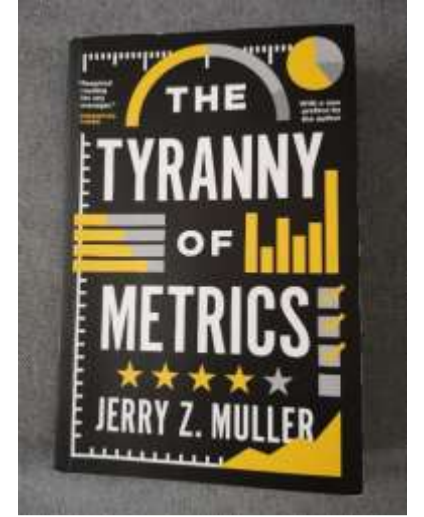
Critiques of metrics

An epistemological critique: metrics privilege abstract and formulaic knowledge against practical and tacit knowledge

(Greek concept of metis)



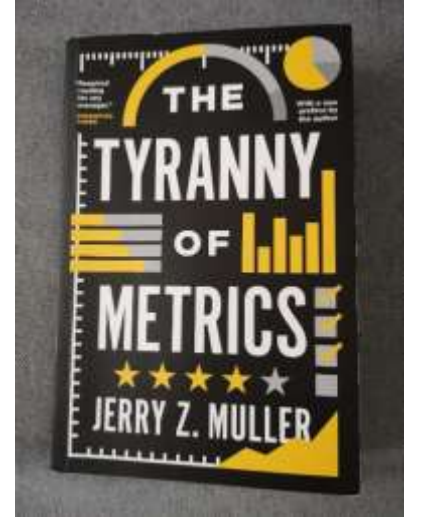
Unintended consequences: a litany



- Goal displacement
- Short termism
- Diminishing utility
- Rule cascade
- Discouraging risk taking
- Discouraging innovation
- Rewarding luck
- Discouraging cooperation and common purpose
- Degrading work
- Time waste
- Loss of productivity

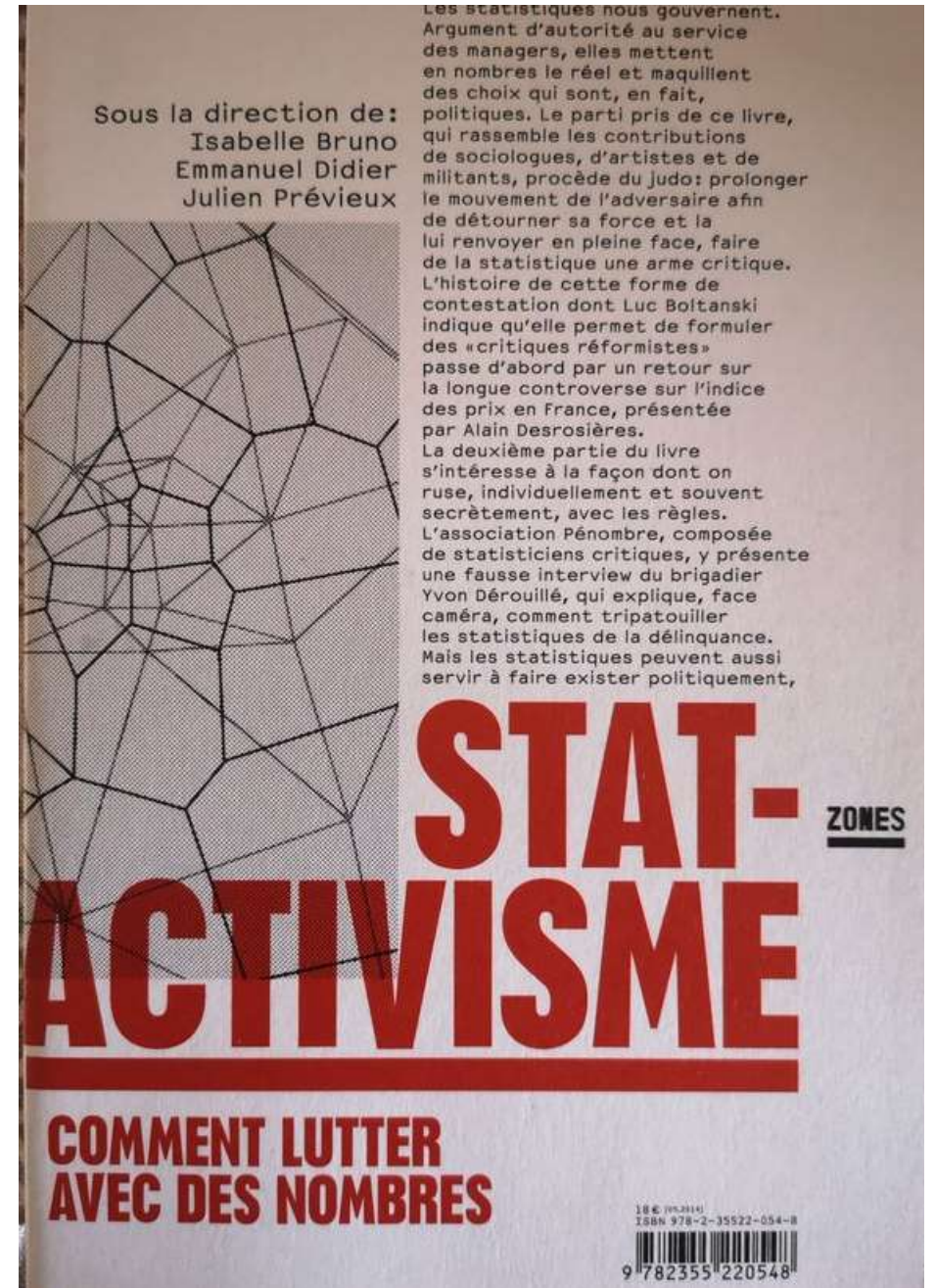
A concluding remark

Considering all of the above keep in mind at every step that “the best use of metrics may be not to use it at all”



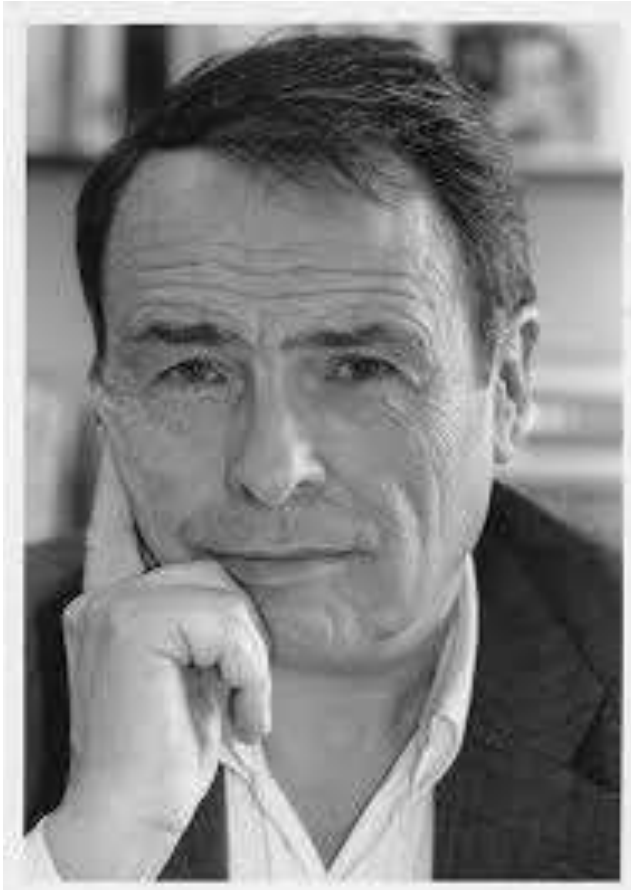
Do we need a movement of resistance?

I. Bruno, E. Didier, and J. Prévieux, Stat-activisme. Comment lutter avec des nombres. Paris: Zones, La Découverte, 2014



How to be a "statactiviste"?

1. Deconstruct existing metrics, including using irony (Pierre Bourdieu, *Les héritiers*).



La sociologie,
ça doit être
rigolo

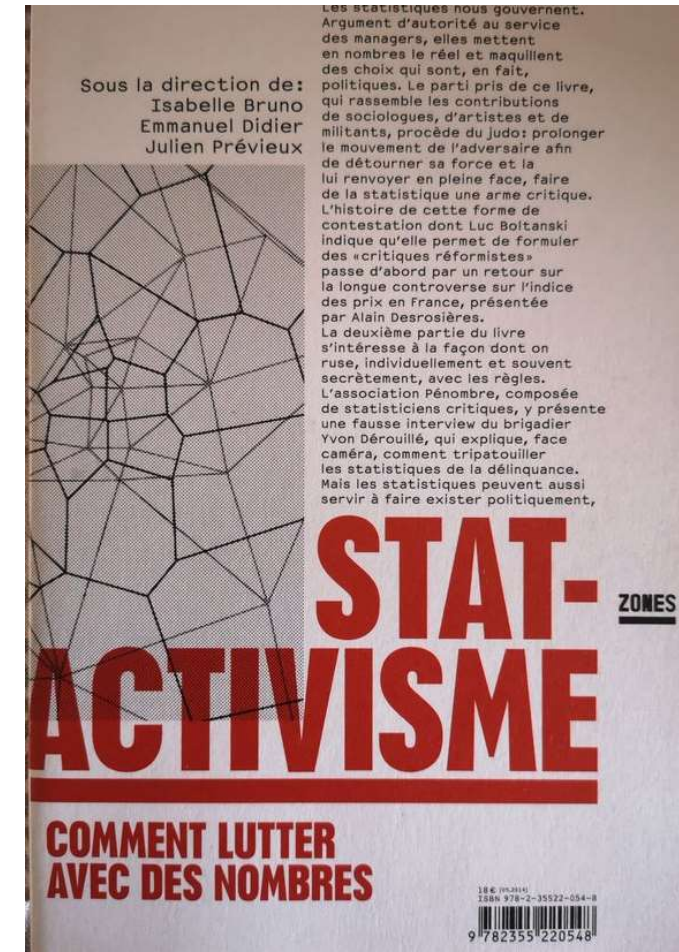
(Sociology must be fun)



How to be a "statactiviste"?

2. Gaming metrics (statistical judo) – use Goodhart's law to your advantage – or make the ruse public.

- Police statistics in NY



How to be a "statactiviste"?

3. Bring to the surface what is hidden / unsaid/ excluded – new social classes, marginalization, minorities:

- ‘Creative class’ or ‘precarious intellectuals’?



How to be a "statactiviste"?

4. Measure something different.

- Suicides at France Telecom;
- BIP 40, a new French measure of poverty/inequality



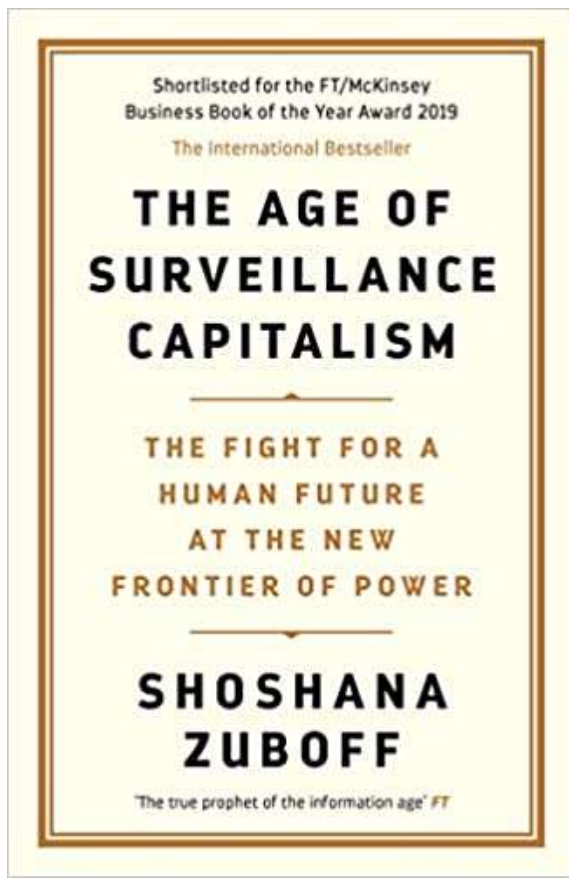
Important:

“Quantification should not be abandoned to the advantage of exalting qualities, singularities, and the incommensurable. Such an abandon would be a tactical error”

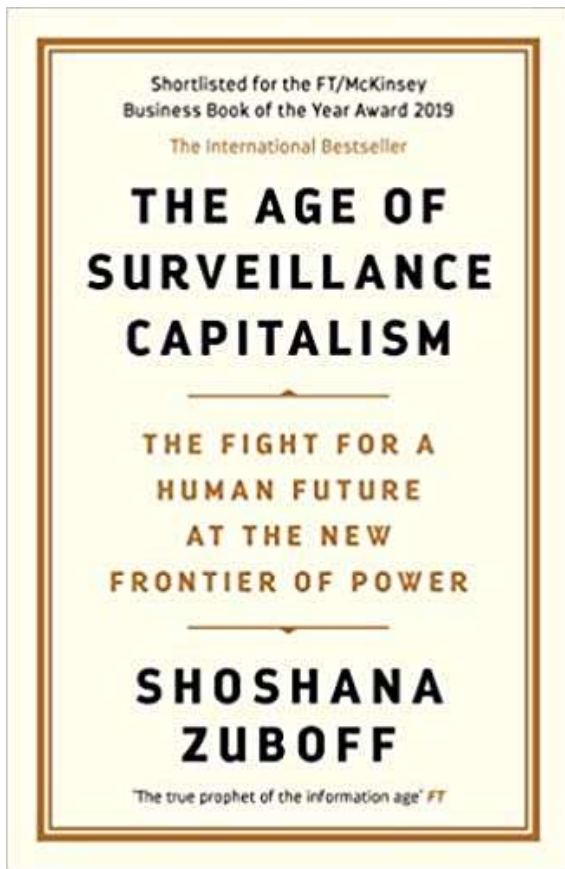




A project of domination of consumers and voters is made possible by artificial intelligence, big data & cognitive psychology



Inequality, power asymmetries and the world of surveillance capitalism



Chapters 11 & 12

Instrumentarian
power



Chapter 10

Néorationalism d'importation

*La trollisation de l'espace
public*

Cognitive psychology
and evolutionary
psychology

A project of domination?



Making algorithms
'good' or 'transparent'
is beyond the point.
Algorithms create new
norms of good or bad.

Algorithm =
ethicopolitical
arrangement of
values, assumptions,
and propositions about
the world



Louise Amoore

The banner features a blue background with a faint image of a computer mouse and a wavy line. The text 'LSE Research Online' is prominently displayed in white.

LSE Research Online



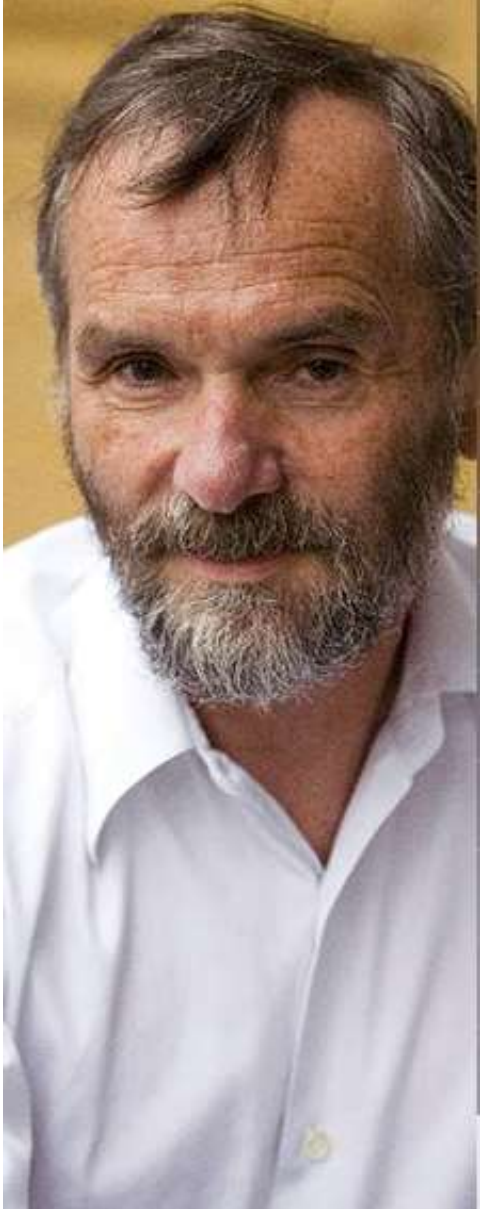
THE LONDON SCHOOL
OF ECONOMICS AND
POLITICAL SCIENCE ■

[Nick Couldry](#) and Ulises Mejias

**Data colonialism: rethinking big data's
relation to the contemporary subject**

**Article (Accepted version)
(Refereed)**

Alain Supiot

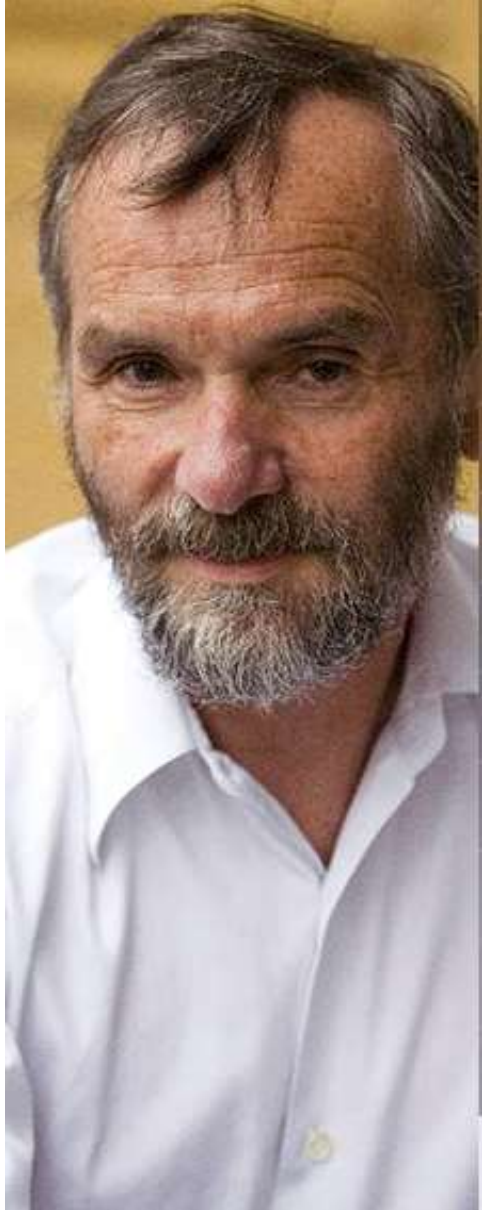


An indictment of the
Total Market and the
normative uses of
economic quantification

<https://www.college-de-france.fr/site/en-alain-supiot/Governance-by-Numbers-Introduction.htm>



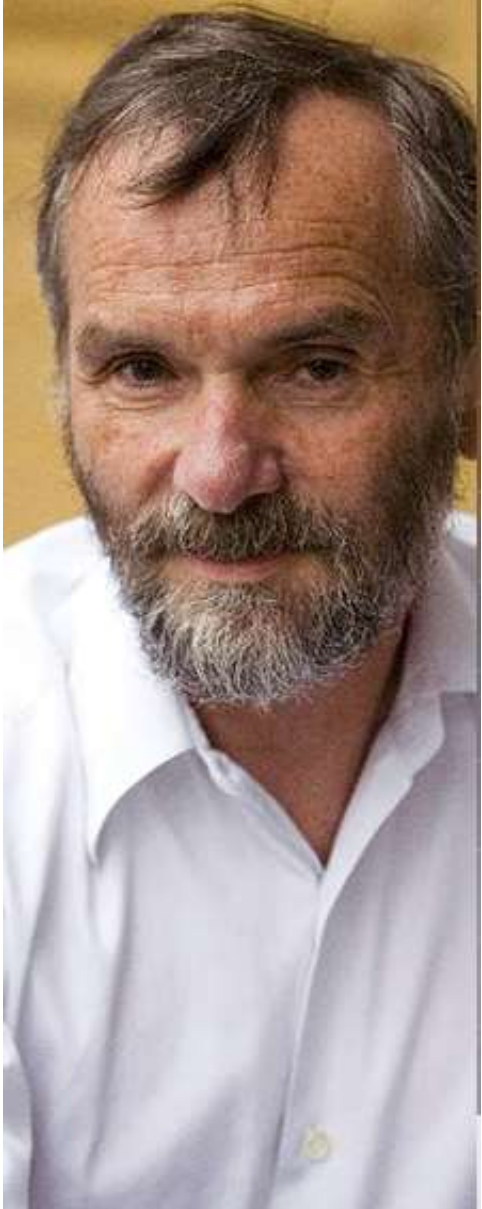
Alain Supiot



...we have entered the era of the cybernetic imaginary, which revives the West's age-old dream of grounding social harmony in calculations.

Repudiating the goal of governing by just laws, this new discourse advocates in its stead the attainment of measurable objectives efficiently

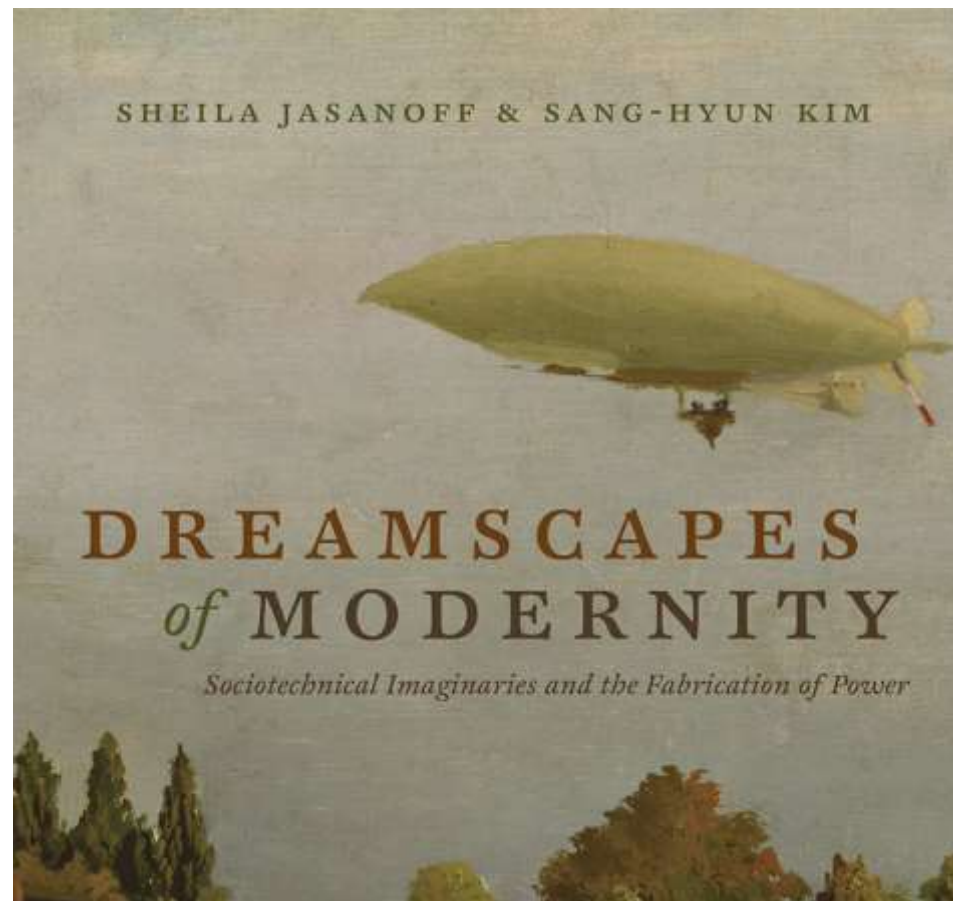
Alain Supiot



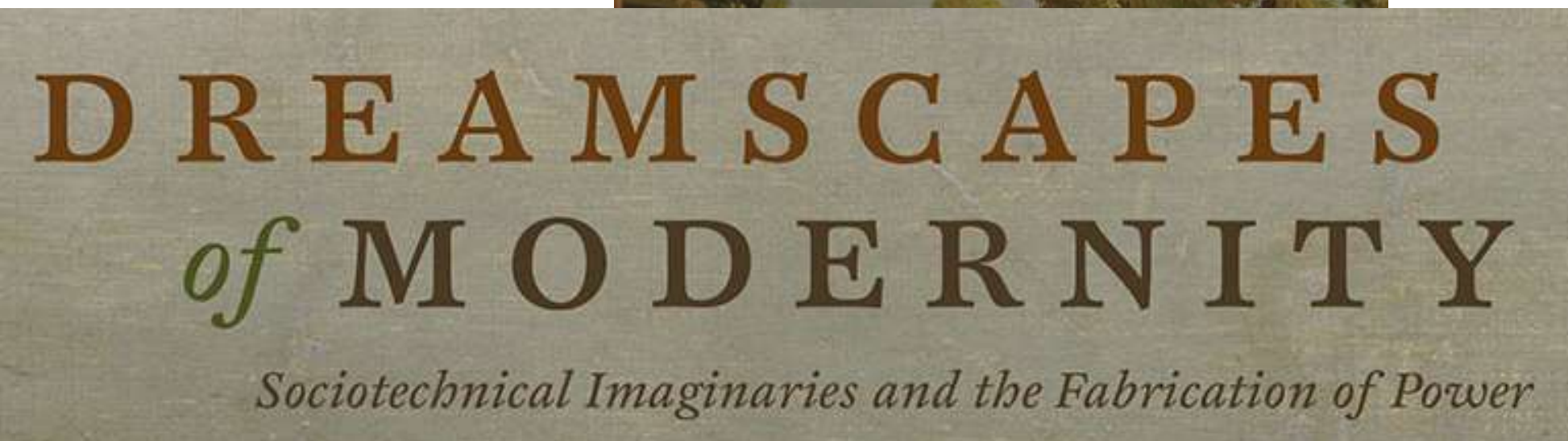
... This leaves no option open to populations or countries than to ride roughshod over social legislation, and pledge allegiance to those stronger than they are

And yet ...

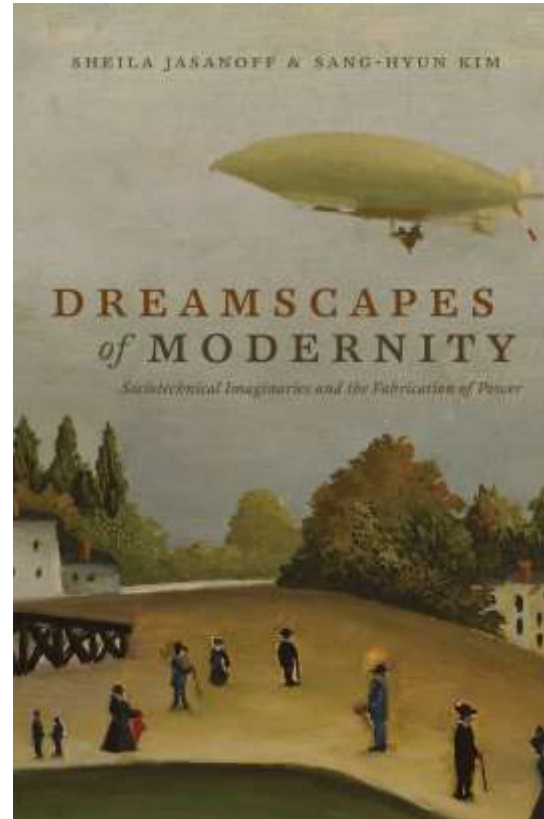
Sociotechnical Imaginaries



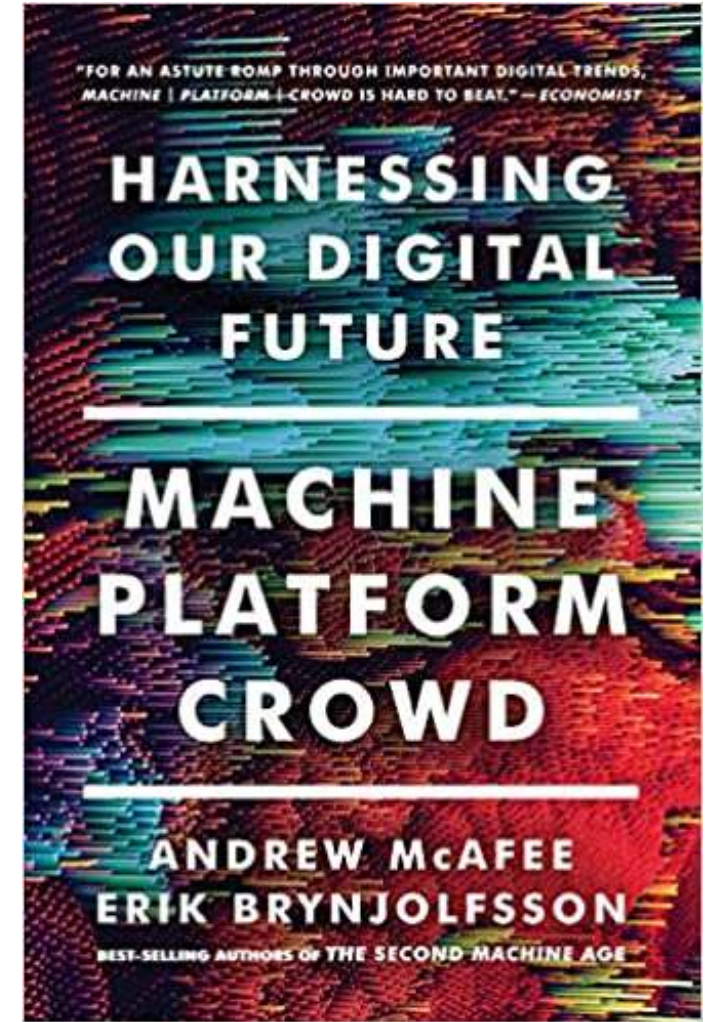
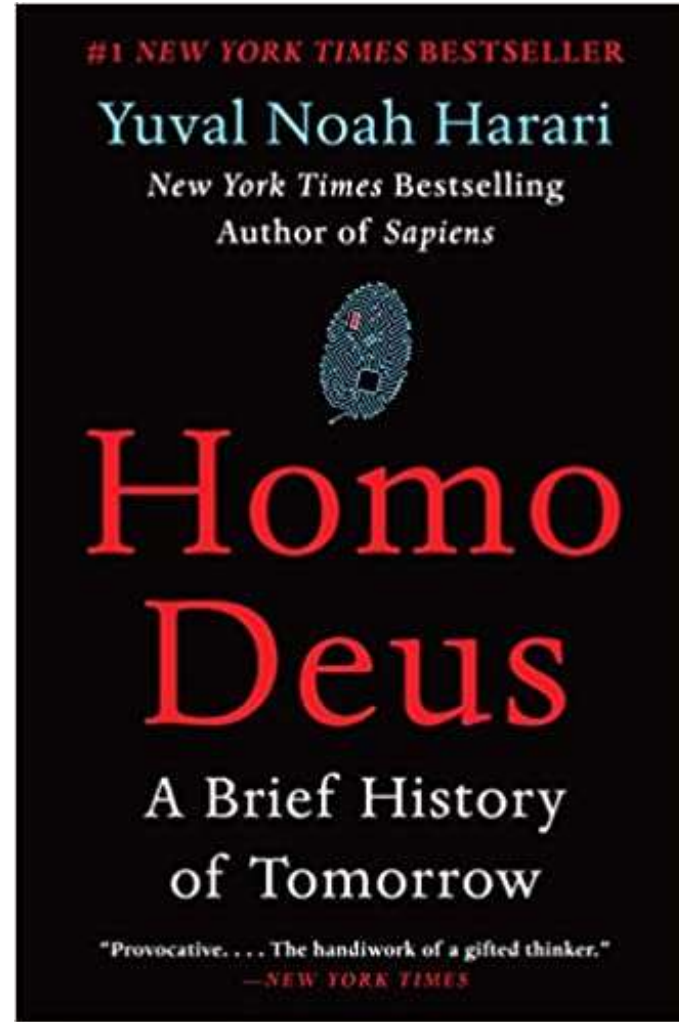
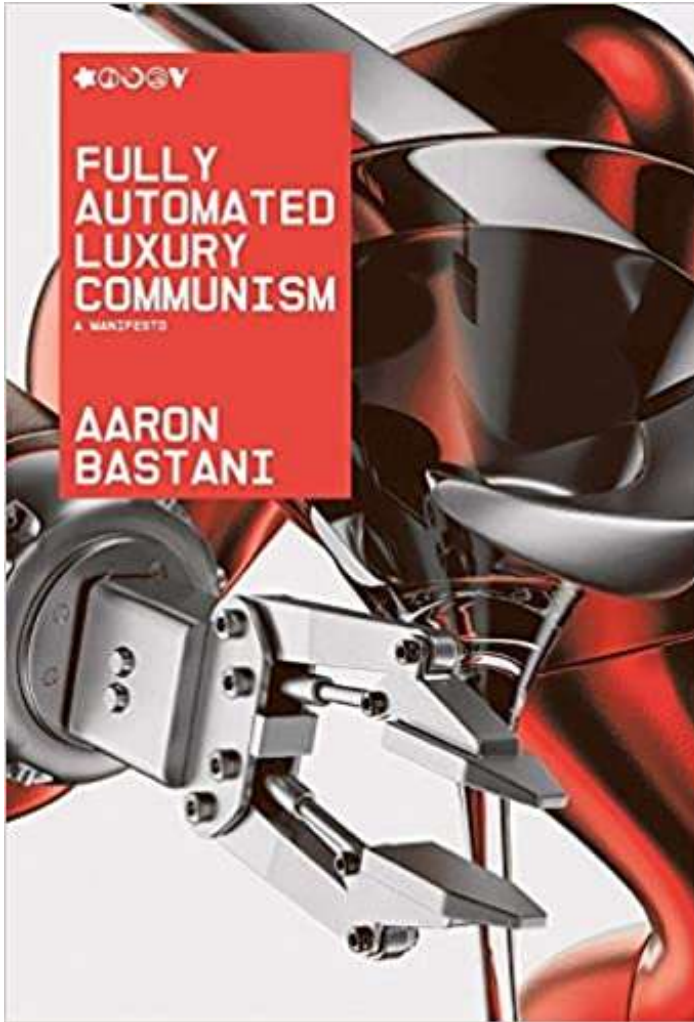
Sheila Jasanoff



How visions of scientific and technological progress carry with them implicit ideas about public purposes, collective futures, and the common good



Sheila Jasanoff



Good news!

Which is the prevailing
sociotechnical imaginary for
quantification?

One where policy can be
neatly designed given the
right amount of
computation?

‘Decisionism’ is mainstream

“Often, immersion in the facts often makes value disagreements feel much less relevant” (C. Sunstein)

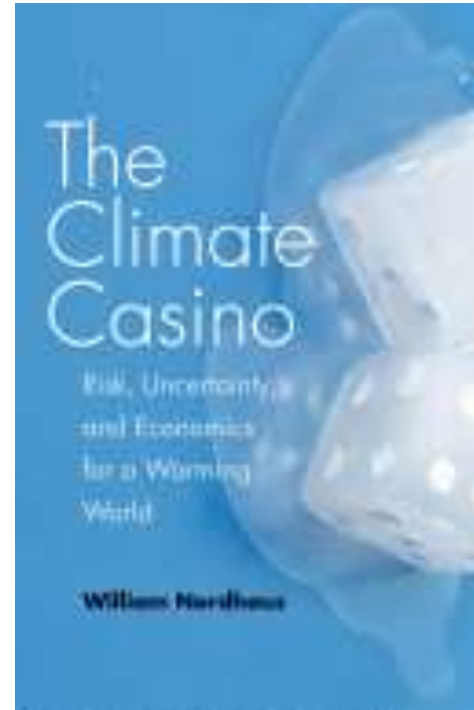


Cass Sunstein, winner of
the 2018 Holberg Prize



One of the winner of Nobel prize for economics 2018 was Willem Nordhaus, for his work on the economics of climate change.

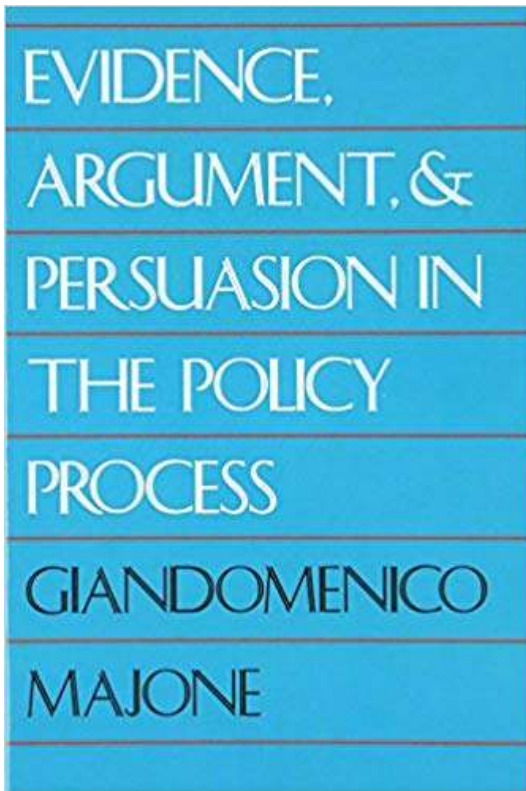
Cost benefit analysis to the year 2100?



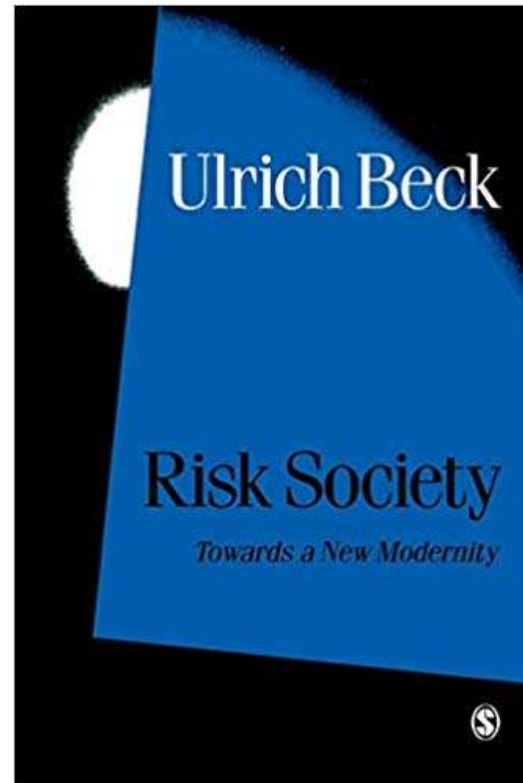
From Ulrich Beck to Giandomenico Majone: the technique is never neutral



Ulrich Beck
(1944 –2015)



1989



1992 (1986)



Environmental Science & Policy

Volume 106, April 2020, Pages 87-98



The technique is never neutral. How
methodological choices condition the
generation of narratives for sustainability

Andrea Saltelli ^{a, b}  , Lorenzo Benini ^c, Silvio Funtowicz ^a, Mario Giampietro ^{d, e}, Matthias Kaiser ^a,
Erik Reinert ^{a, f}, Jeroen P. van der Sluijs ^{a, g, h}

In a context of
crisis

The
Economist

OCTOBER 19TH-25TH 2013

Economist.com

Washington's lawyer surplus

How to do a nuclear deal with Iran

Investment tips from Nobel economists

Junk bonds are back

The meaning of Sachin Tendulkar

HOW
SCIENCE
GOES
WRONG

On the radar:
October 2013



Futures

Volume 91, August 2017, Pages 5-11



What is science's crisis really about?

Andrea Saltelli ^{a, b}  , Silvio Funtowicz ^a



Futures

Volume 104, December 2018, Pages 85-90



Why science's crisis should not become a political battling ground

Andrea, Saltelli 



Silvio Funtowicz

Failed replications, entire subfields going bad,
fraudulent peer reviews, predatory publishers,
perverse metrics, statistics on trial ...



Brow

OPEN ACCESS

ESSAY

June 21, 2017

Why Most Clinical Research Is Not Useful

John P. A. Ioannidis

Published: June 21, 2016 • <https://doi.org/10.1371/journal.pmed.1002049>

THE
ECONOMIC
JOURNAL



The Economic Journal, 127 (October), F236–F265. Doi: 10.1111/eoj.12461 © 2017 Royal Economic Society. Published by John Wiley & Sons, 9600 Garsington Road, Oxford OX4 2DQ, UK and 350 Main Street, Malden, MA 02148, USA.

THE POWER OF BIAS IN ECONOMICS RESEARCH*

John P. A. Ioannidis, T. D. Stanley and Hristos Doucouliagos

October 27, 2017

... misleading science advice, institutions on denial, a new breed of science wars

Opinion: Is science really facing a reproducibility crisis, and do we need it to?

Daniele Fanelli

PNAS March 12, 2018. 201708272; published ahead of print March 12, 2018. <https://doi.org/10.1073/pnas.1708272114>



“The new “science is in crisis” narrative is not only empirically unsupported, but also quite obviously counterproductive”

Statistical and mathematical modelling



Crisis in statistics?



nature
International journal of science

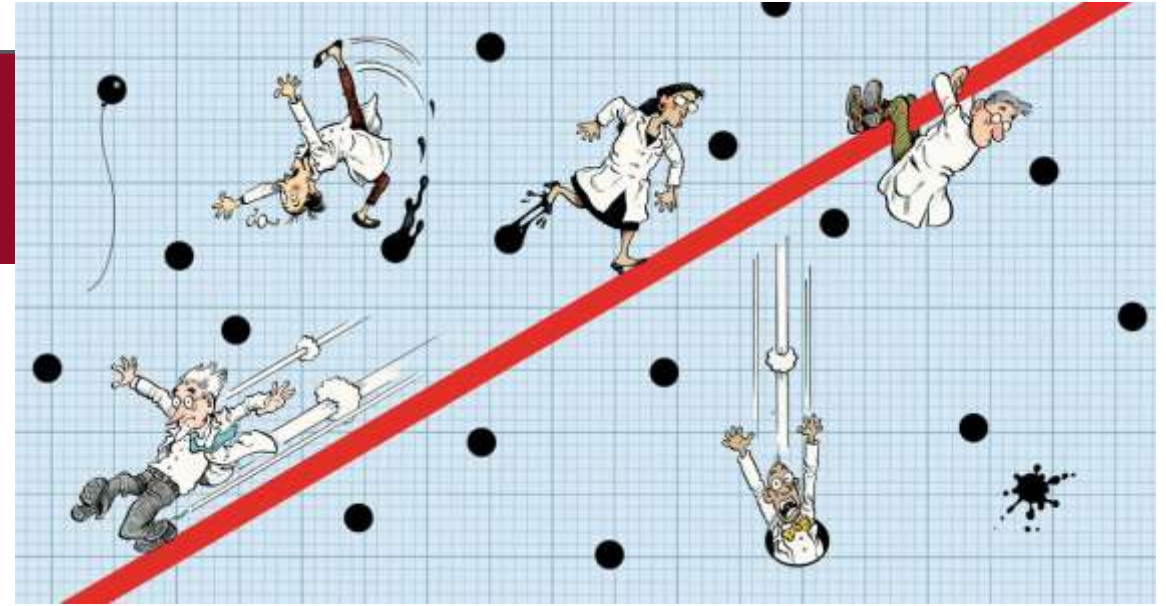


nature
International journal of science

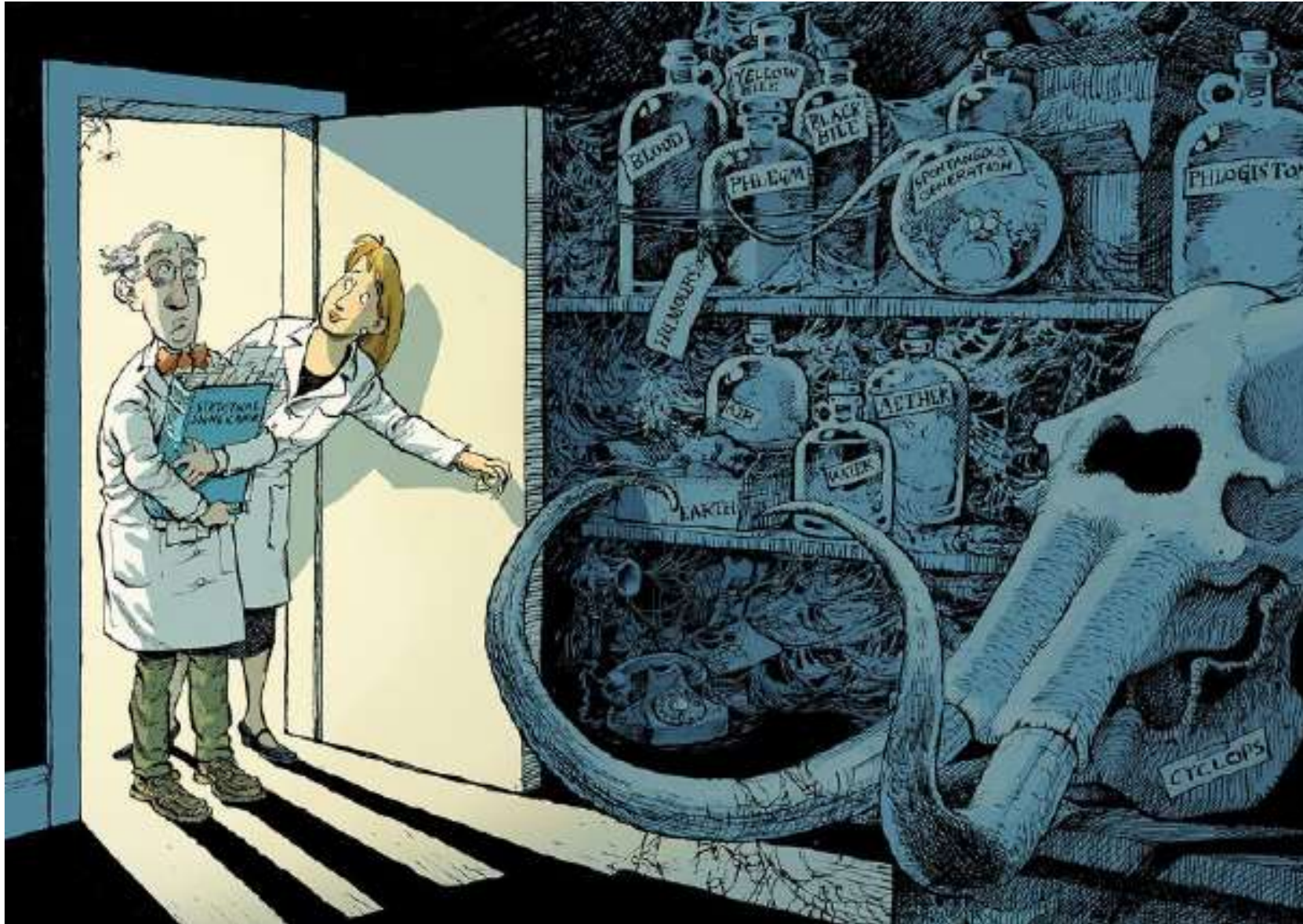
COMMENT • 28 NOVEMBER 2017

Five ways to fix statistics

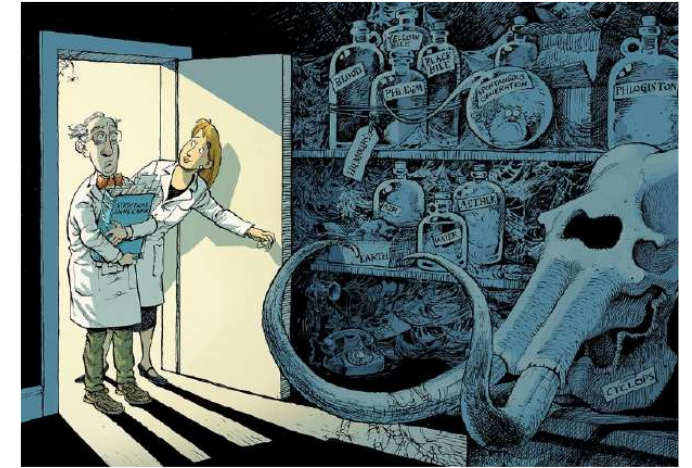
As debate rumbles on about how and how much poor statistics is to blame for poor reproducibility, Nature asked influential statisticians to recommend one change to improve science. The common theme? The problem is not our maths, but ourselves.



Jeff Leek , Blakeley B. McShane, Andrew Gelman , David Colquhoun , Michèle B. Nuijten  & Steven N. Goodman 



Throw away
the concept of
statistical
significance?



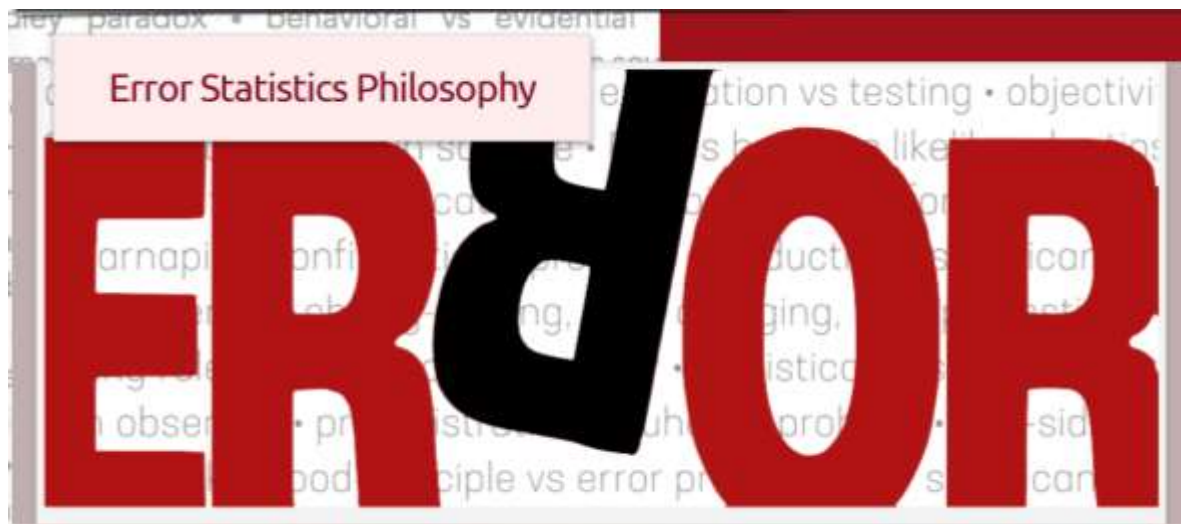
COMMENT • 20 MARCH 2019

Scientists rise up against statistical significance

Valentin Amrhein, Sander Greenland, Blake McShane and more than 800 signatories call for an end to hyped claims and the dismissal of possibly crucial effects.

Valentin Amrhein , Sander Greenland & Blake McShane

See the discussion on the blog of Andrew Gelman <https://statmodeling.stat.columbia.edu/>





A. Saltelli (Guest post): What can we learn from the debate on statistical significance?

Posted on November 22, 2019 by Mayo



Professor Andrea Saltelli
Centre for the Study of the Sciences and the Humanities (SVT), University of Bergen (UIB, Norway),
&
Open Evidence Research, Universitat Oberta de Catalunya (UOC), Barcelona

What can we learn from the debate on statistical significance?

Recent Comments:



Cargo-cult statistics and scientific crisis

Written by Philip B. Stark and Andrea Saltelli on 05 July 2018. Posted in [Science](#)



Statistics in the
wake of the
reproducibility
crisis

Statistical wars?

Is mathematical modelling affected?



nature
COMMUNICATIONS



[Comment](#)

[Open Access](#)

[Published: 27 August 2019](#)

A short comment on statistical
versus mathematical modelling

Andrea Saltelli 

Unlike statistics, modelling
is not a discipline ...

... mathematical modelling cannot do this:



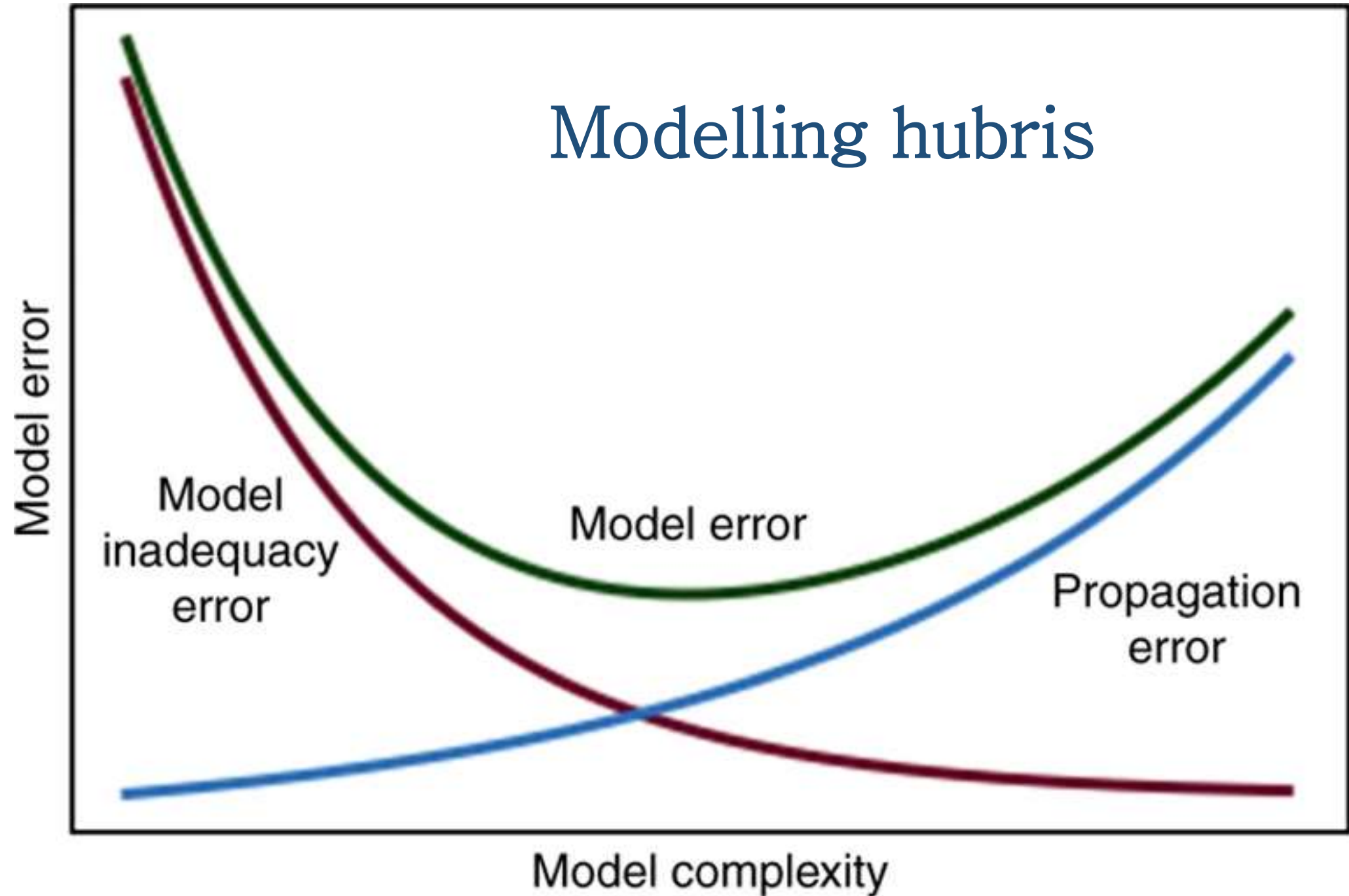
**AMERICAN STATISTICAL ASSOCIATION RELEASES STATEMENT ON
STATISTICAL SIGNIFICANCE AND *P*-VALUES**

*Provides Principles to Improve the Conduct and Interpretation of Quantitative
Science*

March 7, 2016

Wasserstein, R.L. and Lazar, N.A., 2016. 'The ASA's statement on p-values: context, process, and purpose', *The American Statistician*, Volume 70, 2016 – Issue 2, Pages 129–133.

Modelling hubris



Five ways to ensure that models serve society: a manifesto

Pandemic politics highlight how predictions need to be transparent and humble to invite insight, not blame.

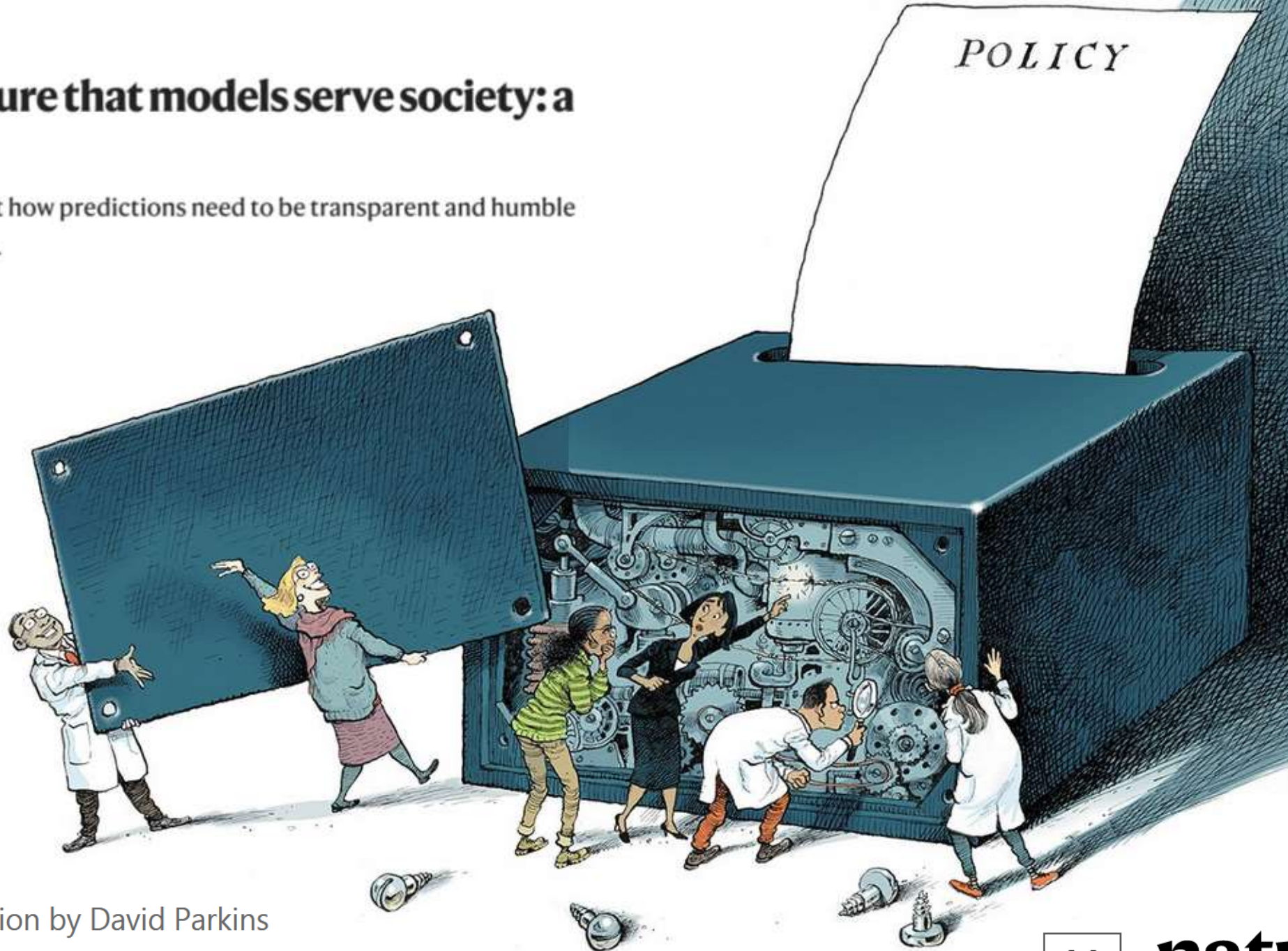


Illustration by David Parkins

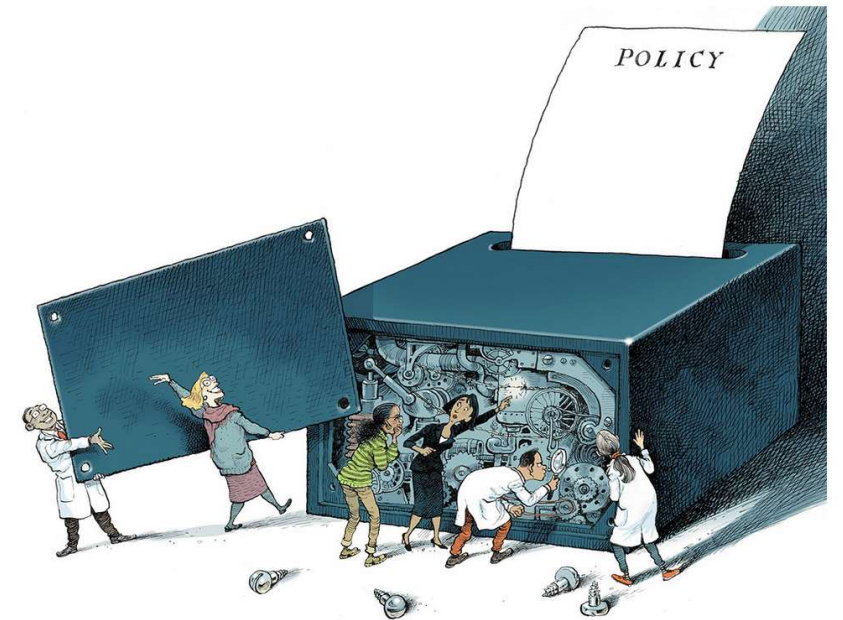


nature

Five ways to ensure that models serve society: a manifesto

Pandemic politics highlight how predictions need to be transparent and humble to invite insight, not blame.

Andrea Saltelli , Gabriele Bammer, Isabelle Bruno, Erica Charters, Monica Di Fiore, Emmanuel Didier, Wendy Nelson Espeland, John Kay, Samuele Lo Piano, Deborah Mayo, Roger Pielke Jr, Tommaso Portaluri, Theodore M. Porter, Arnald Puy, Ismael Rafols, Jerome R. Ravetz, Erik Reinert, Daniel Sarewitz, Philip B. Stark, Andrew Stirling, Jeroen van der Sluijs & Paolo Vineis



Mind the assumptions

Assess uncertainty and sensitivity

Mind the hubris

Complexity can be the enemy of relevance

Mind the framing

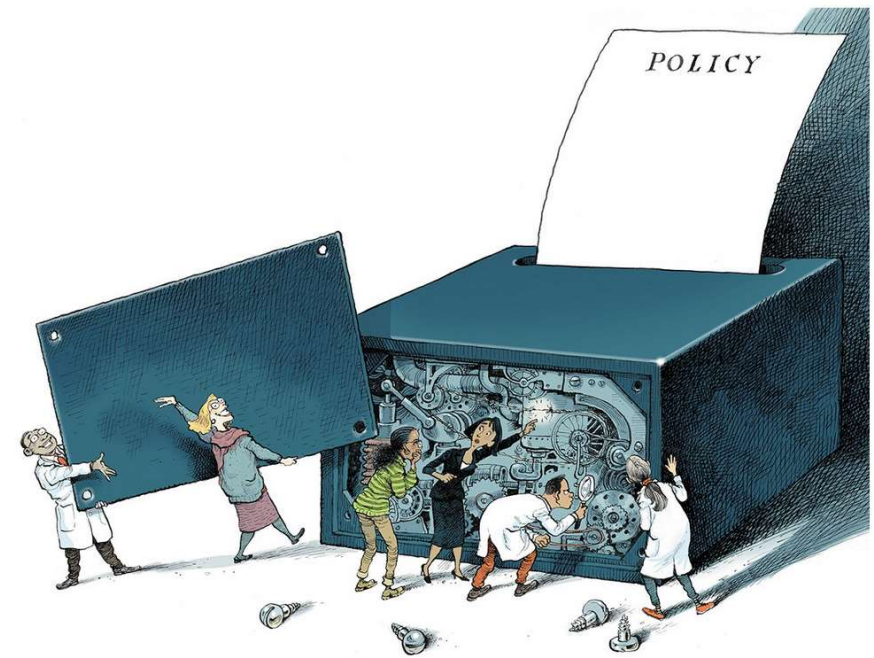
Match purpose and context

Mind the consequences

Quantification can backfire.

Mind the unknowns

Acknowledge ignorance

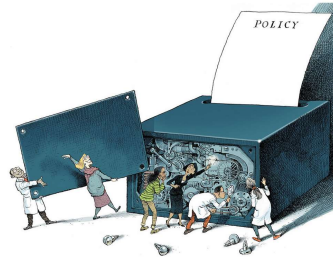


SUPPLEMENTARY INFORMATION

1. Additional information and references

>260 references

COVID-19 policies dictated by
'science' with several digits
precision in the presence of
fundamental uncertainties



Undocumented research code used
as a policy tool (chameleon models)



Pfleiderer, P. Chameleons: The Misuse of Theoretical Models in Finance and Economics. *Economica* 87, 81–107 (2020).

Why ethics of quantification is needed now

Andrea Saltelli

Open Evidence Research, Universitat Oberta de Catalunya, Barcelona, Spain

Antonio Andreoni

UCL Institute for Innovation and Public Purpose;
South African Research Chair in Industrial
Development, University of Johannesburg, South
Africa

Wolfgang Drechsler

Tallinn University of Technology, Estonia;
UCL Institute for Innovation and Public Purpose;
Davis Center at Harvard University, United States

Jayati Ghosh

University of Massachusetts Amherst, United
States;
UCL Institute for Innovation and Public Purpose

Rainer Kattel

UCL Institute for Innovation and Public Purpose

Ingrid H. Kvangraven

Department of Politics, University of York

Ismael Rafols

Centre for Science and Technology Studies,
Leiden University, the Netherlands

Erik S. Reinert

Tallinn University of Technology, Estonia;
UCL Institute for Innovation and Public Purpose

Andy Stirling

Science Policy Research Unit, University of
Sussex

Ting Xu

School of Law at the University of Essex



**UCL Institute for
Innovation and
Public Purpose**

WORKING PAPER
WP 2021/05



... our world is structured by numbers, visible and invisible, where truth is conveyed and reality constructed

Numbers are seductive, performative, confer to their masters' epistemic power and legitimacy

Governing the modern state, or even contesting it, without numbers is impossible

Numbers are the prevalent means to express value in our societies
... Access & production of numbers reflect and reinforce power imbalances



**UCL Institute for
Innovation and
Public Purpose**

WORKING PAPER
WP 2021/05

What recipes for an ethics of
quantification?



Futures

Volume 116, February 2020, 102509



Essays

Ethics of quantification or quantification of ethics?

Andrea Saltelli

[Show more](#)

<https://doi.org/10.1016/j.futures.2019.102509>

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Humanities & Social Sciences
Communications

Article | [Open Access](#) | Published: 19 August 2020

From sociology of quantification to ethics of quantification

Andrea Saltelli [✉](#) & Monica Di Fiore [✉](#)

Humanities and Social Sciences Communications **7**, Article number: 69 (2020) | [Cite this article](#)

852 Accesses | **25** Altmetric | [Metrics](#)



Ongoing (2020) research on ethics of quantification – there was an SVT symposium in December 2019

Tools and practices to:

- tame modelling hubris,
- make quantifications interpretable, conveyable in plain English,
- and context/purpose specific
- models as tools not as masters

NUSAP

Numeral, Unit, Spread

+

Assessment (qualitative judgement on quantification)

Pedigree (qualitative assessment of mode of production and anticipated use)

THEORY AND DECISION LIBRARY

SERIES A: PHILOSOPHY AND METHODOLOGY
OF THE SOCIAL SCIENCES

SILVIO O. FUNTOWICZ AND JEROME R. RAVETZ

UNCERTAINTY AND QUALITY
IN
SCIENCE FOR POLICY

KLUWER ACADEMIC PUBLISHERS



Jeroen
van der Sluijs

Example Pedigree matrix parameter strength

Code	Proxy	Empirical	Theoretical basis	Method	Validation
4	Exact measure	Large sample direct mmts	Well established theory	Best available practice	Compared with indep. mmts of same variable
3	Good fit or measure	Small sample direct mmts	Accepted theory partial in nature	Reliable method commonly accepted	Compared with indep. mmts of closely related variable
2	Well correlated	Modeled/derived data	Partial theory limited consensus on reliability	Acceptable method limited consensus on reliability	Compared with mmts not independent
1	Weak correlation	Educated guesses / rule of thumb est	Preliminary theory	Preliminary methods unknown reliability	Weak / indirect validation
0	Not clearly related	Crude speculation	Crude speculation	No discernible rigour	No validation

<http://www.nusap.net/>



Sensitivity Auditing

What do I make of your latinorum? Sensitivity auditing of mathematical modelling

Saltelli, A., Guimarães Pereira, Â.,
Van der Sluijs, J.P. and Funtowicz, S.



Ângela Guimarães Pereira

The rules of sensitivity auditing

1. Check against rhetorical use of mathematical modelling;
2. Adopt an “assumption hunting” attitude; focus on unearthing possibly implicit assumptions;
3. Check if uncertainty been instrumentally inflated or deflated.

4. Find sensitive assumptions before these find you; do your SA before publishing;
5. Aim for transparency; Show all the data;
6. Do the right sums, not just the sums right;
7. Perform a proper global sensitivity analysis.

Poor quantifications: an examples

Too much is being read in the OECD–PISA data

IJCED
19,1

20

Received 14 December 2016
Revised 17 February 2017
Accepted 24 February 2017

Do PISA data justify PISA-based education policy?

Luisa Araujo

*Department of Human Capital and Employment,
European Commission Joint Research Centre Ispra Sector, Ispra, Italy*

Andrea Saltelli

*University of Bergen, Bergen, Norway and
Universitat Autònoma de Barcelona, Barcelona, Spain, and*

Sylke V. Schnepf

*Competence Centre on Microeconomic Evaluation,
European Commission Joint Research Centre Ispra Sector, Ispra, Italy*



International Journal of
Comparative Education and
Development
Vol. 19 No. 1, 2017
pp. 20-34
© Emerald Publishing Limited
2396-7404
DOI 10.1108/IJCED-12-2016-0023

Through PISA, the OECD has (a) gained epistemic authority and (b) framed a trajectory for education



Contents lists available at ScienceDirect

Research Policy

journal homepage: www.elsevier.com/locate/respol



Rickety numbers: Volatility of university rankings and policy implications

Michaela Saisana*, Béatrice d'Hombres, Andrea Saltelli

Econometrics and Applied Statistics, Joint Research Centre, European Commission, Enrico Fermi 2749, 21027 Ispra, Italy

University ranking are
volatile ...

Journal of the
Royal Statistical Society

SERIES A
Statistics
in Society



J. R. Statist. Soc. A (2013)
176, Part 3, pp. 609–634

... and methodologically
unsound

Ratings and rankings: voodoo or science?

Paolo Paruolo

University of Insubria, Varese, Italy

and Michaela Saisana and Andrea Saltelli

European Commission, Ispra, Italy

Literature on mathematization of economics and of the social sciences



Wolfgang Drechsler



Erik S. Reinert



Paul Romer

W. Drechsler, "On the possibility of quantitative-mathematical social science, chiefly economics," *J. Econ. Stud.*, vol. 27, no. 4/5, pp. 246–259, 2000.

E. S. Reinert, "Full circle: economics from scholasticism through innovation and back into mathematical scholasticism," *J. Econ. Stud.*, vol. 27, no. 4/5, pp. 364–376, Aug. 2000.

P. Romer, "Mathiness in the Theory of Economic Growth," *Am. Econ. Rev.*, vol. 105, no. 5, pp. 89–93, May 2015.



EARTH
OVERSHOOT
DAY

[ABOUT](#)

[BLOG](#)

[SOLUTIONS](#)

[STEPS TO #MOVETHEDATE](#)

[NEWSROOM](#)

[FOR KIDS & TEACHERS](#)

[SUBSCRIBE](#)

EARTH OVERSHOOT DAY WAS JULY 29

On That Day, Humanity Exhausted The Biological Resources Our Planet Can Renew This Whole Year. Check Out Solutions Below So We Stop Increasing The Global Ecological Deficit.



At 8.00 am?

We use 1.75 planets
Or 17.5? 175? 1,750? ...Infinity?

How many plastic bottles are we
allowed to throw in the sea in a
year?

Try replacing plastic bottles with extinction of a species, or collapse of a
fishery, or a Fukushima,...



Move the date
forward 5 days
every years
suggests Mathis
Wackernagel

The Ecological Footprint suggests compressing sustainability to a single metric (acres of equivalent land). CO₂ emissions from energy demand dominate the output.





Contents lists available at [ScienceDirect](#)

Ecological Indicators

journal homepage: www.elsevier.com/locate/ecolind



Footprints to nowhere

Mario Giampietro^{a,c}, Andrea Saltelli^{b,*}



^a Institute of Environmental Science and Technology (ICTA), Universitat Autònoma de Barcelona, 08193 Bellaterra, Spain

^b Institute for the Protection and Security of the Citizen (IPSC), The European Commission, Joint Research Centre, TP 361, 21027 Ispra, VA, Italy

^c Catalan Institution for Research and Advanced Studies (ICREA), Passeig Lluís Companys, 23, 08010 Barcelona, Spain

Giampietro, M., and Saltelli, A., 2014, Footprints to nowhere, Ecological Indicators, 46, 610–621.

Goldfinger, S., Wackernagel, M., Galli, A., Lazarus, E., Lin, D., 2014, Footprint facts and fallacies: A response to Giampietro and Saltelli (2014) “Footprints to Nowhere”, 46, 622–632.

Giampietro, M., and Saltelli, A., 2014, Footworking in Circles, Ecological Indicators, 46 (2014) 260–263.

Alessandro Galli , Mario Giampietro , Steve Goldfinger, Elias Lazarus, David Lin, Andrea Saltelli , Matthis Wackernagel , Felix Müller, 2016, Questioning the ecological footprint , Ecological Indicators, 69, 224–232.

All the story...

Andrea
Saltelli

HOME ABOUT ME

CAETERIS ARE
NEVER PARIBUS

The End



@andreasaltelli

Assignment

Take one of the four CUDOS; one student will argue pro while another will argue against, like in court.

Make sure you don't take all the same principle!

Communalism – the common ownership of scientific discoveries, according to which scientists give up intellectual property rights in exchange for recognition and esteem (Merton actually used the term Communism, but had this notion of communalism in mind, not Marxism);

Universalism – according to which claims to truth are evaluated in terms of universal or impersonal criteria, and not on the basis of race, class, gender, religion, or nationality;

Disinterestedness – according to which scientists are rewarded for acting in ways that outwardly appear to be selfless;

Organized Skepticism – all ideas must be tested and are subject to rigorous, structured community scrutiny.

What issues for an ethics of quantification?

- The issue of trust.
- A defence against abuse
- To prevent consequentialism in scientific quantification
- To moderate excesses of optimism about the merits of quantification
- For the non-neutrality of the techniques; for the non-separability of facts and values
- For the need to contextualize any quantification
- To deter quantification hubris

What recipes would be offered by an ethics of quantification?

- A license not-to-quantify
- Taming hubris: memento Figure 1.
- Make use of the existing disciplinary arrangements
- Make quantifications interpretable, conveyable in plain English and context specific; use existing pedigrees
- NUSAP
- Sensitivity auditing