



Ethics of quantification

Andrea Saltelli Centre for the Study of the Sciences and the Humanities (SVT), University of Bergen (UIB), and Open Evidence Research, Open University of Catalonia

MNF990 / Theory of Science and Ethics, Bergen, HIB Lille aud, Thormøhlens gate 55, February 13, 2020

&

VITSV900 Philosophy of Social Science and Ethics, Vatnahalsen, February 19, 2020



Where to find this talk: www.andreasaltelli.eu



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29/07/2019

- Where are the women?
- Quantification and the roots of the Cartesian dream
- Extraordinary success of the dream
- Science's ethos
- Alarms from some quarters …
- • but a reassuring sociotechnical imaginary in the mainstream
- What recipes for an ethics of quantification?
 - NUSAP
 - Sensitivity auditing
- Numbers and trust
- The take of different authors
- An example of poor quantification: consequentialism?

So many men, so few women











The first person to understand nuclear fission;

She did not win the Nobel prize 1944 for chemistry which went to her colleague Otto Hahn



Lise Meitner 1878-1968

Rosalind Elsie Franklin

Her X-ray images led to the discovery of the DNA double helix structure;

Nobel in Medicine 1962 to J. Watson, F. Crick and M. Wilkins;



She should have ideally been awarded a Nobel Prize in Chemistry (according to J. Watson)

Rosalind Elsie Franklin 1920–1958



Eunice Foot (1819–1888)

Meet the woman who first identified the greenhouse effect

Published on 02/09/2016, 5:58pm

Eunice Foote demonstrated the heat-trapping properties of carbon dioxide at a scientific conference in 1856, newly digitised records show

By Megan Darby

Irish physicist John Tyndall is commonly credited with discovering the greenhouse effect, which underpins the science of climate change.

ART. XXXI .- Circumstances affecting the Heat of the by EUNICE FOOTE.

(Read before the American Association, August 23d, 1

My investigations have had for their object to different circumstances that affect the thermal actio of light that proceed from the sun. Several results have been obtained.

First. The action increases with the density of is diminished as it becomes more rarified.

The experiments were made with an air-pump a drical receivers of the same size, about four incl ter and thirty in length. In each were placed two t and the air was exhausted from one and condensed After both had acquired the same temperature they in the sun, side by side, and while the action of t rose to 110° in the condensed tube, it attained on other. I had no means at hand of measuring the d densation or rarefaction.

The observations taken once in two or three minfollows:

	Enhunsted Tala		T Condensed Thi	
	In shade.	In sur,	Is shade,	1
and the second second	75	80	75	1100
-	76	52	78	1
	80	81	:80	
The state of the s	83	- 84	82	
_	84	88	85	12.5

This circumstance must affect the power of the different places, and contribute to produce their fee the summits of lofty mountains.

Secontily. The action of the sun's rays was found in moist than in dry air.

In one of the receivers the air was saturated with in the other it was dried by the use of chlorid of ca Both were placed in the sun as before and the

follows:

1	Dry Ale.		I D	Damp Air.	
prove a	In state.	In out.	I In shade.	1 1	
	75	75	75	_	
	78	88	1.00	1	
	82	102	8.5	110	
	82	104	87	115	
	82	105	80	1. 1. 1.	
	85	108	99		

CIRCUMSTANCES

Affecting the Deat of the Sun's gays.

BY MRS. EUNICE FOOTE.

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Quantifications and the roots of the Cartesian dream



Francis Bacon (1561–1626) Magnalia Naturae, in the New Atlantis (1627), '*Wonders of nature, in particular with respect to human use*'



We call Cartesian dream the idea of man as master and possessor of nature, of prediction and control, of Bacon's wonders of science and of Condorcet's mathematique sociale…



René Descartes (1596–1650) Discourse on Method (1637)

Nicolas de Caritat, marquis de Condorcet (1743-1794) 'Sketch for a Historical Picture of the Progress of the Human Spirit'



Francis Bacon (1561-1626) Magnalia Naturae, in the New Atlantis (1627), 'Wonders of nature, in particular with respect to human use'

The prolongation of life; The restitution of youth in some degree; The retardation of age; The curing of diseases counted incurable; The mitigation of pain; More easy and less loathsome purgings; The increasing of strength and activity; The increasing of ability to suffer torture or pain; The altering of complexions, and fatness and leanness; The altering of statures; The altering of features; The increasing and exalting of the intellectual parts; Versions of bodies into other bodies; Making of new species; Transplanting of one species into another; Instruments of destruction, as of war and poison; Exhilaration of the spirits, and putting them in good disposition; Force of the imagination, either upon another body, or upon the body itself; Acceleration of time in maturations; Acceleration of time in clarifications; Acceleration of putrefaction; Acceleration of decoction; Acceleration of germination; Making rich composts for the earth; Impressions of the air, and raising of tempests; Great alteration; as in induration, emollition, &c; Turning crude and watery substances into oily and unctuous substances; Drawing of new foods out of substances not now in use; Making new threads for apparel; and new stuffs, such as paper, glass, &c; Natural divinations; Deceptions of the senses; Greater pleasures of the senses; Artificial minerals and cements.



Magnalia Naturae, in the New Atlantis (1627), 'Wonders of nature, in particular with respect to human use'

Francis Bacon (1561–1626)

The prolongation of life; The restitution of youth in some degree; The retardation of age; The curing of diseases counted incurable; The mitigation of pain; [...]

Drawing of new foods out of substances not now in use; Making new threads for apparel; and new stuffs, such as paper, glass, etc.; Natural divinations; Deceptions of the senses; Greater pleasures of the senses; Artificial minerals and cements.

The study of letters leading to "doubts and errors";

Comparing "disquisitions of the ancient moralists to very towering and magnificent palaces with no better foundation than sand and mud";

Condemnation of humanities and exaltation of mathematics.



René Descartes (1596-1650)

Discourse on Method (1637) "I perceived it to be possible to arrive at knowledge highly useful in life; and in room of the Speculative Philosophy […], to discover a Practical, by means of which, knowing the force and action of fire, water, air, the stars, the heavens, and all the other bodies that surround us, [...] we might also apply them [...], and thus render ourselves the lords and possessors of nature."



René Descartes (1596-1650)

Discourse on Method (1637)

http://www.bartleby.com/34/1/6.html

In the formulation of Condorcet: "All the errors in politics and in morals are founded upon philosophical mistakes, which, themselves, are connected with physical errors" (Ninth Epoch)



Nicolas de Caritat, marquis de Condorcet (1743-1794)

'Sketch for a Historical Picture of the Progress of the Human Spirit' Overpopulation? War due to scarcity of resources? Will not happen because technical progress and ethical progress will go hand in hand. Man will understand that his duty "will consist not in the question of giving existence to a greater number of beings, but happiness." (Tenth Epoch)



Nicolas de Caritat, marquis de Condorcet (1743-1794) 'Sketch for a Historical Picture of the Progress of the Human Spirit'

http://oll.libertyfund.org/titles/1669

'Mathématique sociale': We still use today terms such as 'Condorcet method', 'Condorcet winner', 'Condorcet-ranking procedure'



Nicolas de Caritat, marquis de Condorcet (1743-1794)

Feldman, J., 2005, Condorcet et la mathematique sociale: enthousiasmes et bemols, Mathematics and Social Sciences, 172(4), 7-41, <u>http://www.ehess.fr/revue-msh/pdf/N172R955.pdf</u>

Munda G. (2007) - Social multi-criteria evaluation, Springer-Verlag, Heidelberg, New York, Economics Series



Condorcet's algorithms and Descartes' Geometry: the dream always had a quantification agenda



Closer to our times the dream was couched in the 'Endless Frontier' metaphor by Vannevar Bush, 1945:

Vannevar Bush (1890-1974)



"One of our hopes is that after the war there will be full employment. […] To create more jobs we must make new and better and cheaper products […] founded on […] basic scientific research. […the] Government […] opened the seas to clipper ships and furnished land for pioneers. Although these frontiers have more or less disappeared, the frontier of science remains."

Bush, V. (1945) Science: the endless frontier, United States Office of Scientific Research and Development, U.S. Govt. print office.

The ethos of open science, the republic of science, CUDOS



Robert K. Merton



Michal Polanyi

M. Polanyi, J. Ziman, and S. Fuller, "The republic of science: its political and economic theory," Minerva, vol. 38, pp. 1–32.

Communalism – the common ownership of scientific discoveries, according to which scientists give up intellectual property rights in exchange for recognition and esteem (Merton actually used the term Communism, but had this notion of communalism in mind, not Marxism);

Universalism – according to which claims to truth are evaluated in terms of universal or impersonal criteria, and not on the basis of race, class, gender, religion, or nationality;

Disinterestedness – according to which scientists are rewarded for acting in ways that outwardly appear to be selfless;

Organized Skepticism – all ideas must be tested and are subject to rigorous, structured community scrutiny.

Some reading on the Cartesian Dream

Ravetz, J., R., 2015, Descartes and the rediscovery of ignorance, in Guimarães Pereira, Â., and Funtowicz, S., Eds., 2015, The end of the Cartesian dream, Routledge.



The success of the Cartesian dream

The keeping of the promise: Gravitational waves, from J. Weber's cylinder to LIGO



A Madman Dreams of Tuning Machines: The Story of Joseph Weber, the Tragic Hero of Science Who Followed Einstein's Vision and Pioneered the Sound of Space-Time, By Maria Popova, https://www.brainpickings.org/2016/04/25/black-hole-blues-janna-levin-joseph-weber/







https://www.brainpickings.org/2016/04/25/black-hole-blues-janna-levin-joseph-weber/

August 14 2019

If you are a natural scientists you were nourished and trained in the Cartesian dream, (S. Toulmin: 'The hidden agenda of modernity')



The dream was spectacularly successful, in all fields of endeavor, leading to what Steven Shapin calls 'invisible science'

Steven Shapin, 2016, Invisible Science, The Hedgehog Review: Vol. 18 No. 3 (Fall 2016).

Stephen Toulmin



Steven Shapin

Many voices of alarm as to misuse of quantification

Blurring lines:

"what qualities are specific to rankings, or indicators, or models, or algorithms?"



Elizabeth Popp Berman

E. Popp Berman and D. Hirschman, The Sociology of Quantification: Where Are We Now?, Contemp. Sociol., vol. in press, 2017.

Algorithms, models, metrics, statistics…





Futures

Volume 116, February 2020, 102509



Essays

Ethics of quantification or quantification of ethics?

Andrea Saltelli

∃ Show more

https://doi.org/10.1016/j.futures.2019.102509

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Abandon the dream of a "procedural utopia", a machinery to take the right decision based on a set of logical rules and methods



E. Millgram The Great Endarkenment, p. 23

This dream started with Condorcet's Mathématique sociale; Bentham's utilitarianism;

Then the afterwar 'decisionism' (G. Majone) – the idea that decisions can always systematically arrived at given a modicum of computation, and is still dominating



The critique of Andrew Stirling:

"[…] rhetoric clamour [surrounds] 'expected utility', 'decision theory', 'life cycle assessment', 'ecosystem services' 'sound scientific decisions' and 'evidence-based policy'



Andrew Stirling

[…] Each technique routinely delivers its answers with formidable levels of precision. Yet the resulting impression of accuracy is deeply misplaced"
Alarm for Weapons of Math Destruction



Cathy O'Neil



O'Neil, C. (2016). Weapons of math destruction : how big data increases inequality and threatens democracy. Random House Publishing Group.

Opacity (also because of trade secrecy) of algorithms used to decide on recruiting, carriers (including of researchers), prison sentencing, paroling, custody of minors, political campaigns…

O'Neil, C. (2016). Weapons of math destruction : how big data increases inequality and threatens democracy. Random House Publishing Group.

Brauneis, R., & Goodman, E. P. (2018). Algorithmic Transparency for the Smart City. Yale Journal of Law & Technology, 20, 103–176. Retrieved from https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3012499



Opacity coupled with opportunity for scale and damage and with non-appealability make them an instrument of oppression & inequality

Cathy O'Neil Google talk https://www.youtube.com/watch?v=TQHs8SA1qpk



Weapons of math destruction: opaque, harm, scale



WEAPONS

And yet …

Sociotechnical Imaginaries

SHEILA JASANOFF & SANG-HYUN KIM



Sheila Jasanoff

DREAMSCAPES of MODERNITY Sociotechnical Imaginaries and the Fabrication of Power How visions of scientific and technological progress carry with them implicit ideas about public purposes, collective futures, and the common good





Sheila Jasanoff

Which is the prevailing sociotechnical imaginary?

One where policy can be neatly designed given the right amount of computation?

'Decisionism' is mainstream

Cass Sunstein, winner of the 2018 Holberg Prize



"In a series of books (The Cost Benefit State, 2002, Risk and Reason, 2002, and The Laws of Fear, 2004), Sunstein shows the ways in which cost benefit analysis can discipline regulatory agencies"

https://www.holbergprisen.no/en/holberg-prize/prize-winners/cass-r-sunstein

Can technocracy be saved? An interview with Cass Sunstein.

Obama's regulation czar makes the case that "the issues that most divide us are fundamentally about facts rather than values."

By Dylan Matthews | @dylanmatt | dylan@vox.com | Oct 22, 2018, 9:00am EDT

https://www.vox.com/futureperfect/2018/10/22/18001014/ cass-sunstein-cost-benefitanalysis-technocracyliberalism



"Often, immersion in the facts often makes value disagreements feel much less relevant" (C. Sunstein)



https://www.vox.com/future-perfect/2018/10/22/18001014/cass-sunsteincost-benefit-analysis-technocracy-liberalism

The Sameness of Cass Sunstein

His books keep pushing the same technocratic fixes. But today's most pressing questions cannot be depoliticized.

By AARON TIMMS

June 20, 2019

A critique of Sunstein's faith in 'nudge' and cost benefit analysis



https://newrepublic.com/article/154236/sameness-cass-sunstein

One of the winner of Nobel prize for economics 2018 is Willem Nordhaus, for his work on the economics of climate change.

Cost benefit analysis to the year 2100?



Are these licit quantifications?



Saltelli, A., Stark, P.B., Becker, W., and Stano, P., 2015, Climate Models as Economic Guides. Scientific Challenge or Quixotic Quest? Issues in Science and Technology (IST), Volume XXXI Issue 3, Spring 2015, https://issues.org/climate-models-as-economic-guides-scientific-challengeor-quixotic-quest/ Statistical and mathematical modelling



Comment Open Access Published: 27 August 2019

A short comment on statistical versus mathematical modelling



The Economist

OCTOBER 10TH-25TH 2013

Economist.com

Washington's lawyer surplus How to do a nuclear deal with Iran Investment tips from Nobel economists Junk bonds are back The meaning of Sachin Tendulkar



On the radar: October 2013



Futures Volume 91, August 2017, Pages 5-11



What is science's crisis really about?

Andrea Saltelli ^{a, b} 유 韓, Silvio Funtowicz ^a





Why science's crisis should not become a political battling ground

Andrea, Saltelli 🖾



Crisis in statistics?





As debate rumbles on about how and how much poor statistics is to blame for poor reproducibility, Nature asked influential statisticians to recommend one change to improve science. The common theme? The problem is not our maths, but ourselves.



Throw away the concept of statistical significance?





COMMENT · 20 MARCH 2019

Scientists rise up against statistical significance

Valentin Amrhein, Sander Greenland, Blake McShane and more than 800 signatories call for an end to hyped claims and the dismissal of possibly crucial effects.

Valentin Amrhein 🖾, Sander Greenland & Blake McShane

See the discussion on the blog of Andrew Gelman https://statmodeling.stat.columbia.edu/

Statistical wars? See the discussion on the blogs of Deborah Mayo https://errorstatistics.com/

and

Andrew Gelman <u>https://statmodeling.stat.colum</u> <u>bia.edu/</u>





Is mathematical modelling affected?



Comment Open Access Published: 27 August 2019

A short comment on statistical versus mathematical modelling

Andrea Saltelli 🔀

Unlike statistics, modelling is not a discipline …

··· mathematical modelling cannot do this:



EWS AMERICAN STATISTICAL ASSOCIATION Promoting the Practice and Profession of Statistics

732 North Washington Street, Alexandria, VA 22314 + (703) 684-1221 + Toll Free: (888) 231-3473 + www.amstat.org + www.twitter.com/AmstatNews

AMERICAN STATISTICAL ASSOCIATION RELEASES STATEMENT ON STATISTICAL SIGNIFICANCE AND P-VALUES

Provides Principles to Improve the Conduct and Interpretation of Quantitative Science March 7, 2016

Wasserstein, R.L. and Lazar, N.A., 2016. 'The ASA's statement on p-values: context, process, and purpose', The American Statistician, Volume 70, 2016 – Issue 2, Pages 129–133.



Model complexity

What recipes for an ethics of quantification?

Use tools such as NUSAP and sensitivity auditing to

- tame modelling hubris,
- to make quantifications interpretable, conveyable in plain English,
- and context/purpose specific
- models as tools not as masters

NUSAP

Assessment (qualitative judgement on quantification)

Numeral, Unit, Spread

THEORY AND DECISION LIBRARY

SERIES A: PHILOSOPHY AND METHODOLOGY OF THE SOCIAL SCIENCES

SILVIO O. FUNTOWICZ AND JEROME R. RAVETZ

UNCERTAINTY AND QUALITY IN SCIENCE FOR POLICY

KLUWER ACADEMIC PUBLISHERS

Pedigree (qualitative assessment of mode of production and anticipated use)



Universiteit Utrecht

Example Pedigree matrix parameter strength

Code	Proxy	Empirical	Theoretical basis	Method	Validation		- 116
4	Exact measure	Large sample direct mmts	Well established theory	Best available practice	Compared with indep. mmts of same variable		6
3	Good fit or measure	Small sample direct mmts	Accepted theory partial in nature	Reliable method commonly accepted	Compared with indep. mmts of closely related variable	Ioroc	20
2	Well correlated	Modeled/derived data	Partial theory limited consensus on reliability	Acceptable method limited consensus on reliability	Compared with mmts not independent	van der	Sluij
1	Weak correlation	Educated guesses / rule of thumb est	Preliminary theory	Preliminary methods unknown reliability	Weak / indirect validation		
0	Not clearly related	Crude speculation	Crude speculation	No discernible rigour	No validation	vw.niisap	.net/



Jeroen van der Sluijs

Copernicus Institute

Sensitivity Auditing

What do I make of your latinorum? Sensitivity auditing of mathematical modelling

Saltelli, A., Guimarães Pereira, Â., Van der Sluijs, J.P. and Funtowicz, S.



Ângela Guimarães Pereira

The rules of sensitivity auditing

- 1. Check against rhetorical use of mathematical modelling;
- 2. Adopt an "assumption hunting" attitude; focus on unearthing possibly implicit assumptions;
- 3. Check if uncertainty been instrumentally inflated or deflated.
4. Find sensitive assumptions before these find you; do your SA before publishing;

5. Aim for transparency; Show all the data;

- 6. Do the right sums, not just the sums right;
- 7. Perform a proper global sensitivity analysis.

Numbers and trust



Theodor M. Porter



Theodore M. Porter, Trust in Numbers, The Pursuit of Objectivity in Science and Public Life, Princeton 1995 p. 8: "The appeal of numbers is especially compelling to bureaucratic officials who lack the mandate of a popular election, or divine right.



A decision made by the numbers (or by explicit rules of some other sort) has at least the appearance of being fair and impersonal." Theodore M. Porter TRUSTIN TRUSTIN TRUSTIN The Pursuit of Objectivity in Science and Public Life p. 8: "Scientific objectivity thusprovides an answer to a moraldemand for impartiality and fairness.

Quantification is a way of making decisions without seeming to decide.

Objectivity lends authority to officials who have very little of their own."

Trust, authority and styles of quantification: two different stories









Porter's story: Quantification needs judgment which in turn needs trust …without trust quantification becomes mechanical, a system, and 'systems can be played'.







Charles Goodhart

p. 44 "Any … measures necessarily involve a loss of information … [and distorts behavior]" (Porter, 1995)

This is what we normally call Goodhart's law, from Charles Goodhart. "When a measure becomes a target, it ceases to be a good measure."

Also known as Campbell's law (1976); https://en.wikipedia.org/wiki/Goodhart%27s_law The take of different authors



J. Z. Muller, The tyranny of metrics. Princeton University Press, 2018.

Metric fixation, or the irresistible pressure to measure performance

Gaming of metrics (recall Goodhart law)

"The calculative is the enemy of the imaginative"

A wealth of case studies from education to war to medicine to foreign aid..



Critiques of metrics

From the left: metric fixation promotes deskilling

From the right (Friedrich Hayek): metric fixation reproduces features of the soviet system



Critiques of metrics

An epistemological critique: metrics privilege abstract and formulaic knowledge against practical and tacit knowledge

(Greek concept of metis)



Unintended consequences: a litany

THE CENTRE CENTR

- Goal displacement
- Short termism
- Diminishing utility
- Rule cascade
- Discouraging risk taking
- Discouraging innovation

- Rewarding luck
- Discouraging cooperation and common purpose
- Degrading work
- Time waste
- Loss of productivity

A concluding remark

Considering all of the above keep in mind at every step that "the best use of metrics may be not to use it at all"



Do we need a movement of resistance?

I. Bruno, E. Didier, and J. Prévieux, Statactivisme. Comment lutter avec des nombres. Paris: Zones, La Découverte, 2014



How to be a "statactiviste"? 1. Deconstruct existing metrics, including using irony (Pierre Bourdieu, *Les héritiers*).



How to be a "statactiviste"? 2. Gaming metrics (statistical judo) – use Goodhart's law to your advantage – or make the ruse public.

• Police statistics in NY



How to be a "statactiviste"? 3. Bring to the surface what is hidden / unsaid/ excluded – new social classes, marginalization, minorities:

• 'Creative class' or 'precarious intellectuals'?



How to be a "statactiviste"? 4. Measure something different.

- Suicides at France Telecom;
- BIP 40, a new French measure of poverty/inequality

Argument d'autorité au service des managers, elles mettent en nombres le réel et maquillent des choix qui sont, en fait. Sous la direction de: politiques. Le parti pris de ce livre qui rassemble les contributions Isabelle Bruno de sociologues, d'artistes et de Emmanuel Didier militants, procède du judo: prolonger Julien Prévieux le mouvement de l'adversaire afin de détourner sa force et la lui renvoyer en pleine face, faire de la statistique une arme critique. L'histoire de cette forme de contestation dont Luc Boltanski indique qu'elle permet de formuler des «critiques réformistes» passe d'abord par un retour sur la longue controverse sur l'indice des prix en France, présentée par Alain Desrosières. La deuxième partie du livre s'intéresse à la façon dont on ruse, individuellement et souvent secrètement, avec les règles. L'association Pénombre, composée de statisticiens critiques, y présente une fausse interview du brigadier Yvon Dérouillé, qui explique, face caméra, comment tripatouiller les statistiques de la délinquance. Mais les statistiques peuvent aussi servir à faire exister politiquement,

Important:

"Quantification should not be abandoned to the advantage of exalting qualities, singularities, and the incommensurable. Such an abandon would be a tactical error"



Alain Supiot



An indictment of the Total Market and the normative uses of economic quantification **Alain Supiot**

La Gouvernance par les nombres

Cours au Collège de France 2012-2014

<u>https://www.college-de-</u> <u>france.fr/site/en-alain-</u> <u>supiot/Governance-by-Numbers-</u> <u>Introduction.htm</u> hutaninat

FAYARD POIDS ET MESURES DU MONDE

Alain Supiot



••••we have entered the era of the cybernetic imaginary, which revives the West's age-old dream of grounding social harmony in calculations.

Repudiating the goal of governing by just laws, this new discourse advocates in its stead the attainment of measurable objectives efficiently

Alain Supiot



... This leaves no option open to populations or countries than to ride roughshod over social legislation, and pledge allegiance to those stronger than they are

Poor quantifications: an example



EARTH OVERSHOOT

ABOUT BLOG SOLUTIONS STEPS TO #MOVETHEDATE NEWSROOM FOR KIDS & TEACHERS SUBSCRIBE

EARTH OVERSHOOT DAY WAS JULY 29

On That Day, Humanity Exhausted The Biological Resources Our Planet Can Renew This Whole Year. Check Out Solutions Below So We Stop Increasing The Global Ecological Deficit.

At 8.00 am?

We use 1.75 planets Or 17.5? 175? 1,750? …Infinity?

How many plastic bottles are we allowed to throw in the sea in a year?

Try replacing plastic bottles with extinction of a species, or collapse of a fishery, or a Fukushima,…



Move the date forward 5 days every years suggests Mathis Wackernagel

The Ecological Footprint suggests compressing sustainability to a single metric (acres of equivalent land). CO2 emissions from energy demand dominate the output.



Ecological Indicators 46 (2014) 610-621



Contents lists available at ScienceDirect

Ecological Indicators

journal homepage: www.elsevier.com/locate/ecolind

Footprints to nowhere

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^b Institute for the Protection and Security of the Citizen (IPSC), The European Commission, Joint Research Centre, TP 361, 21027 Ispra, VA, Italy

^c Catalan Institution for Research and Advanced Studies (ICREA), Passeig Lluís Companys, 23, 08010 Barcelona, Spain



COLOGICAL

INDICATORS

Giampietro, M., and Saltelli, A., 2014, Footprints to nowhere, Ecological Indicators, 46, 610-621.

Goldfinger, S., Wackernagel, M., Galli, A., Lazarus, E., Lin, D., 2014, Footprint facts and fallacies: A response to Giampietro and Saltelli (2014) "Footprints to Nowhere", 46, 622–632.

Giampietro, M., and Saltelli, A., 2014, Footworking in Circles, Ecological Indicators, 46 (2014) 260-263.

Alessandro Galli, Mario Giampietro, Steve Goldfinger, Elias Lazarus, David Lin, Andrea Saltelli, Matthis Wackernagel, Felix Müller, 2016, Questioning the ecological footprint, Ecological Indicators, 69, 224–232.



Practicum on CUDOS

Communalism – the common ownership of scientific discoveries, according to which scientists give up intellectual property rights in exchange for recognition and esteem (Merton actually used the term Communism, but had this notion of communalism in mind, not Marxism);

Universalism – according to which claims to truth are evaluated in terms of universal or impersonal criteria, and not on the basis of race, class, gender, religion, or nationality;

Disinterestedness – according to which scientists are rewarded for acting in ways that outwardly appear to be selfless;

Organized Skepticism – all ideas must be tested and are subject to rigorous, structured community scrutiny.

on Merton's CUDOS

The same R.K. Merton realized later in life that norms have corresponding counter norms

Mitroff, I. I. 1974, Norms and Counter-Norms in a Select Group of the Apollo Moon Scientists: A Case Study of the Ambivalence of Scientists, American Sociological Review, 39, 579–595.

NORMS AND COUNTER-NORMS IN A SELECT GROUP OF THE APOLLO MOON SCIENTISTS: A CASE STUDY OF THE AMBIVALENCE OF SCIENTISTS*

IAN I. MITROFF

American Sociological Review 1974, Vol. 39 (August): 579-595

This paper describes a three and a half year study conducted over the course of the Apollo lunar missions with forty-two of the most prestigious scientists who studied the lunar rocks. The paper supports the Merton-E. Barber concept of sociological ambivalence, that social institutions reflect potentially conflicting sets of norms. The paper offers a set of counter-norms for science, arguing that if the norm of universalism is rooted in the impersonal character of science, an opposing counter-norm is rooted in the personal character of science. The paper also argues that not only is sociological ambivalence a characteristic of science, but it seems necessary for the existence and ultimate rationality of science.
Three-and-a-half-year study conducted over the course of the Apollo lunar missions with forty-two of the most prestigious scientists who studied the lunar rocks

The paper supports the Merton–E. Barber concept of sociological ambivalence, that social institutions reflect potentially conflicting sets of norms [We must] consider, first, how potentially contradictory norms develop in every social institution; next, how in the

institution of science conflicting norms generate marked ambivalence in the lives of scientists; and finally, how this ambivalence affects the actual, as distinct from the supposed, relations between men of science (Merton, 1963a:80).

- Solitariness (secrecy, miserism) is often used to keep findings secret in order to be able to claim patent rights… Instead of Communalism
- Particularism […] a real issue, particularly when you consider the ratio of researchers in rich countries compared with those in poor countries

Instead of Universalism

• Interestedness arises because scientists have genuine interests at stake in the reception of their research… Instead of Disinterestedness

• Dogmatism because careers are built upon a particular premise (theory) being true…

Instead of Organized Skepticism

The End



What issues for an ethics of quantification?

- -The issue of trust. -A defence against abuse -To prevent consequentialism in scientific quantification -To moderate excesses of optimism about the merits of quantification -For the non-neutrality of the techniques; for the non-separability of facts and values
 - -For the need to contextualize any quantification
 - -To deter quantification hubris

What recipes would be offered by an ethics of quantification?

-A license not-to-quantify -Taming hubris: memento Figure 1. -Make use of the existing disciplinary arrangements -Make quantifications interpretable, conveyable in plain English and context specific; use existing pedigrees -NUSAP -Sensitivity auditing